



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: July 18–19, 2019

Title

Trial Court Allocations from the State Trial Court Improvement and Modernization Fund (IMF) for 2019–20

Agenda Item Type

Action Required

Effective Date

July 20, 2019

Rules, Forms, Standards, or Statutes Affected

None

Date of Report

June 28, 2019

Recommended by

Trial Court Budget Advisory Committee
Hon. Jonathan B. Conklin, Chair

Contact

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Executive Summary

The Trial Court Budget Advisory Committee (TCBAC) recommends that the Judicial Council approve allocations for 2019–20 from the State Trial Court Improvement and Modernization Fund (IMF) in the amount of \$80,079,860. This value includes approved budget change proposals (BCP) in the 2019-20 Budget Act.

Recommendation

The Trial Court Budget Advisory Committee (TCBAC) unanimously recommends that the Judicial Council approve a total of \$80,079,860 in allocations for 2019–20 from the State Trial Court Improvement and Modernization Fund (IMF).

Relevant Previous Council Action

Since the inception of state trial court funding in 1996, every year the Judicial Council or the Executive and Planning Committee has allocated trial court–related funding from the IMF, or its predecessor fund, the Judicial Administration Efficiency and Modernization Fund.

Analysis/Rationale

The 2019–20 Budget Act contains BCPs that impact the 2019–20 allocations for the IMF. The allocation requests below incorporate those approved BCPs.

The following are the proposed 2019–20 allocation requests by Judicial Council office. (Additional details on each of the programs are in Attachment B.)

1. **Audit Services:** Conducts operational audits and risk assessments, and recommends improvement to all judicial branch entities.
 - a. Approve an allocation of \$409,804, an increase of \$39,804 from the 2018–19 allocation.
 - i. The increase is primarily due to increased staffing costs.
2. **Branch Accounting and Procurement:** Supports the trial courts’ financial and human resources Phoenix System.
 - a. Approve an allocation of \$138,625, a reduction of \$1,364,580.
 - i. The allocation is for staff supporting the procurement needs of courts.
 - ii. The reduction in allocation is due to the approval of the 2019–20 Phoenix Roadmap BCP, which shifts expenditures out of the IMF and into the General Fund.
3. **Center for Families, Children & the Courts:** Supports various programs within the courts for litigants and provides court interpreter testing.
 - a. Approve an allocation of \$5,907,692, an increase of \$663,692.
 - i. An increase of \$520,692 is due to a change to Code of Civil Procedure section 384 in 2017–18, which diverted funds for one year to IMF for the sole purpose of the Shriver Civil Counsel. The funds collected and unspent were reserved in the IMF fund balance and the program is requesting an allocation to spend these excess funds collected during that year for the Shriver program (see Attachment A, Column J, Row 8).
 - ii. An increase of \$143,000 is due to a funding shift from the office of Court Operations Services being merged in part with the Center for Families, Children & the Courts.
 - iii. Although the allocation of \$5,000,000 for the Self-Help Center is unchanged in amount, there has been a change in how unspent funds will be addressed. Provisional language was added to the 2018–19 Budget Act that says, “Of the funds appropriated in this item, \$5,000,000 shall be available for support of services for self-represented litigants, and any unexpended funds shall revert to the General Fund.”
4. **Center for Judicial Education & Research:** Provides education to judges, court leaders, court staff faculty, managers, supervisors, and lead staff.
 - a. Approve an allocation of \$1,202,000, no change from the 2018–19 allocation.

5. **Budget Services:** Supports meetings of various committees and subcommittees as they relate to the trial courts' funding, policies, and other issues.
 - a. Approve an allocation of \$366,216, an increase of \$28,716 from the 2018–19 allocation.
 - i. The two main expenditures are for Treasury Services–Cash Management and budget-focused training and meetings. The increase is due to increased staffing costs.
6. **Human Resources:** Supports the Trial Court Labor Relations Academy to provide assistance to trial court staff in meeting its many labor challenges (not mandated).
 - a. Approve an allocation of \$22,700, no change from the 2018–19 allocation.
7. **Information Technology:** Supports information systems for the 58 trial courts.
 - a. Approve an allocation of \$68,105,984, an increase of \$26,427,613 from the 2018–19 allocation.
 - i. The increase is almost exclusively due to multiple approved BCPs (see Attachment A, Column I, Rows 45–48).
 - ii. Without regard to impact of the BCPs, the net allocation request is lower by \$370,438 from prior year. There were savings from several projects that are ending or that had one-time funding (see Attachment A, Column J, Rows 21–37).
8. **Legal Services:** Supports the Judicial Council staff divisions and courts, manages litigation, and is responsible for rules and projects including the California Rules of Court and Judicial Council forms.
 - a. Approve an allocation of \$3,926,839, a reduction of \$2,961,661 from the 2018–19 allocation.
 - i. The reduction in allocation is due to the approval of the 2019–20 Litigation Management Program BCP which shifts expenditures out of the IMF and into the General Fund (see Attachment A, Column I, Rows 50–51).
 - ii. Without regard to the impact of the BCPs, the net allocation request is higher by approximately \$2.1 million from the prior year. The increased need is primarily due to pending litigation, which may or may not result in an expense to the IMF in 2019–20.

The 2019–20 IMF allocation requests total is \$80,079,860. This amount is reflected in the IMF Fund Condition Statement (Attachment C). The fund is estimated to have a sufficient balance for this level of allocations based on current projections of revenues and expenditure savings in 2018–19.

In last year's 2018–19 allocation request, it was noted that the fund was projected to have a negative balance in 2019–20 due to the structural imbalance. With the approval of the 2019–20

BCPs, the structural imbalance is now alleviated and, based on current revenue and expenditure projections, provides fund solvency (see Attachment C, Row 32).

Policy implications

None.

Comments

These items were not circulated for comment. Public comment was not received for these items when considered by the R&E Subcommittee on April 8, 2019, or the TCBAC on May 2, 2019.

Alternatives considered

None.

Fiscal and Operational Impacts

There are no additional costs to implement this recommendation.

Attachments and Links

1. Attachment A: Judicial Council–Approved 2018–19 Allocations and 2019–20 Proposed Allocations from the IMF State Operations and Local Assistance Appropriations
2. Attachment B: Summary of Programs
3. Attachment C: IMF Fund Condition Statement

**Judicial Council Approved 2018-19 Allocations and 2019-20 Proposed Allocations
from the State Trial Court Improvement and Modernization Fund (IMF) State Operations and Local Assistance Appropriations**

Attachment A

#	Program Name	Office	2018-19 Allocations			Recommended 2019-20 Allocations			\$ Change from 2018-19	% Change from 2018-19
			Judicial Council Approved Allocations	Proposed Adjustments	Pending Total Allocations	State Operations	Local Assistance	Total		
A	B	C	D	E	F	G	H	I = (G + H)	J = (I - F)	K = (J/F)
	Program Adjustments									
1	Superior Court Audit Program	AS	\$ 370,000		\$ 370,000	\$ 409,804		\$ 409,804	39,804	11%
2	Phoenix Program	BAP	\$ 1,381,205		\$ 1,381,205		\$ 1,531,000	\$ 1,531,000	149,795	11%
3	Trial Court Procurement/TCAS-MSA-IMF	BAP	\$ 122,000		\$ 122,000	\$ 138,625		\$ 138,625	16,625	14%
4	Domestic Violence Forms Translation	CFCC	\$ 17,000		\$ 17,000		\$ 17,000	\$ 17,000	-	0%
5	Interactive Software - Self-Rep Electronic Forms	CFCC	\$ 60,000		\$ 60,000		\$ 60,000	\$ 60,000	-	0%
6	Self-Help Center	CFCC	\$ 5,000,000		\$ 5,000,000		\$ 5,000,000	\$ 5,000,000	-	0%
7	Statewide Multidisciplinary Education	CFCC	\$ 67,000		\$ 67,000		\$ 67,000	\$ 67,000	-	0%
8	Shriver Civil Counsel- Cy Pres Funding	CFCC	\$ -		\$ -		\$ 520,692	\$ 520,692	520,692	
9	Statewide Support for Self-Help Programs	CFCC	\$ 100,000		\$ 100,000		\$ 100,000	\$ 100,000	-	0%
10	Court Interpreter Testing etc.	CFCC			\$ -		\$ 143,000	\$ 143,000	143,000	
	Court Interpreter Testing etc.	COSSO	\$ 143,000		\$ 143,000			\$ -	(143,000)	-100%
11	CJER Faculty	CJER	\$ 340,000		\$ 340,000		\$ 36,000	\$ 36,000	(304,000)	-89%
12	Distance Education	CJER	\$ 7,500		\$ 7,500	\$ -		\$ -	(7,500)	-100%
13	Essential Court Management Education	CJER	\$ 18,000		\$ 18,000		\$ 35,000	\$ 35,000	17,000	94%
14	Essential Court Personnel Education	CJER	\$ 91,000		\$ 91,000		\$ 215,000	\$ 215,000	124,000	136%
15	Judicial Education	CJER	\$ 745,500		\$ 745,500		\$ 916,000	\$ 916,000	170,500	23%
16	Budget Focused Training and Meetings	BS	\$ 50,000		\$ 50,000		\$ 50,000	\$ 50,000	-	0%
17	Treasury Services - Cash Management (Support)	BS	\$ 265,000		\$ 265,000	\$ 298,216		\$ 298,216	33,216	13%
18	Revenue Distribution Training	BS	\$ 9,500		\$ 9,500		\$ 9,500	\$ 9,500	-	0%
19	Workload Assessment Advisory Committee	BS	\$ 13,000		\$ 13,000		\$ 8,500	\$ 8,500	(4,500)	-35%
20	Trial Court Labor Relations Academies and Forums	HR	\$ 22,700		\$ 22,700		\$ 22,700	\$ 22,700	-	0%
21	CCTC Operations	IT	\$ 1,479,754		\$ 1,479,754	\$ 1,718,714		\$ 1,718,714	238,960	16%
22	ISB Support	IT	\$ 554,966		\$ 554,966	\$ 946,153		\$ 946,153	391,187	70%
23	Uniform Civil Filing System Unit	IT	\$ 389,084		\$ 389,084	\$ 423,779		\$ 423,779	34,695	9%
24	CCPOR Development	IT	\$ 325,726		\$ 325,726	\$ 524,200		\$ 524,200	198,474	61%
25	V3 - ICMS/CMS Release Management Support	IT	\$ 776,811		\$ 776,811	\$ 619,669		\$ 619,669	(157,142)	-20%
26	Telecommunications Support	IT	\$ 9,951,140		\$ 9,951,140		\$ 11,749,425	\$ 11,749,425	1,798,285	18%
27	Phoenix Program	IT	\$ 1,772,796		\$ 1,772,796		\$ 1,685,208	\$ 1,685,208	(87,588)	-5%
28	Enterprise Policy & Planning (Statewide Planning and Dev Support)	IT	\$ 4,721,364		\$ 4,721,364		\$ 4,342,185	\$ 4,342,185	(379,179)	-8%
29	Interim Case Management Systems	IT	\$ 1,453,628		\$ 1,453,628		\$ 1,441,032	\$ 1,441,032	(12,596)	-1%
30	Data Integration	IT	\$ 1,668,285		\$ 1,668,285		\$ 1,841,149	\$ 1,841,149	172,864	10%
31	California Courts Technology Center (CCTC)	IT	\$ 7,949,505		\$ 7,949,505		\$ 7,995,247	\$ 7,995,247	45,742	1%
32	Jury Management System	IT	\$ 465,000	\$ 252,000	\$ 717,000		\$ 665,000	\$ 665,000	(52,000)	-7%
33	CCPOR (ROM)	IT	\$ 418,285		\$ 418,285		\$ 364,848	\$ 364,848	(53,437)	-13%
34	Sustain Justice Edition CMS	IT	\$ 896,000		\$ 896,000		\$ -	\$ -	(896,000)	-100%
35	V3 Case Management System	IT	\$ 2,595,027		\$ 2,595,027		\$ 1,481,970	\$ 1,481,970	(1,113,057)	-43%
36	Telecom	IT	\$ 5,509,000		\$ 5,509,000		\$ 5,509,354	\$ 5,509,354	354	0%
37	V3 CMS Transition	IT	\$ 500,000		\$ 500,000		\$ -	\$ -	(500,000)	-100%
38	Judicial Performance Defense Insurance	LS	\$ 1,150,000		\$ 1,150,000		\$ 1,200,000	\$ 1,200,000	50,000	4%
39	Jury System Improvement Projects	LS	\$ 19,000		\$ 19,000		\$ 19,000	\$ 19,000	-	0%
40	Litigation Management Program	LS	\$ 4,500,000		\$ 4,500,000		\$ 6,618,647	\$ 6,618,647	2,118,647	47%
41	Regional Office Assistance Group	LS	\$ 568,500		\$ 568,500	\$ 589,192		\$ 589,192	20,692	4%
42	Trial Courts Transactional Assistance Program	LS	\$ 651,000		\$ 651,000		\$ 651,000	\$ 651,000	-	0%
43	Subtotal Program Adjustments		\$ 57,137,276	\$ 252,000	\$ 57,389,276	\$ 5,668,352	\$ 54,295,457	\$ 59,963,809	\$ 2,574,533	
44	BCP Adjustments									
45	CMS Replacement - Phase IV	IT					\$ 22,777,259	\$ 22,777,259	22,777,259	
46	Futures Commission	IT					\$ 853,000	\$ 853,000	853,000	
47	Digitizing Court Records	IT					\$ 4,853,000	\$ 4,853,000	4,853,000	
48	Phoenix Program ^{1/}	IT					\$ (1,685,208)	\$ (1,685,208)	(1,685,208)	
49	Phoenix Program ^{1/}	BAP					\$ (1,531,000)	\$ (1,531,000)	(1,531,000)	
50	Litigation Management Program ^{1/}	LS					\$ (4,500,000)	\$ (4,500,000)	(4,500,000)	
51	Trial Courts Transactional Assistance Program ^{1/}	LS					\$ (651,000)	\$ (651,000)	(651,000)	
52	Subtotal BCP Adjustments						\$ 20,116,051	\$ 20,116,051	\$ 20,116,051	
53	Total		\$ 57,137,276	\$ 252,000	\$ 57,389,276	\$ 5,668,352	\$ 74,411,508	\$ 80,079,860	\$ 22,690,584	

^{1/} The approval of this Budget Change Proposal shifted these IMF expenditures to the General Fund.

**Judicial Council Approved 2018-19 Allocations and 2019-20 Proposed Allocations
from the State Trial Court Improvement and Modernization Fund (IMF) State Operations and Local Assistance Appropriations**

Attachment A

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Totals by Office

Total Allocations

Office	Judicial Council Approved Allocations	Proposed Adjustments	Pending Total Allocations	State Operations	Local Assistance	Total	\$ Change from 2018-19	% Change from 2018-19
C	D	E	E	G	H	I = (G + H)	J = (I - F)	K = (J/F)
AS	\$ 370,000	\$ -	\$ 370,000	\$ 409,804	\$ -	\$ 409,804	\$ 39,804	10.76%
BAP	\$ 1,503,205	\$ -	\$ 1,503,205	\$ 138,625	\$ -	\$ 138,625	\$ (1,364,580)	-90.78%
CFCC	\$ 5,244,000	\$ -	\$ 5,244,000	\$ -	\$ 5,907,692	\$ 5,907,692	\$ 663,692	12.66%
COSSO	\$ 143,000	\$ -	\$ 143,000	\$ -	\$ -	\$ -	\$ (143,000)	-100.00%
CJER	\$ 1,202,000	\$ -	\$ 1,202,000	\$ -	\$ 1,202,000	\$ 1,202,000	\$ -	0.00%
BS	\$ 337,500	\$ -	\$ 337,500	\$ 298,216	\$ 68,000	\$ 366,216	\$ 28,716	8.51%
HR	\$ 22,700	\$ -	\$ 22,700	\$ -	\$ 22,700	\$ 22,700	\$ -	0.00%
IT	\$ 41,426,371	\$ 252,000	\$ 41,678,371	\$ 4,232,515	\$ 63,873,469	\$ 68,105,984	\$ 26,427,613	63.41%
LS	\$ 6,888,500	\$ -	\$ 6,888,500	\$ 589,192	\$ 3,337,647	\$ 3,926,839	\$ (2,961,661)	-42.99%
Total Allocations	\$ 57,137,276	\$ 252,000	\$ 57,389,276	\$ 5,668,352	\$ 74,411,508	\$ 80,079,860	\$ 22,690,584	39.54%

Summary of Programs

Row #	Program Name	Office	Program Description
A	B	C	D
1	Superior Court Audit Program	AS	Conducts comprehensive audits (financial, operational, and compliance) at each of the 58 trial courts.
2, 27, 48, 49	Phoenix Program	BAP	The Phoenix Program supports the judicial branch's financial and human resources system (the Phoenix System) with a diverse range of services, including a centralized treasury system, accounting and financial services, trust accounting services, human capital management/payroll services, and core business analysis, training, and support. All 58 courts currently use the financial component of the system. There are currently 15 courts utilizing the payroll component.
3	Trial Court Procurement	BAP	Pays for personal services costs for one FTE to create and maintain statewide procurement agreements for the courts.
4	Domestic Violence Forms Translation	CFCC	This program makes available to all courts, translation of domestic violence protective order forms in languages other than English. Since 2000, these forms have been translated into Spanish, Vietnamese, Chinese and Korean based on data from various language needs studies.
5	Interactive Software-Self-Rep Electronic Forms	CFCC	This program enables all courts to use Hotdocs Document Assembly Applications, which present court users with a Q&A format that automatically populates fields across all filing documents.
6	Self-Help Center	CFCC	Provides court-based assistance to self-represented litigants.
7	Statewide Multidisciplinary Education	CFCC	Supports annual Youth Summit and Child & Family Focused Education conference in FY18.
8	Shriver Civil Counsel- Cy Pres Funding	CFCC	This program provides funding for legal services agencies and their court partners to provide representation to indigent persons in cases involving housing, child custody, guardianship, conservatorships, and domestic violence.
9	Statewide Support for Self-Help Programs	CFCC	The Self-represented Litigants Statewide Support Program updates and expands the online California Courts Self-Help Center on the judicial branch website. Further, this program facilitates the translating of over 50 Judicial Council forms that are used regularly by self-represented litigants.
10	Court Interpreter Testing etc.	CFCC	Pays for the testing, orientation, and recruitment of new interpreters. <i>Effective March 2019 moved from COSSO to CFCC.</i>
11	CJER Faculty	CJER	Faculty training courses for judges, court managers and staff.
12	Distance Education	CJER	Infrastructure & software to support distance education. CJER Online website & toolkits video hosting & on-demand transmission, podcast course hosting, subscription service and transmission.
13	Essential Court Management Education	CJER	National and statewide training for court leaders, including Institute for Court Management (ICM) courses, CJER Core 40 and Core 24 courses, & other local & regional courses for managers, supervisors and lead staff. Distance education videos & online courses.
14	Essential Court Personnel Education	CJER	The Court Clerks Training Institute - courtroom and court legal process education in civil, traffic, criminal, probate, family, juvenile, appellate. Regional and local court personnel courses. The biennial Trial Court Judicial Attorneys Institute. Distance Education Videos and Online Courses.
15	Judicial Education	CJER	Three Projects: New Judge Education; Primary Assignment Orientation Courses for Experienced Judges; Continuing Judicial Education for Experienced Judges. Programs for all newly elected or appointed judges and subordinate judicial officers required by Rule of Court 10.462 (c)(1) to complete the new judge education programs offered by CJER; Judicial Institutes, courses for experienced judges; programs for PJs, CEOs & Supervising Judges; distance education videos, webcasts, podcasts and online courses.
16	Budget Focused Training and Meetings	BS	Supports meetings of the Trial Court Budget Advisory Committee and associated subcommittees that deal with trial court funding policies and issues.
17	Treasury Services - Cash Management (Support)	BS	Used for the compensation, operating expenses and equipment costs for two accounting staff. Staff are engaged in the accounting and distribution of all Uniform Civil Fees ("UCF") collected by the Trial Courts, and other cash management and treasury duties as needed for the Trial Courts.
18	Revenue Distribution Training/Records Management	BS	Pays for annual training on Revenue Distribution to all the collection programs as well as annual CRT training.
19	Workload Assessment Advisory Committee/Trial Court Workload Study	BS	Pays for meeting expenses of the Workload Assessment Advisory Committee (WAAC) and travel expenses for court personnel and judges related to workload studies.

Row #	Program Name	Office	Program Description
A	B	C	D
20	Trial Court Labor Relations Academies and Forums	HR	The Labor Relations Academy and Forums provide court management staff with comprehensive labor relations knowledge that assists the courts in meeting its labor challenges. The Academies are held once per year in the spring and the Forums are held once per year in the fall. The allocation pays for costs tied to the setup and operations of HR's annual Labor Relations Academies and Forums. Typical expenses include: reimbursement of travel expenses for trial court employees who participate as faculty; lodging for all trial court attendees (including those who serve as faculty); meeting room/conference room rental fees; books/reference materials if needed; and meals for trial court participants of the Labor Relations Forum. Following each Academy, program staff send out surveys to gather feedback and receive suggestions for future events. In addition, participant attendance is gathered and reported to the Judicial Council as part of the Administrative Director's Report to the Council.
21, 31	California Courts Technology Center (CCTC)	IT	The CCTC hosts some level of services for the 58 California superior courts, all the Courts of Appeal and the Supreme Court and has over 10,000 supported users. Major installations in the CCTC include the following: • Appellate Court Case Management System (ACCMS) • California Court Protective Order Registry (CCPOR) • Phoenix - Trial Court Financial and Human Resources System • Sustain Interim Case Management System (ICMS) • Computer Aided Facilities Management (CAFM) system • Civil, Small Claims, Probate, and Mental Health Trial Court Case Management System (V3) • Integration Services Backbone (ISB) This program provides consistent, cost effective, and secure hosting services, including ongoing maintenance and operational support, data network management, desktop computing and local server support, tape back-up and recovery, help desk services, email services, and a disaster recovery program.
22, 30	Data Integration (ISB Support)	IT	Data Integration provides system interfaces between Judicial Council systems and the computer systems of our justice partners, be they courts, law enforcement agencies, the department of justice and others. Without the Integrated Services Backbone (ISB), the current systems for sharing protective orders, for example, would not function.
23	Uniform Civil Filing Services (UCFS)	IT	This program supports the distribution and mandated reporting of uniform civil fees collected by all 58 superior courts, with an average of \$52 million distributed per month. The system generates reports for the State Controller's Office and various entities that receive the distributed funds. There are over 200 fee types collected by each court, distributed to 31 different entities (e.g. Trial Court Trust Fund, County, Equal Access Fund, Law Library, etc.), requiring 65,938 corresponding distribution rules that are maintained by UCFS. UCFS benefits the public by minimizing the amount of penalties paid to the state for incorrect or late distributions and ensuring that the entities entitled to a portion of the civil fees collected, as mandated by law, receive their correct distributions.
24, 33	California Courts Protective Order Registry (CCPOR)	IT	The California Courts Protective Order Registry (CCPOR) is a statewide repository of protective orders containing both data and scanned images of orders that can be accessed by judges, court staff, and law enforcement officers. CCPOR allows judges to view orders issued by other court divisions and across county lines.
25, 35	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3)	IT	V3 is used by the California Superior Courts of Orange, Sacramento, San Diego, and Ventura Counties. The courts use it to process approximately 25% of civil, small claims, probate, and mental health cases statewide.
26, 36	Telecommunications Support	IT	This program develops and supports a standardized level of network infrastructure for the California superior courts. This infrastructure provides a foundation for local systems (email, jury, CMS, VOIP, etc.) and enterprise system applications such as Phoenix, via shared services at the CCTC provides operational efficiencies, and secures valuable court information resources.
28	Enterprise Policy/Planning (Statewide Development)	IT	The Enterprise Policy and Planning program provides the trial courts access to a variety of Oracle products (e.g., Oracle Enterprise Database, Real Application Clusters, Oracle Security Suite, Oracle Advanced Security, Diagnostic Packs, Oracle WebLogic Application Server) without cost to the courts.

Row #	Program Name	Office	Program Description
A	B	C	D
29	Interim Case Management Systems	IT	This ICMS Unit primarily provides project management and technical expertise to those courts which have their SJE application hosted at the CCTC. This support includes incorporating legislative updates into the SJE application, integrating application upgrades into the CCTC and supporting CCTC infrastructure upgrades. Locally hosted SJE courts also utilize ICMS resources as requested for legislative updates such as traffic amnesty. The ICMS Unit support includes support for SJE interfaces at CCTC including DMV, DOJ, FTB COD collections, IVR/IWR processing, warrants and FTA-FTP collection interfaces among others. The ICMS Unit also provides SJE production support which is critical to ensuring that the SJE application and interfaces are available to support court operations and provide information to local/state justice partners.
32	Jury Management System	IT	The allocation for the Jury Program is used to distribute funds to the trial courts in the form of grants to improve court jury management systems. All trial courts are eligible to apply for the jury funding. The number of courts receiving grants varies according to the amount of grant funding available and the number of jury grant requests received.
34	Sustain Justice Edition CMS	IT	The allocation was approved to replace the Sustain Justice Edition Case Management System in the Superior Courts of California - Humboldt, Lake, Madera, Modoc, Plumas, Sierra, San Benito, Trinity and Tuolumne Courts.
37	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) Replacement BCP	IT	The allocation was to replace V3 Court Case Management Systems in the Superior Courts of California - Orange, Sacramento, San Diego, and Ventura counties.
38	Judicial Performance Defense Insurance	LS	The allocation for the Judicial Performance Defense Insurance program is used to pay the insurance premium for trial court judges and judicial officers for the Commission on Judicial Performance (CJP) defense master insurance policy. The program (1) covers defense costs in CJP proceedings related to CJP complaints; (2) protects judicial officers from exposure to excessive financial risk for acts committed within the scope of their judicial duties, and (3) lowers the risk of conduct that could lead to complaints through required ethics training for judicial officers.
39	Jury System Improvements	LS	This program is related to Jury Instructions and is a "self-funding" PCC. Funds in this account are generated by royalties generated from sales of criminal and civil jury instructions. The funds are deposited pursuant to the Government Code.
40, 50	Litigation Management Program	LS	The allocation for the Litigation Management Program is used to pay settlements, judgments (if any), and litigation costs, including attorney fees, arising from claims and lawsuits brought against trial courts.
41	Regional Office Assistance Group	LS	The allocation for the Regional Office Assistance Group is used to pay for attorneys and support personnel working in Burbank and Sacramento to provide direct legal services to the trial courts in the areas of transactions/business operations, legal opinions, and labor and employment law.
42, 51	Trial Courts Transactional Assistance Program	LS	The allocation for the Trial Court Transactional Assistance Program are used primarily to pay for outside counsel managed by the Legal Services office to represent the trial courts in labor arbitrations and proceedings before the Public Employment Relations Board (PERB). To a lesser extent, the funds are used to pay for outside counsel to assist trial courts with legal services in specialized areas of court operations, e.g., tax and employee benefits.
45	CMS Replacement - Phase IV (2019-20 BCP)	IT	This BCP allocation was approved to fund the replacement of outdated legacy case management systems used by the Superior Courts of California - Amador, Colusa, Contra Costa, Lassen, Marin, Mariposa, Mono, Nevada, Shasta, and Solano.
46	Future Commission (2019-20 BCP)	IT	This BCP allocation was approved to fund the implementation of a multi-phased program for intelligent chat, video remote hearings, and natural language voice-to-text translation services. The goal was to eventually expand these programs to all of the trial courts.
47	Digitizing Court Records (2019-20 BCP)	IT	This BCP allocation was approved to fund the first phase of a multi phase program to digitize mandatory court records for the Superior and Appellate Courts. The first phase would include 6 to 8 courts.

**State Trial Court Improvement and Modernization Fund
Fund Condition Statement
2019-20 May Revision**

#	Description	2016-17 (Year-end Financial Statement)	2017-18 (Year-end Financial Statement)	Estimated			
				2018-2019	2019-2020	2020-2021	2021-22
		A	B	C	D	E	F
1	Beginning Balance	6,956,187	9,300,938	14,795,000	12,401,983	9,434,122	9,971,082
2	Prior-Year Adjustments ¹	4,187,917	-5,979,333	0	0	0	0
3	Adjusted Beginning Balance	11,144,104	3,321,605	14,795,000	12,401,983	9,434,122	9,971,082
4	REVENUES:						
5	Jury Instructions Royalties	607,672	604,495	649,000	689,000	747,000	747,000
6	Interest from SMIF	415,663	863,725	1,047,000	1,047,000	1,047,000	1,047,000
7	Escheat-Unclaimed Checks, Warrants, Bonds	7,615	2,158	0	0	0	1,000
8	50/50 Excess Fines Split Revenue	13,160,903	22,077,608 ^{1/}	9,393,000	9,034,000	8,543,000	8,543,000
9	2% Automation Fund Revenue	12,792,097	12,367,362	12,316,000	10,936,000	10,350,000	10,350,000
10	Other Revenues/SCO Adjustments	0	146	2,000	2,000	2,000	2,000
11	Class Action Residue		205,615	315,077	0	0	0
12	Subtotal Revenues	26,983,950	36,121,109	23,722,077	21,708,000	20,689,000	20,689,000
13	Transfers and Other Adjustments						
14	To TCTF (GC 77209(k))	-13,397,000	-13,397,000	-13,397,000	-13,397,000	-13,397,000	-13,397,000
15	To Trial Court Trust Fund (Budget Act)	-594,000	-594,000	-594,000	-594,000	-594,000	-594,000
16	From State General Fund	0					
16	Total Revenues, Transfers, and Other Adjustments	12,992,950	22,130,109	9,731,077	7,717,000	6,698,000	6,698,000
17	Total Resources	24,137,054	25,451,714	24,526,077	20,118,983	16,132,122	16,669,082
18							
19	EXPENDITURES:						
20	Judicial Branch Total State Operations	6,002,342	4,405,086	4,793,364	5,668,352	4,470,687	4,396,223
22	Judicial Branch Total Local Assistance	65,451,774	63,464,276	52,138,730	74,411,508	48,666,316	45,030,716
21	Pro Rata and Other Adjustments	659,579	305,352	306,000	106,000	400,000	400,000
25	Less funding provided by General Fund (Local Assistance)	-56,618,000	-57,518,000	-45,114,000	-69,501,000	-47,375,962	-43,740,362
25	Total Expenditures and Adjustments	14,836,116	10,656,714	12,124,094	10,684,860	6,161,041	6,086,577
26	Fund Balance	9,300,938	14,795,000	12,401,983	9,434,122	9,971,082	10,582,505
27	Reserve Funds (June 24, 2016 JCC)		2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
28	Restricted Funds - Jury Management	1,104,525	799,682	712,682	717,682	775,682	780,682
29	Restricted Funds - Sargent Shriver Civil Counsel		205,615	520,692	0	0	0
30	Restricted Funds - Case Management Systems (CMS)			3,038,989	1,300,000	0	0
31	Fund Balance - less restricted funds	9,300,938	11,789,703	6,129,620	5,416,441	7,195,400	7,801,823
32	Structural Balance	-1,843,166	11,473,395	-2,393,017	-2,967,860	536,959	611,423

¹ State Controllers Office (SCO) recorded 50/50 revenues incorrectly in 2016-17. Actual 50/50 revenue for 2016-17 is \$12,109,826 and 2017-18 is \$12,120,300

² 2018-19 expenditures reflect anticipated savings as recognized by programs in relation to the 2018-19 JCC approved allocations.