



JUDICIAL COUNCIL OF CALIFORNIA

455 Golden Gate Avenue · San Francisco, California 94102-3688

www.courts.ca.gov

REPORT TO THE JUDICIAL COUNCIL

Item No.: 20-094

For business meeting on September 25, 2020

Title

Judicial Branch Budget: Court Innovations
Grant Program, Fiscal Year 2019–20, Quarter
4 Report

Agenda Item Type

Information Only

Date of Report

August 7, 2020

Submitted by

Judicial Branch Budget Committee
Hon. David M. Rubin, Chair

Contact

Marcela Eggleton, 916-263-1738
marcela.eggleton@jud.ca.gov

Executive Summary

This report summarizes Judicial Council Court Innovations Grant Program activity for the fourth quarter of fiscal year 2019–20.

Relevant Previous Council Action

In March 2017, the council awarded \$23.5 million in total grants for projects designed to improve court efficiency and increase the public's access to justice. The council also allocated \$625,000—out of the statutorily authorized \$1.25 million—for administration costs and retained 3 to 4 percent of each category's funding for unforeseen expenses incurred by grant-funded projects.

In June 2017, the council delegated to the Judicial Branch Budget Committee authority to decide whether to approve funding adjustments.¹ The delegation requires the Budget Committee to use the same criteria used in deciding the original awards and to report quarterly to the council about any authorized adjustments.

¹ Circulating Order No. CO-17-04: Judicial Council of Cal., Judicial Branch Budget Com. Rep., *Judicial Branch: Request for Delegation to Judicial Branch Budget Committee for Court Innovations Grants* (June 21, 2017), <https://jcc.legistar.com/View.ashx?M=F&ID=5311536&GUID=0A5CC66D-930B-4818-A504-F8FF88486F06>.

Analysis/Rationale

Award and budget adjustments

The Budget Committee approved two types of award and budget adjustments in the fourth quarter of fiscal year (FY) 2019–20:

Award increases

- \$36,114.41 for the Video Appearances and Remote Evidence Sharing project of the Superior Court of Placer County to accurately reflect the amount of grant-specific support provided to the project.
- \$5,000 for the Community Supervision Court project of the Superior Court of San Joaquin County for resources while engaging participants during the shelter-in-place COVID-19 mitigation efforts.

Shifts between budget categories

- \$38,511.35 for the Human Trafficking Court Planning and Implementation of the Superior Court of Fresno County to accurately reflect grant-specific support and to provide for additional technology and operational needs.
- \$73,080.63 for the Cloud-Based Disaster Recovery Solution for the Superior Court of Monterey County to provide necessary systems and network equipment to enable hybrid, local-cloud disaster recovery network configuration.
- \$84,000 for the Online Interactive Multilingual Tool of the Superior Court of Yolo County to accurately reflect grant-specific support.

Contingency fund balance

As of the date of this report, the balance of the contingency fund is \$931,305.84, allocated as follows:

- \$903,366.84 for collaborative court projects;
- \$0.00 for self-help, family, and juvenile court projects; and
- \$27,939.00 for other efficiency projects.

The Budget Committee will continue to monitor the contingency fund closely and report on any changes.

Summary of findings

Of the 52 projects awarded grant funding, 49 projects are moving forward.² The amount awarded to the 52 projects totals just over \$22.68 million.³ As of the fourth quarter of FY 2019–20, a little over \$22.67 million has been distributed to the projects.⁴

Of the approximately \$22.67 million distributed to the awardee courts, a little over \$18.87 million was expended by the awardees through FY 2019–20, quarter 4, with approximately \$3.59 million expended in quarter 4. (See Attachment A, *Court Innovations Grant Program Fiscal Year 2019–20, Quarter 4 Distribution and Expenditure Summary*.)

Council staff continue to conduct postimplementation project demonstrations to engage directly with the enhanced court projects to complement both the administration of the grant and the outcome measurement reporting, thus giving the project a voice through this personal interaction.

Fiscal Impact and Policy Implications

Next steps

The Budget Committee and council staff will continue to collect and review quarterly reports; track project budgets, expenditures, and implementation plans; and measure projects' outcome goals. Staff will also continue to work with the projects to help gauge the innovation, efficiency, accessibility, modernization, replicability, sustainability, and cost impact of each project. Judicial Council Audit Services staff will assist with monitoring the grant program by conducting periodic reviews of specific grant projects.

Attachments and Links

1. Attachment A: *Court Innovations Grant Program Fiscal Year 2019–20, Quarter 4 Distribution and Expenditure Summary*

² The Superior Court of Contra Costa County withdrew two projects from the program because of unforeseen circumstances: the California's Virtual Self-Help Site project and the Expanding LEP Court Access project; and the Superior Court of Riverside County withdrew the Attorney and Litigant Electronic Courtroom Self Check-In project due to unforeseen circumstances.

³ This total award amount reflects the current award remaining after any award amount has been returned.

⁴ This total distribution amount reflects previously distributed amounts and any return of funding.

**Court Innovations Grant Program
Fiscal Year 2019-20, Quarter 4
Distribution and Expenditure Summary**

Attachment A

| Court | Project Name | Total Award ¹ | Total Distribution ² as of FY 2019-20, Q4 | Total Project Expenditures | FY 2019-20, Q4 Expenditures |
|----------------------|--|--------------------------|--|-------------------------------|--------------------------------|
| 5th DCA | Self-Help and Learning Center Website | \$353,166.00 | \$346,503.20 | \$176,621.36 | \$58,000.00 |
| 5th DCA | Modernize the Transcript Assembly Program | \$793,000.00 | \$792,695.30 | \$351,039.30 | \$232,986.00 |
| Alameda | Collaborative Court Management Information System | \$114,223.00 | \$114,223.00 | \$105,989.80 | \$36,043.34 |
| Butte | Remote Video-Conferencing Technology | \$903,830.79 | \$903,830.79 | \$823,230.68 | \$88,112.91 |
| Contra Costa | California's Virtual Self Help Site (withdrawn) | \$249,486.95 | \$249,486.95 | \$249,486.95 | \$0.00 |
| Contra Costa | Veteran's Treatment Court (VTC) | \$184,855.45 | \$184,855.45 | \$171,453.14 | \$7,037.87 |
| Contra Costa | Expanding LEP Court Access (withdrawn) | \$103.92 | \$103.92 | \$103.92 | \$0.00 |
| El Dorado | One Family/One Judge Pilot Project | \$152,471.55 | \$152,471.55 | \$84,217.86 | \$24,732.48 |
| Fresno | Human Trafficking Court Planning and Implementation | \$508,776.00 | \$508,776.00 | \$431,070.58 | \$52,179.65 |
| Humboldt | Family Dependency Drug Court | \$593,717.30 | \$593,717.30 | \$399,002.14 | \$114,075.95 |
| Humboldt | Interactive Video Conferencing System | \$170,919.87 | \$170,919.87 | \$113,860.06 | \$18,689.92 |
| Inyo | Reentry Court | \$247,487.37 | \$247,487.37 | \$223,522.90 | \$30,947.51 |
| Los Angeles | E-Filing | \$100,000.00 | \$100,000.00 | \$100,000.00 | \$0.00 |
| Los Angeles | Jury Chatbot | \$97,500.00 | \$97,500.00 | \$63,582.73 | \$0.00 |
| Los Angeles | Justice System Partner and Litigant Portal | \$637,500.00 | \$637,500.00 | \$574,125.00 | \$24,000.00 |
| Mendocino | Adult Drug Court | \$449,611.89 | \$449,611.89 | \$449,612.00 | \$99,720.24 |
| Merced | Video Conference Hearings Project | \$222,566.45 | \$222,566.45 | \$212,086.58 | \$0.00 |
| Monterey | Cloud Based Disaster Recovery Solution | \$224,740.74 | \$224,740.74 | \$200,946.73 | \$98,820.73 |
| Monterey | California Court Access App | \$917,737.82 | \$917,737.68 | \$812,535.57 | \$78,938.09 |
| Orange | Court User Portal | \$910,560.00 | \$910,560.00 | \$795,868.33 | \$175,075.00 |
| Orange | Improving Court Management Through the Use of Analytics | \$1,038,851.34 | \$1,038,851.34 | \$866,829.04 | \$274,879.34 |
| Orange | Automating the Courtroom Check-in | \$246,190.00 | \$246,190.00 | \$134,210.36 | \$18,045.28 |
| Orange | Conservatorship Accountability Portal | \$312,812.00 | \$312,812.00 | \$312,293.98 | \$3,951.20 |
| Orange | Enhance Self-Help Portal | \$326,800.00 | \$326,800.00 | \$305,624.16 | \$65,320.00 |
| Placer | Video Appearances | \$823,013.31 | \$823,013.31 | \$829,341.38 | \$71,567.23 |
| Riverside | Intelligent Self-Help Kiosk | \$701,602.14 | \$701,602.14 | \$653,986.52 | \$50,348.70 |
| Riverside | Traffic Avatar | \$46,865.65 | \$46,865.65 | \$33,290.10 | \$0.00 |
| Riverside | Attorney and Litigant Electronic Courtroom Self Check-In | \$0.14 | \$0.14 | \$0.00 | \$0.00 |
| Sacramento | Videoconferencing of Mental Health Hearings | \$59,297.03 | \$59,297.03 | \$59,297.03 | \$0.00 |
| Sacramento | Monitor and Measure the Achievement of Program Goals | \$311,849.00 | \$311,849.00 | \$311,849.00 | \$7,025.58 |
| San Bernardino | Remote Video Proceedings | \$295,194.74 | \$295,194.74 | \$295,194.16 | \$44,612.62 |
| San Bernardino | Customer Relationship Management Portal | \$445,137.60 | \$445,083.60 | \$445,083.84 | \$0.00 |
| San Bernardino | Video-Conferencing Child Custody Recommending Counseling | \$35,537.60 | \$35,537.40 | \$35,537.00 | \$7,425.00 |
| San Diego | Access to Information Made Simple | \$332,631.00 | \$332,631.00 | \$269,675.53 | \$13,903.19 |
| San Diego | Evidence-Based Sentencing Triage Assessment Unit | \$1,300,347.47 | \$1,300,347.47 | \$959,761.49 | \$11,669.16 |
| San Francisco | Veterans Justice Court | \$466,050.60 | \$466,050.60 | \$425,625.24 | \$119,333.32 |
| San Joaquin | Community Supervision Court | \$2,194,871.94 | \$2,194,871.94 | \$1,743,759.35 | \$137,135.76 |
| San Mateo | DUI and Restitution Court | \$1,012,477.00 | \$1,012,477.00 | \$780,251.68 | \$200,672.54 |
| San Mateo | Develop and Provide Expanded Online Self-help | \$336,000.00 | \$336,000.00 | \$334,851.71 | \$241,544.00 |
| San Mateo | Automated Line Queuing System | \$125,000.00 | \$125,000.00 | \$47,878.10 | \$20,478.10 |
| Santa Barbara | Instant Family Law Orders | \$422,500.00 | \$422,500.00 | \$398,981.75 | \$161,154.59 |
| Santa Cruz | Collaborative Justice System | \$1,458,102.17 | \$1,458,102.17 | \$1,209,634.54 | \$373,407.38 |
| Santa Cruz | SMS Notifications | \$135,099.45 | \$135,099.45 | \$96,133.22 | \$20,502.67 |
| Shasta | Cooperative Parenting Program | \$196,245.65 | \$196,245.65 | \$145,427.23 | \$18,970.85 |
| Solano | Drug Court Case Management System | \$108,000.00 | \$108,000.00 | \$51,830.89 | \$51,830.89 |
| Sonoma | Veterans Court Enhancements | \$56,476.00 | \$56,476.00 | \$56,476.00 | \$0.00 |
| Sonoma | Queuing/Appointment/Calendar System | \$56,586.00 | \$56,586.00 | \$56,586.01 | \$56,586.01 |
| Stanislaus | Homeless Court Program | \$593,089.91 | \$593,089.91 | \$348,056.70 | \$77,534.49 |
| Tuolumne | Expand Small Claims-Civil Mediation Program | \$2,550.00 | \$2,550.00 | \$1,350.00 | \$0.00 |
| Ventura | Juvenile Delinquency Family Engagement Workshops | \$88,182.00 | \$88,182.00 | \$72,852.83 | \$2,287.22 |
| Ventura | Internet Based Self-help Workshops | \$1,229,448.97 | \$1,229,448.97 | \$1,229,449.00 | \$405,384.23 |
| Yolo | Online Interactive Multilingual Tool | \$91,500.00 | \$91,500.00 | \$23,508.95 | \$2,932.60 |
| Program Total | | \$22,680,563.81 | \$22,673,541.97 | \$18,872,982.42 | \$3,597,861.64 |

¹ The total award reflects the current award remaining after any award amount has been returned.

² The total distribution reflects all funds that have been distributed and any funds returned.