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6/24/2016	1	Judicial Council	approved	Pass

Title

Judicial Branch Administration: Trial Court Electronic Filing-Approval of Electronic Filing Standards and of Policies on Electronic Filing Managers (Action Required)

Summary

The Information Technology Advisory Committee (ITAC), with approval from the Judicial Council Technology Committee, recommends that the Judicial Council approve the National Information Exchange Model/Electronic Court Filing as the technical information exchange standards for e-filing in all state courts and direct ITAC to develop a plan for implementation of these standards. The committee also recommends that the council approve a set of high-level policies and functional requirements for trial court Electronic Filing Managers (EFM). Finally, it recommends that the council direct ITAC, in collaboration and coordination with the council's Branch Accounting and Procurement office, to undertake and manage a procurement process to select multiple statewide EFMs to assist the trial courts with e-filing.

Recommendation

The Information Technology Advisory Committee (ITAC), with the approval of the Judicial Council Technology Committee recommends that the Judicial Council:

1. Approve the National Information Exchange Model/Electronic Court Filing (NIEM/ECF) as the technical information exchange standards for the purposes of e-filing in all state trial courts; direct ITAC to develop a plan for implementation of these standards, including the effective date; and report back to the Judicial Council on the implementation plan at a future date.
2. Approve the following statewide policies:
 - a. The California judicial branch will select more than one statewide EFM for the trial courts; and
 - b. Individual courts will retain the authority to determine which EFM or EFMs they will use.
3. Approve the following high-level functional requirements for trial court EFMs:
 - a. EFMs must support all case types.
 - b. EFMs must have the ability to integrate with all statewide case management systems (CMS) included in the statewide CMS Master Services Agreement (currently, Tyler Odyssey, Thomson-Reuters C-Track, Justice Systems) and Journal Technologies eCourt.
 - c. EFMs must describe their approach for integration with "non-standard" CMSs, including a free-

standing e-delivery option.

- d. EFMs must integrate with Judicial Council approved financial gateway vendors, if directed.
 - e. EFMs must support electronic payment types beyond credit card.
 - f. EFMs must provide a zero cost e-filing option for indigent and government filers.
 - g. EFMs must clearly disclose all costs and services to the e-filing service provider (EFSP) community.
 - h. EFMs must support electronic service of court generated documents.
 - i. Electronic Filing Service Providers (EFSP) must integrate with all statewide EFMs in all participating counties.
4. Direct ITAC, in collaboration and coordination with the council's Branch Accounting and Procurement, to undertake and manage a procurement process to select multiple statewide EFMs to assist the trial courts with e-filing.

Speakers

Hon. Terence Bruiniers, Chair, Information Technology Advisory Committee
(ITAC)

Hon. Sheila Hanson, Executive Co-Sponsor, ITAC E-Filing Workstream

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