

JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: July 27-28, 2017

Title Administrative Director's Report **Agenda Item Type** Information Only

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Executive Summary

The following information outlines some of the many activities staff is engaged in to further the Judicial Council's goals and priorities for the judicial branch. The report focuses on action since the council's May meeting and is exclusive of issues on the July business meeting agenda.

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Legislative Advocacy

- A status report on legislation considered by the Judicial Council Policy Coordination and Liaison Committee during the 2017–2018 legislative session can be found at: <u>www.courts.ca.gov/documents/legislative-status-chart-2017.pdf</u>. Position letters and fiscal impact statements can be found at: <u>http://www.courts.ca.gov/position-letters.htm</u>.
- Judicial Council-Sponsored Legislation: Governmental Affairs continues to navigate Judicial Council-sponsored legislation through the legislative process. The Governor signed AB 452 (Bloom): Changes references from Clerk of the Supreme Court to Clerk/Executive Officer of the Supreme Court, Clerk/Administrator of the Court of Appeal to Clerk/Executive Officer of the Court of Appeal, and Administrative Office of the Courts to Judicial Council.
- The Legislature began its summer recess on July 21. Governmental Affairs advocates continue to monitor bills and prepare for when the Legislature reconvenes on August 21.

Labor and Employee Relations

Labor negotiations assistance was provided to 13 trial courts. Services included successor memoranda of understanding negotiations, proposed policy revisions, and modifications to employer-employee relations rules. Additionally, support was provided on 32 different labor and employee related matters.

New San Diego Central Courthouse

A dedication ceremony for the new 71-room courthouse was held on June 5, 2017. The construction project is the judicial branch's largest to date. The new courthouse consolidates court operations for central county residents, replacing inadequate and obsolete facilities with a modern, full-service courthouse.

Facility Operations

Status of facility modifications as of July 18, 2017:

| Status | Number of Modifications | Estimated Cost |
|------------------------------|-------------------------|-----------------------|
| Awaiting Shared Cost | 6 | \$3,671,043 |
| Holding for Project Managers | 12 | \$8,433,161 |
| In Design | 59 | \$3,608,895 |
| In Work | 578 | \$81,680,431 |
| Total | 20 | \$97,393,530 |

Thirty-two real estate transactions were completed as follows:

- New Disposition Lease: Closed Chico Courthouse leased by Butte County
- New Expense Leases:
 - o Los Angeles County, Santa Monica Civic Auditorium Parking
 - o Sacramento County, Judicial Council Governmental Affairs Office
- Lease/License Renewals:
 - Glenn County, Storage
 - Inyo County, Department 4 and Superior Court 2
 - Merced County, Department 11
 - Orange County, Harbor Justice Center Newport Beach Parking

- o Sacramento County, New Sacramento Criminal Courthouse, City of Sacramento
- San Joaquin County, 540 E. Main Street
- o Tehama County, Juvenile Justice Center
- Lease/License Terminations:
 - Judicial Council Burbank Office and facilities management units in Shasta and Solano Counties
 - Orange County, North Justice Center
 - San Diego County, East County Regional Center, Nextel
 - Stanislaus County, 1130 12th Street
 - Tehama County, Historic Courthouse
- Event Licenses: 14 short-term event licenses

Court Security

- The Screening Equipment Replacement Program completed the fiscal year having replaced 27 magnetometers and 61 x-ray machines in 23 courts.
- Staff met with the Superior Court of Madera County to assess security concerns, and provided security training to judicial officers and staff of the Superior Courts of Alameda and Sonoma Counties.

Grants

- *Court Innovations:* Intra-Branch Agreements for 43 grant programs have been finalized and received initial grant funding. Eight additional programs will receive funding in the next month and year.
- *Recidivism Reduction Fund Grant Program:* Contract amendments were concluded to extend 26 court programs for an additional year, ending April 2018. Site visits were conducted in the Superior Courts of Lake, Modoc, Monterey, Tehama, and Tuolumne Counties to observe programs in operation and answer questions from court staff and partners.
- *Re-Entry Courts:* Staff worked with the California Department of Corrections and Rehabilitation to extend an interagency agreement allowing continued funding of five reentry courts for an additional year.

Stakeholder Partnerships

- *Probation Advisory Committee:* Members including the Department of Social Services, Chief Probation Officers, and Judicial Council staff met to discuss county-specific issues and questions, Community Care Reform, and review of state directives on implementation of new laws regarding juvenile probation.
- Judicial Branch Stakeholder Meeting with Department of Child Support Services: Judicial Council AB 1058 Child Support Program staff and representative child support commissioners and family law facilitators met with the California Department of Child Support Services to discuss practices in preparation of Orders After Hearing and service delivery models for family law facilitator offices.
- *Mental Health Projects:* Meetings were held with the Mental Health Services Oversight and Accountability Commission to discuss the intersection between the mental health and criminal justice systems; and with the California Health and Human Services Agency's Incompetent to Stand Trial Workgroup.

Court Technical Assistance

Technical assistance was provided to courts on a variety of issues during this reporting period including:

- Juvenile court courtesy file reviews in Contra Costa, Humboldt, Lake, Modoc, Orange, Santa Clara, Sierra, and Tehama Counties to support compliance with federal and California law.
- Technical assistance on the Substance Abuse Focus Grant to the Superior Courts of Los Angeles and San Bernardino Counties.
- Assistance to the Superior Courts of Shasta and San Joaquin Counties with the California State Department of Child Support Services audit.

Keeping Kids in School and Out of Court

The Chief Justice's Keeping Kids in School and Out of Court Initiative steering committee met to plan its work in rural counties. The committee received a presentation on the Public Counsel and American Institute for Research's *California School Safety Toolkit*, which discusses ways to identify how well school safety methods align with research and best practice standards.

Public Service Leadership Development

- The nine participants from the 2016–2017 Judicial Administration Fellows program received certificates of participation from the Administrative Director. The fellows spent 10 months working in courts through the program jointly sponsored by the Judicial Council and Sacramento State University's Center for California Studies.
- Governmental Affairs staff met with 15 college interns from the Center for Asian Americans United for Self-Empowerment Leadership Academy (summer program for students interested in politics, public policy, and/or Asian Pacific Americans community issues) to discuss the role of the judicial branch within state government.

Advisory Committees/Task Forces/Working Groups

The following advisory bodies met in person, by phone, or WebEx since the council's May meeting:

- 1. Ability to Pay Workgroup
- 2. Administrative Presiding Justices Advisory Committee
- 3. Advisory Committee on Financial Accountability and Efficiency for the Judicial Branch
- 4. Appellate Advisory Committee
- 5. Civil and Small Claims Advisory Committee
- 6. Civil Jury Instructions Advisory Committee
- 7. Collaborative Justice Courts Advisory Committee
- 8. Court Executives Advisory Committee
- 9. Court Facilities Advisory Committee
- 10. Criminal Jury Instructions Advisory Committee
- 11. Criminal Law Advisory Committee
- 12. Family and Juvenile Law Advisory Committee
- 13. Information Technology Advisory Committee

- 14. Judicial Branch Workers' Compensation Program Advisory Committee
- 15. Language Access Implementation Task Force
- 16. Legal Services Trust Fund Committee
- 17. Pretrial Detention Reform Workgroup
- 18. Traffic Advisory Committee
- 19. Trial Court Budget Advisory Committee
- 20. Trial Court Facility Modification Advisory Committee
- 21. Trial Court Presiding Judges Advisory Committee
- 22. Tribal Court-State Court Forum

Meeting Details

Ability to Pay Workgroup

- Price of Justice pilot sites (San Francisco, Santa Clara, Shasta, Tulare, and Ventura Counties) shared traffic court practices and specific interests in improving ability to pay determination processes.
- Participated in a variety of exercises to inform the development of the online ability to pay tool.

Administrative Presiding Justices Advisory Committee

• Discussed Continuity of Operations plans, and fiscal matters affecting the appellate courts, and received an education and training update for fiscal year 2017–2018.

Advisory Committee on Financial Accountability and Efficiency for the Judicial Branch

- Considered audit results for the Superior Court of Kern County for submission to the council.
- Reviewed Budget Change Proposal concepts for the 2018–2019 fiscal year.

Appellate Advisory Committee

- Considered public comments on seven proposals, including:
 - Revising the rule relating to settled statements in civil appeals and adopting a new form to simplify this process;
 - Amending the form for designating the record on appeal in limited civil cases to clarify the consequences for not designating a record of the oral proceedings; and
 - Sponsoring legislation to address access to records from the juvenile court file for persons participating in appellate proceedings in juvenile cases.

Civil and Small Claims Advisory Committee

- Discussed ongoing projects relating to alternative dispute resolution and case management rules.
- Discussed proposals, including a new civil default form for use in actions under the Fair Debt Buying Practices Act; revised writ of execution and costs after judgment forms; and new and revised civil protective order forms.
- Worked jointly with members of Language Access Plan Implementation Task Force on proposed legislation regarding use of interpreters in small claims court.

Civil Jury Instructions Advisory Committee

• Three subcommittees reviewed proposed items for the full committee meeting including new instructions on constructive fraud; additional exceptions to employer liability for employee acts during commute; and a possible new series on the Consumer Legal Remedies Act.

Collaborative Justice Courts Advisory Committee

• *Mental Health Subcommittee:* Discussed pending legislation and work plan development to implement the recommendations of the Mental Health Issues Implementation Task Force and incorporate other relevant mental health matters.

Court Executives Advisory Committee

- Discussed upcoming education/information style meetings with the Department of Finance concerning the fiscal realities facing the trial courts and upcoming visits by Department representatives to select trial courts.
- *Executive Committee:* Discussed Court Innovations Grants, Judicial Branch Statistical Information System filings definitions, strategies to reduce trial court utilities and maintenance costs, a Department of Child Support Services request to install payment kiosks in courthouses, and Memoranda of Understanding with the Sheriff's Office regarding trial court security and additional funding.
- *Trial Court Facilities Working Group:* Reviewed draft revisions to energy conservation guidelines to assist the trial courts with reducing utilities and maintenance costs.
- Joint Executive Committee meeting with Trial Court Presiding Judges Advisory Committee (TCPJAC): Discussed budget and legislative advocacy, Immigration and Customs Enforcement activities on courthouse grounds, and responding to harassment, discrimination, and retaliation complaints.
- *Joint Rules Subcommittee with TCPJAC*: Reviewed additional proposed revisions to rule 2.851 (Language Access: Designation of Representative and Handling Complaints).
- *Joint Legislation Subcommittee with TCPJAC:* Discussed SB 273 (Hill) Marriage: minors; AB 1541 (Kalra) Examination of prospective jurors; SB 10 (Hertzberg) Bail: pretrial release; and SB 393 (Lara) Arrests: sealing.

Court Facilities Advisory Committee

- Reviewed the Santa Barbara Superior Court study with the County to consider a joint project.
- Reviewed the schematic design packages for the Riverside Mid-County Civil Courthouse project and the Superior Court of Sacramento County's capital project.
- Heard a presentation on the seismic risk rating of California superior court buildings.
- Reviewed the Five-Year Infrastructure Plan for fiscal year 2018–2019.

Criminal Jury Instructions Advisory Committee

- Considered 34 proposed new and revised California Criminal Jury Instructions in light of user comments, new legislation, and suggestions from committee members.
- Circulated proposed edits/additions for public comment.

Criminal Law Advisory Committee

- Discussed public comments received on rule, form, and legislative proposals circulated this spring. Final proposals include:
 - Proposed amendments to multiple sentencing rules.
 - Proposed standard of judicial administration on risk/needs assessments at sentencing.
 - Proposed forms to implement AB 813 (Gonzalez): motion to vacate conviction or sentence, and Proposition 63 relating to firearms relinquishment; and revisions to the felony waiver and plea form.

Family and Juvenile Law Advisory Committee

• Discussed annual funding to local Court Appointed Special Advocate programs, comments to the psychotropic medication proposal, revision of five adoption proceedings forms, and possible changes to forms to enable the council to fulfill legislative mandates regarding Title IV-E Foster Care Maintenance Eligibility Findings and Orders.

Information Technology Advisory Committee

• Discussed draft deliverables of the Disaster Recovery Workstream, reviewed grants awarded for technological innovations, received updates on the branch budget and recommendations of the Commission on the Future of California's Court System, and reviewed a legislative proposal to amend Civil Code and Code of Civil Procedure regarding authorization for electronic service.

Judicial Branch Workers' Compensation Program Advisory Committee

- Reviewed and approved proposed changes in the premium calculation methodology.
- Reviewed results of the program's annual survey and discussed proposals to address feedback from survey participants.

Language Access Plan Implementation Task Force

• Reviewed recommended changes to proposed Rule 2.851, which requires superior courts to establish forms for submitting complaints regarding the court's provision of appropriate language access services.

Legal Services Trust Fund

• Considered recommendations for 2018 Equal Access Partnership Grants.

Pretrial Detention Reform Workgroup

- Heard presentations from the National Association of Pretrial Services Agencies, California court executive officers, and domestic violence and sexual assault advocates.
- Co-Chairs, Judge Lisa Rodriguez (San Diego) and Judge Brian Back (Ventura), and Criminal Justice Services staff provided an update on the development of the recommendations of the workgroup to Chief Justice Cantil-Sakauye.

Traffic Advisory Committee

- Discussed uniform bail and penalty schedules; remote access to court records for parties, attorneys, and justice partners; legislative proposals (including amendments to Penal Code 1209.5); pending legislation, including SB 185 (Hertzberg) Crimes: infractions, and AB 412 (Ting) Courts: civil assessments; and recommendations of the Commission on the Future of California's Court System as related to traffic administration and fines and fees.
- Final proposals and Judicial Council reports submitted to the council's Rules and Projects Committee include a proposed form on ability to pay for traffic and other infractions.

Trial Court Budget Advisory Committee

- Developed recommendations for the 2017–2018 Trial Court Trust Fund (TCTF) allocations, the Workload Allocation Funding Methodology (WAFM) Adjustment Request Process, and WAFM Adjustment Timeline.
- Finalized the 2017–2018 Funding Methodology Subcommittee work plan.
- *Fiscal Planning Subcommittee:* Considered two new and two amended TCTF requests to be held on behalf of four courts (Alameda, Orange, Sacramento, and Mono Counties).

Trial Court Facility Modification Advisory Committee

• Reviewed the fiscal year 2017–18 Budget Allocations Report, reviewed criteria for seismic renovation feasibility studies, energy conservation guidelines, deferred maintenance, and facility modification recommendations.

Trial Court Presiding Judges Advisory Committee

• *Executive Committee:* Reviewed a Budget Change Proposal for orientation sessions for new judicial officers, conducted a roundtable on strategies to compensate for cutbacks in Civil courts, and received an update on the final report of the Commission on the Future of California's Court System.

Tribal Court-State Court Forum

• Received a legislative update on AB 905 (lifting of the sunset on the Tribal Court Civil Money Judgement Act), and extending recognition and enforcement of tribal court orders in traffic and road safety.

Judicial Branch Education and Training

Summary

Judicial Education

- 1. Advanced Judicial Studies Institute
- 2. Advanced Topics in Felony Sentencing
- 3. Criminal Law Orientation
- 4. Cow County Judges Institute
- 5. Ground Water Litigation Webinar
- 6. Judicial College Seminar Leader Training

- 7. Juvenile Dependency Orientation
- 8. New Judge Orientation
- 9. Qualifying Judicial Ethics
- 10. Traffic Orientation

Judicial Officer, Court Employee, and Stakeholder Education and Training Programs

- 11. AB 1058 Timekeeping Best Practices
- 12. AB 1058 Family Law Facilitators Electronic Database Summit
- 13. Access to Visitation
- 14. Accountings and Financial Abuse
- 15. Advanced Core 40 Court Management
- 16. Business Process Reengineering Workshops
- 17. Communication Strategies for Leadership
- 18. Complementary Treatment and Social Services
- 19. Core 24: Advanced Skills for Experienced Court Managers and Administrators
- 20. Court Appointed Special Advocate Volunteer Training
- 21. Court Clerks Training Institute Criminal Procedures
- 22. Drug and Alcohol Testing
- 23. Education, Training, and Development
- 24. Family Law and Self-Help Conference
- 25. Handling Cases Involving Abuse Later in Life
- 26. Immigration Issues; and Improving Safety, Access, and Fairness in Cases Involving Domestic Violence
- 27. Managing Technology Projects and Resources
- 28. Preventing and Responding to Sexual Harassment
- 29. Psychotropic Medication
- 30. Substance Abuse Treatment
- 31. Title IV-E Foster Care Maintenance Eligibility
- 32. Trial Court Revenue Distribution
- 33. Workers' Compensation Claim Settlement Authority Process
- 34. Youth Court Summit

Distance Education

Courtroom Simulations

35. Civil Case Management Series

Video Lectures

- 36. An Overview of the Judicial Branch Statistical Information System
- 37. Safety During Site Visits (for probate investigators)
- 38. Statements of Decision

Podcasts

- 39. Application of *People v. Sanchez* in Family Law
- 40. Calculating Custody Credits
- 41. Can an Algorithm Deliver Administrative Justice?
- 42. Child Support and Jackson Credits
- 43. Firearms Relinquishment in Criminal Domestic Violence Cases
- 44. New Cases on Deviating From Guideline and Reasonable Rate of Return
- 45. Password Managers

46. The Process of Appointing Spoken Language Interpreters in Trial Court Proceedings Web Casts

- 47. Judicial Council Meeting
- 48. Supreme Court Oral Arguments, Los Angeles and San Francisco

Publications Updates

- 49. Benchguide 54: Faretta and Marsden Issues
- 50. Benchguide 83: Restitution

<u>Detail</u>

AB 1058 Timekeeping Best Practices

Topics included requirements of timekeeping practices pursuant to the federal grant, maintaining documentation for time spent on the AB 1058 program, and sharing costs between AB 1058 and other programs.

AB 1058 Family Law Facilitators Electronic Database Summit

Sessions included use of the database in measuring workload for AB 1058 funding methodology, development of common terminology, determination of the most important data elements, and how to improve the database's interface data gathering tool.

Access to Visitation

Course for Access to Visitation Grant recipient courts' professional providers of supervised visitation.

Accountings and Financial Abuse

Probate court investigator regional education on conducting an accounting and discerning when and how to follow up on evidence of financial abuse of an elder.

Advanced Core 40 Court Management

Manager/supervisor regional education for court employees who provide direct supervision as part of their work at the court, emphasizing skills for more experienced supervisors.

Advanced Judicial Studies Institute

New grant-funded, two-day institute designed for experienced bench officers to discuss and share best practices. Course offerings in neuroscience and the courtroom, psychology of judging, electronic evidence, advanced family law, and making an effective record.

Advanced Topics in Felony Sentencing

Two-day course covers complex sentencing computations and alternative sentencing schemes.

Business Process Reengineering Workshops

Manager/supervisor regional education for court leadership teams and individuals on business process reengineering methodology.

Civil Case Management Series

Demonstrates key aspects of the civil pre-trial process, including how to conduct case management conferences, facilitate alternative dispute resolution, avoid unnecessary discovery disputes, and run final status conferences.

Communication Strategies for Leadership

One-day regional program for managers/supervisors/leads/seniors provides opportunities for peer interaction and instructor feedback on effective communication strategies for working with individuals and teams.

Core 24: Advanced Skills for Experienced Court Managers and Administrators

Three-day course provides an opportunity for experienced leaders to enhance skills, while sharing ideas and networking with other court leaders.

Court Appointed Special Advocate Volunteer Training

Overview of the delinquency process for experienced volunteers with the Superior Court of San Francisco's Court Appointed Advocate Program, and the process from detention through postdisposition, with a discussion on the professionals who work with juvenile justice-involved youth.

Court Clerk Training Institute

Week-long course on criminal procedures for courtroom and legal process clerks.

Cow County Judges Institute

Forum for judges who handle multiple types of calendars to keep up to date; includes substantive law courses in multiple disciplines, discussion on case and court management with a focus on issues affecting small courts.

Criminal Law Orientation

Criminal law and procedure for judges and subordinate judicial officers new to a criminal assignment.

Education, Training, and Development (Institute for Court Management)

Three-day course on the fundamentals of adult education and instructional design as well as different approaches to employee development. The courts' unique educational environment is explored, and participants learn how to approach education not only for the purpose of effectively performing daily work, but also as a key factor in fulfilling the court's mission or strategic plan.

Family Law and Self-Help Conference

Staff assisted in organizing sessions on cultural issues in domestic violence in tribal communities, strategies to address those issues, and providing effective self-help services to tribal communities.

Ground Water Litigation Webinar

Exploration of California's unique groundwater law with emphasis on understanding the Sustainable Groundwater Management Act of 2014 and potential legal issues resulting from its implementation.

Handling Cases Involving Abuse Later in Life

Two-day course guides judges through a sexual assault trial from arraignment to sentencing and post-sentencing procedures and emphasizes the key issues: dynamics of sexual assault cases, the needs of the victim and specially mandated accommodations, and myths and misconceptions about sexual assault victims and offenders.

Managing Technology Projects and Resources (Institute for Court Management)

Designed to help participants manage technology and technology resources, enhance their technology management skills, and integrate technology into their management strategies.

Juvenile Dependency Orientation

Review of juvenile dependency law and procedure; the philosophy and responsibilities that underlie the child welfare system and the dependency court; child development; substance abuse; mental health; domestic violence; disproportionately high minority contact; issues affecting LGBTQ children and families; psychotropic medication; and the role of the judicial officer in juvenile court, and his or her unique ethical issues.

New Judge Orientation

One-week orientation program introduces new judges, commissioners, and referees to their judicial duties and familiarizes them with ethical responsibilities in ensuring fairness in all proceedings, promoting uniform court practices, and improving the administration of justice.

Preventing and Responding to Sexual Harassment

Webinar for commissioners, referees, supervisors, and managers helps meet the mandate of Government Code section 12950.1.

Psychotropic Medication Training

Course for San Francisco Superior Court juvenile judges on the overuse of psychotropic medication on foster children.

Safety During Site Visits for Probate Investigators

Procedures that probate court investigators can use to maximize safety when planning and conducting site visits.

Substance Abuse Treatment, Complementary Treatment, and Social Services, and Drug and Alcohol Testing

Part of a continuing series, the webinars feature judges, court staff, local practitioners, and national experts sharing best practices for implementing Drug Court Standards.

Title IV-E Foster Care Maintenance Eligibility Training

Course for judges of the Superior Court of Siskiyou County on elements of title IV-E, including the historical context of the federal bill, and new required legal findings and orders related to the Continuum of Care Reform.

Traffic Orientation

Two-day course addresses general procedural and substantive law governing traffic cases and focuses on common, problematic issues confronting the traffic court and practical solutions.

Trial Court Revenue Distribution

The Judicial Council and the State Controller's Office partner to provide this training for court, county, city, and parking entities that perform revenue collection and distribution activities.

Annual California Youth Court Summit

Attended by 300 youth and adults from 25 youth courts and 10 counties, this three-day annual educational conference, now in its 12th year, focused on critical youth justice issues, reducing substance abuse, using technology to prepare jurors, implicit bias, and taking a trauma-informed approach to youth courts.

Workers' Compensation Claim Settlement Authority Process

Overview of the program's settlement authority process implemented on July 1, 2017. The course includes a discussion of the application of settlement authority tiers, timelines, and a review of the new Settlement Authority Request form.

Staffing Metrics

| | | | | Lead | ership Se | rvices Div | vision | Operations & Programs Division | | | Administrative Division | | | | | | | | | |
|--|---------------------|------------------------------|-------------------|-------------------|-------------------|---------------------|--|---|---------------------------------|---------------------------------|---|--------------------------------|--------------------|-------------------------------------|--------------------|-------------------------------------|--------------------|---------------------------|------------------|---------------------|
| STAFFING | Executive Office | Govern- mental Affairs | Public Affairs | Legal Services | Audit Services | Special Projects | Judicial Council and Trial Court Leadership | Center for Families, Child. & Courts | Court Operations Services | Criminal Justice Services | Center for Judicial Education & Research | Appellate Court Services | Capital Program | Real Estate & Facilities Mgmt | Budget Services | Branch Account. & Procurement | Human Resources | Information Technology | Admin Support | Judicial Council |
| Authorized Position (FTE) | 10.00 | 11.00 | 8.00 | 58.00 | 14.00 | 10.00 | 19.80 | 64.00 | 34.60 | 22.00 | 48.50 | 7.00 | 43.00 | 95.00 | 41.00 | 141.00 | 43.00 | 119.00 | 30.00 | 818.90 |
| Filled Authorized Position (FTE) | 10.00 | 10.00 | 8.00 | 44.60 | 12.00 | 8.00 | 16.80 | 55.35 | 28.80 | 20.40 | 41.50 | 5.00 | 28.00 | 72.50 | 34.00 | 129.00 | 40.00 | 97.88 | 27.00 | 688.83 |
| Headcount - Employees | 10 | 10 | 8 | 45 | 12 | 8 | 17 | 56 | 29 | 21 | 42 | 5 | 28 | 73 | 34 | 129 | 40 | 98 | 28 | 693.00 |
| Vacancy (FTE) | 0.00 | 1.00 | 0.00 | 13.40 | 2.00 | 2.00 | 3.00 | 8.65 | 5.80 | 1.60 | 7.00 | 2.00 | 15.00 | 22.50 | 7.00 | 12.00 | 3.00 | 21.12 | 3.00 | 130.07 |
| Vacancy Rate (FTE) | 0.0% | 9.1% | 0.0% | 23.1% | 14.3% | 20.0% | 15.2% | 13.5% | 16.8% | 7.3% | 14.4% | 28.6% | 34.9% | 23.7% | 17.1% | 8.5% | 7.0% | 17.7% | 10.0% | 15.88% |
| Judicial Council Temp Employee (909) | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 8.00 |
| *Employment Agency Temporary Worker (FTE) | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 1 | 9.00 |
| Contractors (FTE) | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.5 | 0.0 | 0.0 | 0.0 | 0.6 | 1.0 | 0.0 | 0.0 | 0.0 | 37.25 | 0.0 | 39.35 |
| TOTAL WORKFORCE (based on FTE, 909s, Agency Temps & Contractors) | 11.00 | 10.00 | 9.00 | 46.60 | 12.00 | 8.00 | 17.80 | 55.35 | 31.30 | 21.40 | 42.50 | 5.00 | 28.60 | 77.50 | 34.00 | 131.00 | 41.00 | 135.13 | 28.00 | 745.18 |

See following page for definition of terms.

| Definitions: | |
|---|--|
| Authorized Position (FTE) | Authorized positions include all regular ongoing positions approved in the Budget Act for that year. The number is based on the position's approved full time equivalency. |
| Filled Authorized Position (FTE) | Filled authorized positions are the number of authorized positions filled based on the employee's full time equivalency. |
| Headcount | The actual count of persons employed by the Judicial Council, regardless of FTE. This number could be more than the FTE count due to part-time employees being counted as "1". This count does not include Judicial Council Temporary Employees (909) or Employment Agency Temporary Workers. |
| Vacancy (FTE) | The number of vacancies is the number of authorized positions minus the number of filled authorized positions. |
| Vacancy Rate (FTE) | Vacancy Rate is calculated by dividing the number of authorized positions by the number of vacant authorized positions. This number excludes temporary employees ("909" funded employees). See definition of temporary employees below. |
| Judicial Council Temporary Employees (909) | The "909 category is the State Controller code used to reference a temporary position or a temporary employee. 909 Position - it is a position that may not be funded through the Budget Act and it is categorized by the Office of the State Controller as a temporary position used in the absence of an authorized position. 909 positions may be occupied by regular full-time employees due tot he unavailability of an authorized vacant position. 909 Employee - An employee whose salary is not funded through the Budget Act. 909 employees may receive benefits if employed at least half-time and the term of employment is for more than six months. Types of "909" Temporary Employees include: Retired Annuitants: A retired annuitant is a retiree who is hired by his or her former employer or by another employer that participates in the same retirement system as the former employer. This includes a former participant in a state retirement system who has previously retired and who is currently receiving retirement benefits. Temporary: Employees employed on a temporary basis - they do not receive full benefits (but do receive CalPERS retirement service credit). |
| Employment Agency Temporary Worker (FTE) | These are workers from an employment agency. They are employees of the employment agency that provide short-term support. |
| Contractor (FTE) | Individuals augmenting the work of the organization and providing services for a limited period of time or on a specific project, where a particular skill set is required that is either (1) not within an existing classification and/or job description or (2) where recruitment issues require the use of a contractor. |
| Full Time Equivalency (FTE) | Full Time Equivalency is the number of total maximum compensable hours designated in a year divided by actual hours worked in a year. For example, the work year is defined as 2,080 hours; one employee occupying a paid full time job all year would consume one FTE. One employee working for 1,040 hours each would consume .5 FTE. |
| Time Base | Full time: Employee is scheduled to work 40 hours per week. Receives full benefits. Part time: Employee is scheduled to work less than 40 hours per week. Employees that work more than 20 hours per week receive full benefits. Intermittent: Employees have no established work schedule and work on an as-needed basis that varies from one pay period to the next. Eligibility for certain benefits may be limited for these employees. |
| Regular Employee | Commonly referred to as "permanent employees" – They receive full benefits. |
| Limited Term | Limited Term Position – It is a position that is funded through the Budget Act with a specific end date. The position is counted as an authorized position. Employee in limited term positions may be regular or temporary. |

Judicial Appointments and Vacancies Report

Judicial Appointments: Since the May 19, 2017, Judicial Council meeting, the Governor has made 23 judicial appointments to the superior courts: Alameda (1), Contra Costa (2), Humboldt (1), Kern (1), Los Angeles (9), Orange (2), San Bernardino (2), San Luis Obispo (1), Santa Cruz (1), Shasta (2), and Tehama (1).

| TYPE OF COURT | NUMBER OF COURTS | NUMBER OF JUDGESHIPS | | | | | | | | |
|------------------|------------------------|----------------------|--------|--------|---------------------------------|-----------------------|-----------------------|--|--|--|
| | | Authorized | Filled | Vacant | Vacant (AB 159 positions) | Filled(Last Month) | Vacant(Last Month) | | | |
| Supreme Court | 1 | 7 | 7 | 0 | 0 | 7 | 0 | | | |
| Courts of Appeal | 6 | 105 | 97 | 8 | 0 | 98 | 7 | | | |
| Superior Courts | 58 | 1,730 | 1,610 | 70 | 50* | 1,621 | 109 | | | |
| All Courts | 65 | 1,842 | 1,714 | | 128 | 1,726 | 116 | | | |

Number of Judgeships Authorized, Filled and Vacant as of June 30, 2017

*Fifty new judgeships were authorized in January 2008 with the enactment of AB 159 (Chapter 722, Statutes of 2007), however, funding for the 50 positions has not been provided. The allocation list for these judgeships is based on the most recent Judicial Needs Assessment (2014). See: http://www.courts.ca.gov/documents/jc-20141212-itemT.pdf for the current judicial allocation list.

Vacancies occurring in the current reporting month are highlighted below in yellow:

| Appellate District | Vacancies | Reason for Vacancy | Justice to be Replaced | Last Day In Office |
|---|-----------|-----------------------|------------------------|-----------------------|
| Second Appellate District, Division Two | 5 | Retirement | Hon. Roger W. Boren | 01/31/17 |
| Second Appellate District, Division Three | | Retirement | Hon. Patti S. Kitching | 10/05/15 |
| Second Appellate District, Division Five | | Deceased | Hon. Paul Turner | 05/18/17 |
| Second Appellate District, Division Five | | Retirement | Hon. Richard M. Mosk | 03/31/16 |

JUDICIAL VACANCIES: APPELLATE COURTS

| Second Appellate District, Division Seven | | Retirement | Hon. Fred Woods | 03/31/15 |
|---|---|------------|----------------------------|----------|
| Fourth Appellate District, Division One | 2 | Deceased | Hon. Alex C. McDonald | 09/08/16 |
| Fourth Appellate District, Division Three | | Retirement | Hon. William F. Rylaarsdam | 06/30/16 |
| Sixth Appellate District | 1 | Resigned | Hon. Miguel A. Marquez | 07/29/16 |
| TOTAL VACANCIES | 8 | | | |

JUDICIAL VACANCIES: SUPERIOR COURTS

| County | Vacancies | Reason for Vacancy | Judge to be Replaced | Last Day In Office |
|-------------|-----------------|-----------------------|--------------------------------|-----------------------|
| Alameda | <mark>5</mark> | Retirement | Hon. Willie Lott, Jr. | <mark>06/08/17</mark> |
| Alameda | | Retirement | Hon. Robert B. Freedman | 03/31/17 |
| Alameda | | Retirement | Hon. Larry J. Goodman | 03/28/17 |
| Alameda | | Retirement | Hon. Christine K. Moruza | 03/13/17 |
| Alameda | | Retirement | Hon. Reginald P. Saunders | 10/15/15 |
| El Dorado | 1 | Dis Retirement | Hon. Nelson Keith Brooks | 03/24/17 |
| Fresno | 2 | Retirement | Hon. Dale Ikeda | 02/20/17 |
| Fresno | | Elevated | Hon. Kathleen A. Meehan | 02/08/17 |
| Lake | 1 | Retirement | Hon. Richard C. Martin | <mark>05/31/17</mark> |
| Lassen | 1 | Retirement | Hon. Michele Verderosa | <mark>06/03/17</mark> |
| Los Angeles | <mark>23</mark> | Retirement | Hon. Karen Joy Nudell | <mark>06/08/17</mark> |
| Los Angeles | | Retirement | Hon. Carol Boas Goodson | <mark>05/31/17</mark> |
| Los Angeles | | Retirement | Hon. R. Carlton Seaver | <mark>05/31/17</mark> |
| Los Angeles | | Retirement | Hon. George Genesta | <mark>05/31/17</mark> |
| Los Angeles | | Retirement | Hon. Teresa Sanchez- Gordon | 05/16/17 |

| Los Angeles | Retirement | Hon. David L. Minning | 04/14/17 |
|-------------|---------------------------------------|----------------------------|----------|
| Los Angeles | Retirement | Hon. Lloyd M. Nash | 03/03/17 |
| Los Angeles | Retirement | Hon. Soussan G. Bruguera | 12/15/16 |
| Los Angeles | Dis Retirement | Hon. Sally L. Meloch | 12/10/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 11/17/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 11/17/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 11/17/16 |
| Los Angeles | Retirement | Hon. Steven R. Van Sicklen | 10/31/16 |
| Los Angeles | Retirement | Hon. John L. Henning | 10/04/16 |
| Los Angeles | Retirement | Hon. John T. Doyle | 08/09/16 |
| Los Angeles | Deceased | Hon. Ralph W. Dau | 07/14/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Converted Commissioner Position | Vacancy | 06/09/16 |
| Los Angeles | Retirement | Hon. Joanne B. O'Donnell | 04/30/16 |

| Marin | 1 | Retirement | Hon. Terrence R. Boren | 10/18/16 |
|------------------------------|----------------|----------------|---------------------------------|-----------------------|
| Mendocino | 1 | Retirement | Hon. Richard James Henderson | 12/31/16 |
| Merced | 1 | Retirement | Hon. Marc A. Garcia | 05/15/15 |
| Napa | 1 | Retirement | Hon. Francisca P. Tisher | <mark>06/16/17</mark> |
| Orange | 5 | Retirement | Hon. Jamoa Athena Moberly | 05/15/17 |
| Orange | | Retirement | Hon. Andrew P. Banks | 05/01/17 |
| Orange | | Retirement | Hon. Carla M. Singer | 04/28/17 |
| Orange | | Retirement | Hon. Clay M. Smith | 04/05/17 |
| Orange | | Retirement | Hon. Frederick Paul Horn | 04/02/17 |
| Riverside | 2 | Elevated | Hon. Richard T. Fields | 02/08/17 |
| Riverside | | Retirement | Hon. Michele D. Levine | 10/13/16 |
| Sacramento | 3 | Converted | New Position | 10/13/16 |
| Sacramento | | Retirement | Hon. Robert C. Hight | 04/08/16 |
| Sacramento | | Retirement | Hon. Michael G. Virga | 03/26/16 |
| San Bernardino | 2 | Deceased | Hon. Brian D. Saunders | 12/27/16 |
| San Bernardino | | Retirement | Hon. Barbara A. Buchholz | 10/14/16 |
| San Diego | 4 | Elevated | Hon. William S. Dato | 02/08/17 |
| San Diego | | Retirement | Hon. Ronald S. Prager | 01/06/17 |
| San Diego | | Retirement | Hon. David M. Szumowski | 07/31/16 |
| San Diego | | Retirement | Hon. Charles W. Ervin | 02/22/16 |
| San Francisco | 3 | Retirement | Hon. Ronald E. Albers | 03/16/17 |
| San Francisco | | Retirement | Hon. Donald J. Sullivan | 02/28/17 |
| San Francisco | | Retirement | Hon. James P. Collins | 09/23/16 |
| <mark>San Luis Obispo</mark> | <mark>3</mark> | Retirement | Hon. John A. Trice | <mark>06/15/17</mark> |
| San Luis Obispo | | Retirement | Hon. Michael L. Duffy | 09/09/16 |
| San Luis Obispo | | Retirement | Hon. Jac A. Crawford | 03/24/16 |
| Santa Clara | 6 | Retirement | Hon. Margaret Johnson | 05/05/17 |
| Santa Clara | | Retirement | Hon. Mary Ann Grilli | 04/28/17 |
| Santa Clara | | Dis Retirement | Hon. Teresa Guerrero-Daley | 02/03/17 |

| Santa Clara | | Retirement | Hon. Richard J. Loftus, Jr. | 09/20/16 |
|---------------------|----------------|----------------|-----------------------------|-----------------------|
| Santa Clara | | Dis Retirement | Hon. Ron M. Del Pozzo | 05/12/16 |
| Santa Clara | | Retirement | Hon. Susan Bernardini | 07/31/15 |
| <mark>Solano</mark> | <mark>1</mark> | Retirement | Hon. Scott L. Kays | <mark>06/11/17</mark> |
| Sonoma | 1 | Retirement | Hon. Gary A. Medvigy | 10/21/16 |
| Stanislaus | 1 | Retirement | Hon. Nan Cohan Jacobs | 12/23/15 |
| Tulare | 1 | Separated | Hon. Valeriano Saucedo | 05/25/16 |
| Ventura | 1 | Retirement | Hon. Donald D. Coleman | 04/07/17 |
| TOTAL VACANCIES | 70 | | | |

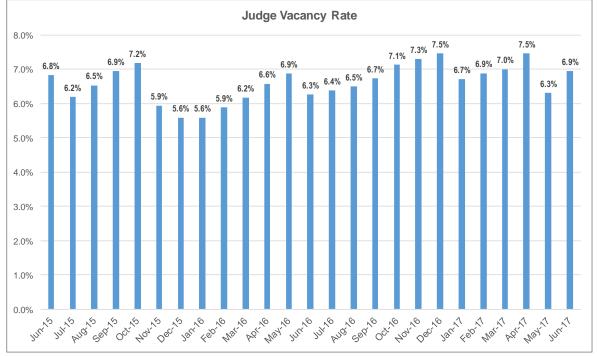
| Superior Courts | | | | | Courts of Appeal | | | |
|-----------------|------------|--------|---------|---------|------------------|--------|---------|---------|
| | | | | Vacancy | | | | Vacancy |
| Month | Authorized | Filled | Vacancy | Rate | Authorized | Filled | Vacancy | Rate |
| Jun-15 | 1,714 | 1,597 | 117 | 6.8% | 105 | 101 | 4 | 3.8% |
| Jul-15 | 1,715 | 1,609 | 106 | 6.2% | 105 | 101 | 4 | 3.8% |
| Aug-15 | 1,715 | 1,603 | 112 | 6.5% | 105 | 101 | 4 | 3.8% |
| Sep-15 | 1,715 | 1,596 | 119 | 6.9% | 105 | 101 | 4 | 3.8% |
| Oct-15 | 1,715 | 1,592 | 123 | 7.2% | 105 | 100 | 5 | 4.8% |
| Nov-15 | 1,717 | 1,615 | 102 | 5.9% | 105 | 100 | 5 | 4.8% |
| Dec-15 | 1,718 | 1,622 | 96 | 5.6% | 105 | 102 | 3 | 2.9% |
| Jan-16 | 1,719 | 1,623 | 96 | 5.6% | 105 | 102 | 3 | 2.9% |
| Feb-16 | 1,719 | 1,618 | 101 | 5.9% | 105 | 102 | 3 | 2.9% |
| Mar-16 | 1,719 | 1,613 | 106 | 6.2% | 105 | 100 | 5 | 4.8% |
| Apr-16 | 1,719 | 1,606 | 113 | 6.6% | 105 | 100 | 5 | 4.8% |
| May-16 | 1,719 | 1,601 | 118 | 6.9% | 105 | 100 | 5 | 4.8% |
| Jun-16 | 1,726 | 1,618 | 108 | 6.3% | 105 | 98 | 7 | 6.7% |
| Jul-16 | 1,726 | 1,616 | 110 | 6.4% | 105 | 97 | 8 | 7.6% |
| Aug-16 | 1,726 | 1,614 | 112 | 6.5% | 105 | 97 | 8 | 7.6% |
| Sep-16 | 1,726 | 1,610 | 116 | 6.7% | 105 | 96 | 9 | 8.6% |
| Oct-16 | 1,727 | 1,604 | 123 | 7.1% | 105 | 96 | 9 | 8.6% |
| Nov-16 | 1,727 | 1,601 | 126 | 7.3% | 105 | 96 | 9 | 8.6% |
| Dec-16 | 1,730 | 1,601 | 129 | 7.5% | 105 | 96 | 9 | 8.6% |
| Jan-17 | 1,730 | 1,614 | 116 | 6.7% | 105 | 99 | 6 | 5.7% |
| Feb-17 | 1,730 | 1,611 | 119 | 6.9% | 105 | 98 | 7 | 6.7% |
| Mar-17 | 1,730 | 1,611 | 121 | 7.0% | 105 | 98 | 7 | 6.7% |
| Apr-17 | 1,730 | 1,601 | 129 | 7.5% | 105 | 98 | 7 | 6.7% |
| May-17 | 1,730 | 1,621 | 109 | 6.3% | 105 | 98 | 7 | 6.7% |
| Jun-17 | 1,730 | 1,610 | 120 | 6.9% | 105 | 97 | 8 | 7.6% |

Number of Judgeships Authorized, Filled and Vacant as of the End of Each Month: From June 2015 through June 2017 (two years)*

* As of June 31, 2017

 $Note: Grow th \ in \ number \ of \ Authorized \ Judgeships \ reflects \ SJO \ conversions.$

Since 2007, 134 SJO positions have been converted to judgeships .



Percent of Judgeships Vacant Between June 2015 and June 2017 in the Superior Courts

Source of Data for All Graphs: CAPS data compiled by the Office of Court Research.