



JUDICIAL COUNCIL OF CALIFORNIA

455 Golden Gate Avenue · San Francisco, California 94102-3688
www.courts.ca.gov

REPORT TO THE JUDICIAL COUNCIL

For business meeting on July 20, 2018

Title	Agenda Item Type
Trial Court Budget: 2018–19 Allocations from State Trial Court Improvement and Modernization Fund and Trial Court Trust Fund	Action Required
Rules, Forms, Standards, or Statutes Affected	Effective Date
None	July 20, 2018
Recommended by	Date of Report
Trial Court Budget Advisory Committee Hon. Jonathan B. Conklin, Chair	June 29, 2018
	Contact
	Brandy Sanborn, 415-865-7195 brandy.sanborn@jud.ca.gov

Executive Summary

The Trial Court Budget Advisory Committee and the Judicial Council Technology Committee recommend that the Judicial Council approve an extension of the case management system V3 funding sunset to June 30, 2020. The Trial Court Budget Advisory Committee also recommends that the Judicial Council approve 2018–19 allocations from the State Trial Court Improvement and Modernization Fund for the Judicial Council in the amount of \$57,137,276, approve 2018–19 allocations from the Trial Court Trust Fund in the amount of \$2.0 billion, and approve a 2018–19 allocation from the General Fund in the amount of \$68.8 million. This recommendation is for approval to allocate; court-specific allocations are provided in *Trial Court Budget: 2018–19 Trial Court Base Allocations*, a report to the Judicial Council for the July 19–20, 2018 business meeting.

Recommendation

1. The Trial Court Budget Advisory Committee (TCBAC) and the Judicial Council Technology Committee unanimously recommend that the Judicial Council approve extending the use of case management system (CMS) V3 funding through June 30, 2020.

2. The TCBAC unanimously recommends that the Judicial Council adopt the following allocation recommendations:
 - a. Approve a total of \$57,137,276 in allocations for 2018–19 from the State Trial Court Improvement and Modernization Fund (IMF) for discretionary and nondiscretionary programs.
 - b. Approve \$2.0 billion in preliminary allocations for 2018–19 from the Trial Court Trust Fund (TCTF) and \$68.8 million in General Fund allocations for employee benefits.

Relevant Previous Council Action

Extension of CMS V3

In April 2015, a recommendation by the TCBAC and the Judicial Council Technology Committee (JCTC) was approved by the council to eliminate funding from the IMF for the CMS V3 used by four superior courts (Orange, Sacramento, San Diego, and Ventura) beginning in July 2019. Even before that time, the V3 courts had begun to work with the JCTC on a path to deploy alternative CMSs and retire V3. A budget change proposal (BCP) was submitted for civil CMS (V3) replacement for 2016–17 and was approved in the state budget.

2018–19 IMF allocations

Since the inception of state trial court funding in 1996, every year the Judicial Council or the Executive and Planning Committee has allocated trial court–related funding from the IMF.

The amount originally approved by TCBAC was \$60,373,276; however, \$3,236,000 was identified as self-represented litigant budget change proposal funding included in the 2018 Governor’s budget proposal from the General Fund rather than the IMF as presented to the TCBAC. As a result, this amount no longer requires approval through the IMF allocation request process.

2018–19 TCTF allocations

Government Code section 68502.5(c)(2)(A) requires the Judicial Council to make a preliminary allocation in July and a final allocation in January of each fiscal year.

Analysis/Rationale

Recommendation 1: Approve extending the use of CMS V3 funding through June 30, 2020

The 2017–18 five-year projected budget for CMS V3 was based on the end date of CMS V3 funding of June 30, 2019. The budget projected a steep ramp down in 2018–19 and zero funding in 2019–20. However, this steep ramp down and decommissioning of V3 has since been determined not to be feasible within the original time frame.

Despite projects already underway to convert other CMSs that were failing, the V3 courts have been progressing with their projects to replace CMS V3. All of the affected courts have identified replacement solutions and are working expeditiously to complete their projects.

However, as many courts have already experienced, CMS deployment times are longer than projected or desired. Consequently, conversion to new CMSs will extend beyond June 2019.

The requested extension does not constitute an allocation request but a request to extend the timeline for potential use of the funds to 2019–20. The Judicial Council Information Technology office will be required to come back to the Revenue and Expenditure (R&E) Subcommittee and TCBAC next fiscal year to request an IMF allocation in 2019–20 along with other Judicial Council offices.

The projected five-year budget has been revised based on the timelines for the CMS V3 replacement projects. Since the April 2015 Judicial Council decision to sunset the support of CMS V3, costs for support have been reduced and additional reductions are planned for the next fiscal year. The Judicial Council Information Technology office is proposing to utilize savings generated in the original funding plan as approved in 2015–16 to fund the extension for CMS V3 through 2019–20.

These savings have been achieved through reductions in contractors and data center equipment as well as not replacing employees who have left the Judicial Council. Support efforts for CMS V3 are limited to remediating defects, programming legislative/statutory updates, and upgrading to software components where necessary. The reduced levels of support have not been ideal given the ongoing migration work that is required, but all the courts using CMS V3 have agreed to this reduced level to gain additional, critical time to migrate to their new CMSs.

The table in Attachment A shows a baseline-funding plan projected from the allocation approved by the council for 2015–16, as well as a proposed plan for an extension through 2019–20. A baseline CMS V3 funding plan provides \$22.6 million from 2015–16 through 2018–19. The actual expenditures and forecasted obligations through 2018–19 total \$15.0 million. There is an estimated savings of \$7.6 million from the baseline plan. The precise request for 2019–20 will depend on how quickly the courts can ramp down their use of CMS V3 as well as other factors that are not known this far in advance, such as unexpected increases in software maintenance, maintenance of older CMS V3 equipment, and reductions in Schedule C funding. However, the projected need for 2019–20 is \$3.46 million or less. Even extending the period to June 30, 2020, there remains an overall savings of \$4.1 million from the baseline-funding plan.

Recommendation 2a: Approve a total of \$57,137,276 in allocations for 2018–19 from the IMF

Listed below are the proposed 2018–19 allocation requests by Judicial Council offices. (For Judicial Council–Approved 2017–18 Allocations and 2018–19 Proposed Allocations from the IMF State Operations and Local Assistance Appropriations, see Attachment B. For additional details on each of the programs, see Attachment C.) The requested allocation amount is reflected in the IMF Fund Condition Statement (Attachment D). The fund is estimated to have a sufficient balance for this level of allocations based on current revenue projections and projections of expenditure savings in 2016–17 and 2017–18. There is a negative fund balance projected for

2019–20 using the current revenue projections and expenditure assumptions, and Judicial Council staff continue to work diligently with the Department of Finance to resolve the structural deficit in the IMF as well as communicate updates to the R&E Subcommittee and the TCBAC.

1. **Audit Services**—Conducts operational audits of the superior and appellate courts.

Approve an allocation of \$370,000.

This represents a savings of \$268,000 from the prior year due to a reassessment of need.

2. **Branch Accounting and Procurement**—Supports the trial courts’ financial and human resources Phoenix System.

Approve a total allocation of \$1,503,205.

There is a reduction of approximately 30 percent from the prior year due to a reassessment of need. An additional allocation will be provided to the Judicial Council Information Technology office for its support of the Phoenix program.

3. **Center for Families, Children & the Courts**—Supports various programs within the courts for litigants.

Approve a total allocation of \$5,244,000. This request is unchanged from the prior year.

Although the allocation of \$5,000,000 is unchanged in amount, there has been a change in how unspent funds will be addressed. Provisional language was added to the 2018–19 budget bill that says, “Of the funds appropriated in this item, \$5,000,000 shall be available for support of services for self-represented litigants, and any unexpended funds shall revert to the General Fund.”

4. **Center for Judicial Education and Research**—Provides education to judges, court leaders, court staff faculty, managers, supervisors, and lead staff.

Approve a total allocation of \$1,202,000. This request is unchanged from the prior year.

5. **Court Operations Services**—Program provides court interpreter testing.

Approve a total allocation of \$143,000. This request is unchanged from the prior year.

6. **Budget Services**—Supports meetings of various committees and subcommittees as they relate to the trial courts funding, policies, and other issues.

Approve a total allocation of \$337,500.

The two main expenditures are for Treasury Services – Cash Management and Budget Focused Training and Meetings. A slight increase of \$22,900 is requested due to increased staffing costs.

7. **Human Resources**—Supports the Trial Court Labor Relations Academy in supporting trial court staff in meeting its many labor challenges (not mandated).

Approve a total allocation of \$22,700.

Through reassessment of need, this program reduced its request by 12 percent.

8. **Information Technology**—Supports many of the information systems for the Supreme Court, Courts of Appeal, and the 58 superior courts.

Approve an allocation of \$41,426,371.

The reduced allocation amount of \$15,642,410 was realized in part by the BCP adjustments for one-time and/or full-year cost adjustments, savings for Telecommunications due to revision of hardware refresh costs, and Data Integration savings due to reductions from the renegotiated TIBCO contract and of a projected consultant.

9. **Legal Services**—Supports the Judicial Council staff divisions and courts, manages litigation, and is responsible for rules and projects including the California Rules of Court and Judicial Council forms.

Approve a total allocation of \$6,888,500.

The reduced need of \$181,500 is primarily due to ongoing savings from position vacancies in the Regional Office Assistance Program.

Recommendation 2b: Approve \$2.0 billion in preliminary allocations for 2018–19 from the TCTF and \$68.8 million in General Fund allocations for employee benefits

A number of allocations are required by the Budget Act (e.g., a \$50 million distribution from the Immediate and Critical Needs Account for court operations), are various revenue distributions required by statute, or are authorized charges for the cost of programs or cash advances.

The projected 2018–19 ending TCTF fund balance is \$74.0 million (Attachment F, column F, row 29). Approximately \$17.1 million are monies that are either statutorily restricted or restricted by the council (Attachment F, column F, row 30). The estimated unrestricted fund balance is \$56.9 million (Attachment F, column F, row 31). The 2018–19 preliminary allocation requests totaling \$2.0 billion can be supported by the TCTF based on current revenue projections and 2017–18 projected savings.

1. **Program 0140010 – Judicial Council:** Judicial Council staff in the amount of \$3,633,676 (Attachment E, line 27).
2. **Program 0150010 – Support for Operation of the Trial Courts**
 - a. 2017–18 Adjusted TCTF Base Allocation in the amount of \$1,778,582,599 (Attachment E, line 8):¹ Adjusted base allocation includes \$9,223,000 criminal justice realignment funding (Attachment E, line 7).

¹ Specific allocations by court as they relate to TCTF monies allocated via the Workload-based Funding and Allocation Methodology are detailed in *Trial Court Budget 2018–19 Trial Court Base Allocations*, a report to the

- b. New and changed allocations in the amount of \$22,839,843 (Attachment E, line 13): Includes \$23,816,127 for non-court interpreter employee benefits (Attachment E, line 12).
 - c. Support of Operation of Trial Courts in the amount of \$39,330,316 (Attachment E, line 47).
- 3. Program 0150011 – Court-Appointed Dependency Counsel:** Court-Appointed Dependency Counsel in the amount of \$136,700,000 (Attachment E, line 38).
- 4. Program 0150037 – Court Interpreters Benefits:**
- a. Funding in the amount of \$1,071,869 for court interpreter employee benefits for 2017–18 cost changes in the 2018 May Revision (Attachment E, line 15).
 - b. One-time funding in the amount of \$4,000,000 for court interpreter employee benefits, based on an approved 2018–19 BCP, with the anticipation of its inclusion in the 2018–19 Budget Act (Attachment E, line 16).
- 5. Program 0150095 – Expenses on Behalf of the Trial Courts:** Expenditures incurred by the Judicial Council on behalf of the trial courts in the amount of \$9,175,085 (Attachment E, line 36).
- 6. General Fund:** Approve \$68,818,575 in General Fund allocations for employee benefits (Attachment E, line 2).

Two items that will be allocated from the Program 0150010 – Support for the Operation of the Trial Courts appropriation are pending:

- Since the courts have until July 14, 2018 to provide preliminary 2017–18 ending fund balances, the preliminary reduction amounts related to trial court reserves above the 1 percent cap referenced in Government Code section 68502.5(c)(2)(A) will not be available in time for the July 19–20, 2018 Judicial Council business meeting. Instead, the TCBCAC will consider the final allocation reductions for fund balance above the 1 percent cap before their recommendation to the Judicial Council before February 2019.
- The allocation of monies, using the council-approved formula, collected through the dependency counsel collections program will be brought to the council once final 2017–18 collections are known.

The \$10 million in urgent needs funding assumes nothing is allocated in 2018–19. If monies are allocated, courts would need to replenish the monies up to what was allocated by the council from their allocations in 2019–20.

Judicial Council for the July 19–20, 2018 business meeting. This includes details on subordinate judicial officer conversions, automated recordkeeping and micrographics, and 2017–18 non-interpreter employee benefits funding.

Policy implications

None.

Comments

These items were not circulated for comment. Public comment was not received for these items when considered by the R&E Subcommittee on May 18, 2018, the TCBAC on May 31, 2018, or the JCTC on June 11, 2018.

Alternatives considered

None.

Fiscal and Operational Impacts

If the recommendations to allocate funds are not approved, the fiscal and operational impacts to the trial courts will be significant.

Attachments and Links

1. Attachment A: CMS V3 Funding Plan, at page 8
2. Attachment B: Judicial Council–Approved 2017–18 Allocations and 2018–19 Proposed Allocations from the IMF State Operations and Local Assistance Appropriations, at page 9
3. Attachment C: Summary of Programs, at page 11
4. Attachment D: IMF Fund Condition Statement, at page 13
5. Attachment E: 2018–19 TCTF Recommended Preliminary Allocation, at page 15
6. Attachment F: TCTF Fund Condition Statement, at page 17

CMS V3 Funding Plan

May 2018

#	Description	2015–16	2016–17	2017–18	2018–19	2019–20	Total
1	CMS V3 funding plan as a baseline from 2015–16	\$5,658,100	\$5,658,100	\$5,658,100	\$5,658,100	\$0	\$22,632,400
2	Expenditures/Obligations <i>(2017–18 and 2018–19 are projected values)</i>	\$4,752,099	\$3,681,167	\$3,250,766	\$3,371,838	\$0	\$15,055,870
3	Savings from baseline plan	(\$906,001)	(\$1,976,933)	(\$2,407,334)	(\$2,286,262)	\$0	(\$7,576,530)
4	Expended/Proposed V3 Funding	\$4,752,099	\$3,681,167	\$3,250,766	\$3,371,838	\$3,463,913*	\$18,519,783

* Amount will be subject to funds availability at the time of the 2019–20 allocation process.

**Judicial Council-Approved 2017-18 Allocations and 2018-19 Proposed Allocations
from the IMF State Operations and Local Assistance Appropriations**

#	Program Name	Office	2017-18 Allocations			Recommended 2018-19 Allocation			\$ Change from 2017-18	% Change from 2017-18	
			JC Approved Allocations	Proposed Adjustments	Pending Total Allocations	State Operations	Local Assistance	Total			
A	B	C	D	E	F	G	H	I = (G + H)	J = (I - F)	K = (J/F)	
	Program Adjustments										
1	Superior Court Audit Program	AS	\$ 660,000	\$ (22,000)	\$ 638,000	\$ 370,000	\$ -	\$ 370,000	(268,000)	-42%	
2	Phoenix Program	BAP	\$ 1,946,898	\$ -	\$ 1,946,898	\$ -	\$ 1,381,205	\$ 1,381,205	(565,693)	-29%	
3	Trial Court Procurement/TCAS-MSA-IMF	BAP	\$ 122,000	\$ -	\$ 122,000	\$ 122,000	\$ -	\$ 122,000	-	0%	
4	Domestic Violence Forms Translation	CFCC	\$ 17,000	\$ -	\$ 17,000	\$ -	\$ 17,000	\$ 17,000	-	0%	
5	Interactive Software - Self-Rep Electronic Forms	CFCC	\$ 60,000	\$ -	\$ 60,000	\$ -	\$ 60,000	\$ 60,000	-	0%	
6	Self-Help Center	CFCC	\$ 5,000,000	\$ -	\$ 5,000,000	\$ -	\$ 5,000,000	\$ 5,000,000	-	0%	
7	Statewide Multidisciplinary Education	CFCC	\$ 67,000	\$ -	\$ 67,000	\$ -	\$ 67,000	\$ 67,000	-	0%	
8	Statewide Support for Self-Help Programs	CFCC	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ 100,000	\$ 100,000	-	0%	
9	CJER Faculty	CJER	\$ 316,000	\$ -	\$ 316,000	\$ -	\$ 340,000	\$ 340,000	24,000	8%	
10	Distance Education	CJER	\$ 20,000	\$ -	\$ 20,000	\$ -	\$ 7,500	\$ 7,500	(12,500)	-63%	
11	Essential Court Management Education	CJER	\$ 18,000	\$ -	\$ 18,000	\$ -	\$ 18,000	\$ 18,000	-	0%	
12	Essential Court Personnel Education	CJER	\$ 116,000	\$ -	\$ 116,000	\$ -	\$ 91,000	\$ 91,000	(25,000)	-22%	
13	Judicial Education	CJER	\$ 732,000	\$ -	\$ 732,000	\$ -	\$ 745,500	\$ 745,500	13,500	2%	
14	Court Interpreter Testing etc.	COSSO	\$ 143,000	\$ -	\$ 143,000	\$ -	\$ 143,000	\$ 143,000	-	0%	
15	Budget Focused Training and Meetings	Finance	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ 50,000	\$ 50,000	-	0%	
16	Treasury Services - Cash Management (Support)	Finance	\$ 242,100	\$ 22,000	\$ 264,100	\$ 265,000	\$ -	\$ 265,000	900	0%	
17	Trial Court Labor Relations Academies and Forums	HR	\$ 25,700	\$ -	\$ 25,700	\$ -	\$ 22,700	\$ 22,700	(3,000)	-12%	
18	California Courts Protective Order Registry (CCPOR)	IT	\$ 716,414	\$ -	\$ 716,414	\$ 325,726	\$ 418,285	\$ 744,011	27,597	4%	
19	California Courts Technology Center (CCTC)	IT	\$ 9,776,446	\$ -	\$ 9,776,446	\$ 1,479,754	\$ 7,949,505	\$ 9,429,259	(347,187)	-4%	
20	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3)	IT	\$ 4,226,987	\$ -	\$ 4,226,987	\$ 776,811	\$ 2,595,027	\$ 3,371,838	(855,149)	-20%	
21	Data Integration	IT	\$ 2,923,704	\$ -	\$ 2,923,704	\$ 554,966	\$ 1,668,285	\$ 2,223,251	(700,453)	-24%	
22	Enterprise Policy/Planning (Statewide Development)	IT	\$ 4,542,842	\$ -	\$ 4,542,842	\$ -	\$ 4,721,364	\$ 4,721,364	178,522	4%	
23	Interim Case Management Systems	IT	\$ 1,358,787	\$ -	\$ 1,358,787	\$ -	\$ 1,453,628	\$ 1,453,628	94,841	7%	
24	Jury Management System	IT	\$ 465,000	\$ 340,000	\$ 805,000	\$ -	\$ 465,000	\$ 465,000	(340,000)	-42%	
25	Phoenix Project - Fiscal Management System	IT	\$ 1,758,770	\$ -	\$ 1,758,770	\$ -	\$ 1,772,796	\$ 1,772,796	14,026	1%	
26	Telecommunications Program	IT	\$ 16,694,771	\$ -	\$ 16,694,771	\$ -	\$ 15,460,140	\$ 15,460,140	(1,234,631)	-7%	
27	Uniform Civil Filing Services	IT	\$ 392,438	\$ -	\$ 392,438	\$ 389,084	\$ -	\$ 389,084	(3,354)	-1%	
28	Judicial Performance Defense Insurance	LSO	\$ 1,150,000	\$ (194,000)	\$ 956,000	\$ -	\$ 1,150,000	\$ 1,150,000	194,000	20%	
29	Jury System Improvement Projects	LSO	\$ 19,000	\$ -	\$ 19,000	\$ -	\$ 19,000	\$ 19,000	-	0%	
30	Litigation Management Program	LSO	\$ 4,500,000	\$ 933,000	\$ 5,433,000	\$ -	\$ 4,500,000	\$ 4,500,000	(933,000)	-17%	
31	Regional Office Assistance Group	LSO	\$ 750,000	\$ (150,000)	\$ 600,000	\$ 568,500	\$ -	\$ 568,500	(31,500)	-5%	
32	Trial Courts Transactional Assistance Program	LSO	\$ 651,000	\$ 11,000	\$ 662,000	\$ -	\$ 651,000	\$ 651,000	(11,000)	-2%	
33	<i>Sub-Total Program Adjustments</i>		\$ 59,561,857	\$ 940,000	\$ 60,501,857	\$ 4,851,841	\$ 50,866,935	\$ 55,718,776	\$ (4,783,081)	-8%	
34	BCP Adjustments (reflects one-time and/or full year cost adjustments)										
35	Language Access- BCP	COSSO	\$ -	\$ 352,000	\$ 352,000	\$ -	\$ -	\$ -	(352,000)		
36	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) Replacement BCP	IT	\$ 9,200,000	\$ -	\$ 9,200,000	\$ -	\$ 500,000	\$ 500,000	(8,700,000)	-95%	
37	Madera CCTC Transition	IT	\$ 572,622	\$ -	\$ 572,622	\$ -	\$ -	\$ -	(572,622)	-100%	
38	Sustain Justice Edition CMS (FY 2017-18 BCP)	IT	\$ 4,100,000	\$ -	\$ 4,100,000	\$ -	\$ 896,000	\$ 896,000	(3,204,000)	-78%	
39	<i>Sub-Total BCP Adjustments</i>		\$ 13,872,622	\$ 352,000	\$ 14,224,622	\$ -	\$ 1,396,000	\$ 1,396,000	(12,828,622)	-90%	
40	Group Offsets (reflects reorganization of budget between offices)										
41	Records Management	BAP	\$ 9,500	\$ -	\$ 9,500	\$ -	\$ -	\$ -	(9,500)	-100%	
42	Trial Court Workload Study Support	COSSO	\$ 13,000	\$ -	\$ 13,000	\$ -	\$ -	\$ -	(13,000)	-100%	
43	Revenue Distribution Training	Finance	\$ -	\$ -	\$ -	\$ -	\$ 9,500	\$ 9,500	9,500		
44	Workload Assessment Advisory Committee	Finance	\$ -	\$ -	\$ -	\$ -	\$ 13,000	\$ 13,000	13,000		
45	<i>Sub-Total Group Offsets</i>		\$ 22,500	\$ -	\$ 22,500	\$ -	\$ 22,500	\$ 22,500	-	0%	
46	Total		\$ 73,456,979	\$ 1,292,000	\$ 74,748,979	\$ 4,851,841	\$ 52,285,435	\$ 57,137,276	\$ (17,611,703)	-24%	

**Judicial Council-Approved 2017-18 Allocations and 2018-19 Proposed
Allocations from the IMF State Operations and Local Assistance Appropriations**

47
48
49
50
51
52
53
54
55
56
57
58

Office	JC Approved Allocations	Proposed Adjustments	Pending Total Allocations	State Operations	Local Assistance	Total
C	D	E	E	G	H	I = (G + H)
Totals by Office:						
AS	\$ 660,000	\$ (22,000)	\$ 638,000	\$ 370,000	\$ -	\$ 370,000
BAP	\$ 2,078,398	\$ -	\$ 2,078,398	\$ 122,000	\$ 1,381,205	\$ 1,503,205
CFCC	\$ 5,244,000	\$ -	\$ 5,244,000	\$ -	\$ 5,244,000	\$ 5,244,000
CJER	\$ 1,202,000	\$ -	\$ 1,202,000	\$ -	\$ 1,202,000	\$ 1,202,000
COSSO	\$ 156,000	\$ 352,000	\$ 508,000	\$ -	\$ 143,000	\$ 143,000
Finance	\$ 292,100	\$ 22,000	\$ 314,100	\$ 265,000	\$ 72,500	\$ 337,500
HR	\$ 25,700	\$ -	\$ 25,700	\$ -	\$ 22,700	\$ 22,700
IT	\$ 56,728,781	\$ 340,000	\$ 57,068,781	\$ 3,526,341	\$ 37,900,030	\$ 41,426,371
LSO	\$ 7,070,000	\$ 600,000	\$ 7,670,000	\$ 568,500	\$ 6,320,000	\$ 6,888,500
Total Allocations	\$ 73,456,979	\$ 1,292,000	\$ 74,748,979	\$ 4,851,841	\$ 52,285,435	\$ 57,137,276

Summary of Programs

#	Program Name	Office	Program Description
A	B	C	D
1	Superior Court Audit Program	AS	Conducts operational audits of the superior and appellate courts per the annual audit plan, as approved by the Advisory Committee for Audits and Financial Accountability for the Judicial Branch.
2	Phoenix Program	BAP	The Phoenix Program supports the judicial branch's financial and human resources system (the Phoenix System) with a diverse range of services, including a centralized treasury system, accounting and financial services, trust accounting services, human capital management/payroll services, and core business analysis, training, and support. All 58 courts currently use the financial component of the system. There are currently 13 courts utilizing the payroll component.
3	Trial Court Procurement	BAP	Pays for personal services costs for one FTE to create and maintain statewide procurement agreements for the courts.
4	Domestic Violence Forms Translation	CFCC	This program makes available to all courts, translation of domestic violence protective order forms in languages other than English. Since 2000, these forms have been translated into Spanish, Vietnamese, Chinese and Korean based on data from various language needs studies.
5	Interactive Software-Self-Rep Electronic Forms	CFCC	This program enables all courts to use Hotdocs Document Assembly Applications, which present court users with a Q&A format that automatically populates fields across all filing documents.
6	Self-Help Center	CFCC	Provides court-based assistance to self-represented litigants.
7	Statewide Multidisciplinary Education	CFCC	Supports the annual Youth Court Summit, the biannual Beyond the Bench Conference, and the biannual Family Law Educational Program (in alternating years).
8	Statewide Support for Self-Help Programs	CFCC	The Self-represented Litigants Statewide Support Program updates and expands the online California Courts Self-Help Center on the judicial branch website. Further, this program facilitates the translating of over 50 Judicial Council forms that are used regularly by self-represented litigants.
9	CJER Faculty	CJER	Lodging, meals, and travel for faculty teaching all CJER programs & developing products for the trial courts. Primarily pro bono judge and court staff faculty. Also supports faculty development & training for all audiences.
10	Distance Education	CJER	CJER Online website & toolkits video hosting & on-demand transmission, podcast course hosting, subscription service and transmission.
11	Essential Court Management Education	CJER	National and statewide training for court leaders, including Institute for Court Management (ICM) courses, CJER Core 40 and Core 24 courses, & other local & regional courses for managers, supervisors and lead staff.
12	Essential Court Personnel Education	CJER	The Court Clerks Training Institute - courtroom and court legal process education in civil, traffic, criminal, probate, family, juvenile, appellate. Regional and local court personnel courses. The biennial Trial Court Judicial Attorneys Institute.
13	Judicial Education	CJER	Programs for all newly elected or appointed judges and subordinate judicial officers required by Rule of Court 10.462 (c)(1) to complete the new judge education programs offered by CJER; Assignment overview courses for judges returning to an assignment after two years; the PJs/CEO & Supervising Judges Institutes, Judicial Institutes & courses for experienced judges.
14	Court Interpreter Testing etc.	COS	Pays for spoken language testing of interpreter candidates, new interpreter orientation, and recruitment and outreach.
15	Budget Focused Training and Meetings	Budget Services	Supports meetings of the Trial Court Budget Advisory Committee and associated subcommittees that deal with trial court funding policies and issues.
16	Treasury Services - Cash Management (Support)	Budget Services	Used for the compensation costs for two accounting staff.
17	Trial Court Labor Relations Academies and Forums	HR	<p>The Labor Relations Academy and Forums provide court management staff with comprehensive labor relations knowledge that assists the courts in meeting its labor challenges. The Academies are held once per year in the spring and the Forums are held once per year in the fall.</p> <p>The allocation pays for costs tied to the setup and operations of HR's annual Labor Relations Academies and Forums. Typical expenses include: reimbursement of travel expenses for trial court employees who participate as faculty; lodging for all trial court attendees (including those who serve as faculty); meeting room/conference room rental fees; books/reference materials if needed; and meals for trial court participants of the Labor Relations Forum.</p> <p>Following each Academy, program staff send out surveys to gather feedback and receive suggestions for future events. In addition, participant attendance is gathered and reported to the Judicial Council as part of the Administrative Director's Report to the Council.</p>
18	California Courts Protective Order Registry (CCPOR)	IT	The California Courts Protective Order Registry (CCPOR) is a statewide repository of protective orders containing both data and scanned images of orders that can be accessed by judges, court staff, and law enforcement officers. CCPOR allows judges to view orders issued by other court divisions and across county lines.
19	California Courts Technology Center (CCTC)	IT	<p>The CCTC hosts some level of services for the 58 California superior courts, all the Courts of Appeal and the Supreme Court and has over 10,000 supported users. Major installations in the CCTC include the following:</p> <ul style="list-style-type: none"> • Appellate Court Case Management System (ACCMS) • California Court Protective Order Registry (CCPOR) • Phoenix - Trial Court Financial and Human Resources System • Sustain Interim Case Management System (ICMS) • Computer Aided Facilities Management (CAFM) system • Civil, Small Claims, Probate, and Mental Health Trial Court Case Management System (V3) • Integration Services Backbone (ISB) <p>This program provides consistent, cost effective, and secure hosting services, including ongoing maintenance and operational support, data network management, desktop computing and local server support, tape back-up and recovery, help desk services, email services, and a disaster recovery program.</p>
20	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3)	IT	V3 is used by the California Superior Courts of Orange, Sacramento, San Diego, and Ventura Counties. The courts use it to process approximately 25% of civil, small claims, probate, and mental health cases statewide.
21	Data Integration	IT	Data Integration provides system interfaces between Judicial Council systems and the computer systems of our justice partners, be they courts, law enforcement agencies, the department of justice and others. Without the Integrated Services Backbone (ISB), the current systems for sharing protective orders, for example, would not function.

#	Program Name	Office	Program Description
A	B	C	D
22	Enterprise Policy/Planning (Statewide Development)	IT	The Enterprise Policy and Planning program provides the trial courts access to a variety of Oracle products (e.g., Oracle Enterprise Database, Real Application Clusters, Oracle Security Suite, Oracle Advanced Security, Diagnostic Packs, Oracle WebLogic Application Server) without cost to the courts.
23	Interim Case Management Systems	IT	This ICMS Unit primarily provides project management and technical expertise to those courts which have their SJE application hosted at the CCTC. This support includes incorporating legislative updates into the SJE application, integrating application upgrades into the CCTC and supporting CCTC infrastructure upgrades. Locally hosted SJE courts also utilize ICMS resources as requested for legislative updates such as traffic amnesty. The ICMS Unit support includes support for SJE interfaces at CCTC including DMV, DOJ, FTB COD collections, IVR/IWR processing, warrants and FTA-FTP collection interfaces among others. The ICMS Unit also provides SJE production support which is critical to ensuring that the SJE application and interfaces are available to support court operations and provide information to local/state justice partners.
24	Jury Management System	IT	The allocation for the Jury Program is used to distribute funds to the trial courts in the form of grants to improve court jury management systems. All trial courts are eligible to apply for the jury funding. The number of courts receiving grants varies according to the amount of grant funding available and the number of jury grant requests received.
25	Phoenix Program	IT	The Phoenix Program supports the judicial branch's financial and human resources system (the Phoenix System) with a diverse range of services, including a centralized treasury system, accounting and financial services, trust accounting services, human capital management/payroll services, and core business analysis, training, and support. All 58 courts currently use the financial component of the system. There are currently 13 courts utilizing the payroll component.
26	Telecommunications Support	IT	• This program develops and supports a standardized level of network infrastructure for the California superior courts. This infrastructure provides a foundation for local systems (email, jury, CMS, VOIP, etc.) and enterprise system applications such as Phoenix via shared services at the CCTC, provides operational efficiencies, and secures valuable court information resources.
27	Uniform Civil Filing Services (UCFS)	IT	This program supports the distribution and mandated reporting of uniform civil fees collected by all 58 superior courts, with an average of over \$47 million distributed per month. The system generates reports for the State Controller's Office and various entities that receive the distributed funds. There are over 215 fee types collected by each court, distributed to 23 different entities (e.g. Trial Court Trust Fund, County, Equal Access Fund, Law Library, etc.), requiring 65,572 corresponding distribution rules that are maintained by UCFS. UCFS benefits the public by minimizing the amount of penalties paid to the state for incorrect or late distributions and ensuring that the entities entitled to a portion of the civil fees collected, as mandated by law, receive their correct distributions.
28	Judicial Performance Defense Insurance	LS	The allocation for the Judicial Performance Defense Insurance program is used to pay the insurance premium for trial court judges and judicial officers for the Commission on Judicial Performance (CJP) defense master insurance policy. The program (1) covers defense costs in CJP proceedings related to CJP complaints; (2) protects judicial officers from exposure to excessive financial risk for acts committed within the scope of their judicial duties, and (3) lowers the risk of conduct that could lead to complaints through required ethics training for judicial officers.
29	Jury System Improvements	LS	This program is related to Jury Instructions and is a "self-funding" PCC. Funds in this account are generated by royalties generated from sales of criminal and civil jury instructions. The funds are deposited pursuant to the Government Code.
30	Litigation Management Program	LS	The allocation for the Litigation Management Program is used to pay settlements, judgments (if any), and litigation costs, including attorney fees, arising from claims and lawsuits brought against trial courts.
31	Regional Office Assistance Group	LS	The allocation for the Regional Office Assistance Group is used to pay for two attorneys and one support personnel working in Sacramento to provide direct legal services to the trial courts in the areas of legal opinions and labor and employment law.
32	Trial Courts Transactional Assistance Program	LS	The allocation for the Trial Court Transactional Assistance Program is used primarily to pay for outside counsel managed by the Legal Services office to represent the trial courts in labor arbitrations and proceedings before the Public Employment Relations Board (PERB). To a lesser extent, the funds are used to pay for outside counsel to assist trial courts with legal services in specialized areas of court operations, e.g., tax and employee benefits.
33	BCP Funding		
34	Language Access- BCP	COS	One-time funding for Video Remote Interpreting Spoken Language Pilot designed to advance language access expansion efforts in the courts.
35	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3) Replacement BCP	IT	The allocation was to replace V3 Court Case Management Systems in the Superior Courts of California - Orange, Sacramento, San Diego, and Ventura counties.
35	Madera CCTC Transition	IT	One-time funding from IMF to transition from the California Court Technology Center (CCTC) to their own independent information technology infrastructures. Approved by the Judicial Council on January 19, 2017.
37	SRL (Self-Represented Litigants) BCP	IT	The allocation is to design, build and maintain a statewide Self-Represented Litigants e-Services Web Portal to enable those without legal representation to research, e-file, and track non-criminal cases via an online portal
38	Sustain Justice Edition CMS (FY 2017-18 BCP)	IT	The allocation was approved to replace the Sustain Justice Edition Case Management System in the Superior Courts of California - Humboldt, Lake, Madera, Modoc, Plumas, Sierra, San Benito, Trinity and Tuolumne Courts.
39	Programs Reorganized		
40	Revenue Distribution Training/Records Management	Budget Services	Funding moved from BAP to FSO. Pays for annual training on Revenue Distribution to all the collection programs as well as annual CRT training.
41	Workload Assessment Advisory Committee/Trial Court Workload Study	Budget Services	Funding moved from COSSO to FSO. Pays for meeting expenses of the Workload Assessment Advisory Committee (WAAC) and travel expenses for court personnel and judges related to workload studies.

State Trial Court Improvement and Modernization Fund -- Fund Condition Statement

#	Description	Estimated						
		2014-15 (Year-end Financial Statement)	2015-16 (Year-end Financial Statement)	2016-17 (Year-end Financial Statement)	2017-2018	2018-2019	2019-2020	2020-2021
		A	B	C	D	E	F	G
1	Beginning Balance	26,206,661	9,255,317	6,956,187	9,300,613	6,297,317	2,998,389	-4,243,506
2	Prior-Year Adjustments	2,877,000	753,239	4,188,013	520,415	0	0	0
3	Adjusted Beginning Balance	29,083,661	10,008,556	11,144,200	9,821,028	6,297,317	2,998,389	-4,243,506
4	REVENUES:							
5	Jury Instructions Royalties	532,783	552,000	607,672	736,258	748,581	748,581	748,581
6	Interest from SMIF	100,734	170,114	415,663	749,951	749,951	749,951	749,951
7	Escheat-Unclaimed Checks, Warrants, Bonds	2,000	1,085	7,615	2,000	2,000	2,000	2,000
8	50/50 Excess Fines Split Revenue	23,702,658	20,219,295	13,160,903	11,651,850	11,194,497	10,746,717	10,746,717
9	2% Automation Fund Revenue	14,730,023	12,463,280	12,792,097	11,027,329	10,220,439	9,619,927	9,619,927
10	Other Revenues/SCO Adjustments	28,233	62,857	0	10,000	10,000	10,000	10,000
11	Class Action Residue				95,880	95,880	95,880	95,880
12	Subtotal Revenues	39,096,431	33,468,632	26,983,950	24,273,268	23,021,348	21,973,056	21,973,056
13	Transfers and Other Adjustments							
14	To TCTF (GC 77209(k))	-13,397,000	-13,397,000	-13,397,000	-13,397,000	-13,397,000	-13,397,000	-13,397,000
15	To Trial Court Trust Fund (Budget Act)	-20,594,000	-594,000	-594,000	-594,000	-594,000	-594,000	-594,000
16	Total Revenues, Transfers, and Other Adjustments	5,105,431	19,477,632	12,992,950	10,282,268	9,030,348	7,982,056	7,982,056
17	Total Resources	34,189,092	29,486,188	24,137,150	20,103,296	15,327,665	10,980,445	3,738,550
18	EXPENDITURES:							
19	Judicial Branch Total State Operations	13,289,265	14,213,000	6,002,763	6,149,110	4,851,841	5,030,050	3,562,943
20	Judicial Branch Total Local Assistance	50,353,510	52,535,000	65,451,774	61,668,869	52,285,435	54,105,901	50,865,150
21	Total Expenditures	63,642,775	66,748,001	71,454,537	67,817,979	57,137,276	59,135,951	54,428,093
22	Expenditure Adjustments:							
23	Pro Rata and Other Adjustments			660,000	306,000	306,000	306,000	306,000
24	Less funding provided by General Fund (Local Assistance)	-38,709,000	-44,218,000	-56,618,000	-54,318,000	-45,114,000	-44,218,000	-44,218,000
25	Total Expenditures and Adjustments	24,933,775	22,530,001	14,836,537	13,805,979	12,329,276	15,223,951	10,516,093
26	Fund Balance	9,255,317	6,956,187	9,300,613	6,297,317	2,998,389	-4,243,506	-6,777,543
27	Restricted Funds - Jury Management	816,367	882,733	1,104,525	1,016,783	1,281,364	1,545,945	1,545,945
28	Restricted Funds - Sargent Shriver Civil Counsel	0	0	0	95,880	191,760	287,640	383,520
29	Fund Balance - less restricted funds	8,438,950	6,073,454	8,196,088	5,184,654	1,525,265	-6,077,091	-8,707,008
30	Structural Balance	-19,828,344	-3,052,369	-1,843,587	-3,523,711	-3,298,928	-7,241,895	-2,534,037

2018-19 Trial Court Trust Fund (TCTF) Recommended Preliminary Allocation

2017-18 Base Allocation			Base Allocation Adjustments			2018-19 Base Allocation	2018-19 TCTF Allocations	
A	B	C	D	E	F	G	H	I
2017-18 Ending Base	Less General Fund Employee Benefits	2017-18 TCTF Ending Base (A + B)	2017-18 Adjusted Base Allocation	New and Changed Allocations	Other Allocations	2018-19 TCTF Base Allocation (D + E + F)	Revenue and Expenditure (R&E) Subcommittee Recommendations	2018-19 Total TCTF Allocation (G + H)
1,824,719,885	(68,818,575)	1,755,901,310	1,778,582,599	22,839,843	5,071,869	1,806,494,310	188,839,077	1,995,333,387

Trial Court Trust Fund (TCTF) 2018-19 Allocation

1	2017-18 Ending Base	1,824,719,885
2	Less General Fund Employee Benefits	(68,818,575)
3	2017-18 TCTF Ending Base	1,755,901,310
4	Non-Base Adjustments	
5	Automated Record Keeping and Micrographics	2,550,795
6	Replacement of 2% Automation Fund Allocation	10,907,494
7	Criminal Justice Realignment	9,223,000
8	2017-18 Adjusted TCTF Base Allocation (Program 0150010)	1,778,582,599
9	New and Changed Allocations	
10	Subordinate Judicial Officers Conversions	(1,007,523)
11	Micrographics	31,239
12	2017-18 Non-Court Interpreter Employee Benefits	23,816,127
13	Total, New and Changed Allocations (Program 0150010)	22,839,843
14	Other Allocations	
15	2017-18 Court Interpreter Employee Benefits (Program 0150037)	1,071,869
16	2018-19 Court Interpreter One-time BCP Funding (Program 0150037)	4,000,000
17	Total Other Allocations	5,071,869
17	2018-19 TCTF Base Allocation	1,806,494,310
18	R&E Subcommittee Recommendations	
19	Judicial Council (Staff) - Program 0140010	
20	Children in Dependency Case Training	500,000
21	Sargent Shriver Civil Counsel Pilot Program	246,000
22	Equal Access Fund	260,000
23	Court-Appointed Dependency Counsel Collections	625,000
24	Phoenix Financial Services	107,000
25	Phoenix Human Resources Services	1,404,676
26	Statewide E-Filing Implementation	491,000
27		3,633,676
28	Expenses on Behalf of the Trial Courts - Program 0150095	
29	Children in Dependency Case Training	113,000
30	Sargent Shriver Civil Counsel Pilot Program	6,433,142
31	Civil, Small Claims, Probate and Mental Health (V3) Case Management System	564,000
32	California Courts Technology Center	1,045,943
33	Interim Case Management System	361,000
34	Other Post Employment Benefits Valuations	118,000
35	State Controller's Office Audit - Pilot program per GC 77206 (h)(4)	540,000
36		9,175,085
37	Allocation for Court-Appointment Dependency Counsel - Program 0150011	
38	Court-Appointed Dependency Counsel	136,700,000

39	Allocation for Reimbursements - Program 0150010	
40	Jury	14,500,000
41	Replacement Screening Stations	1,900,000
42	Self-Help Center	21,600,000
43	Elder Abuse	332,340
44	California State Auditor Audits	325,000
45	Court-Appointed Dependency Counsel Collections Reimbursement Rollover	pending
46	Court-Appointed Dependency Counsel Collections Reimbursement	672,976
47		39,330,316
48	2018-19 Total TCTF Allocation (Base + R&E Recommendations)	1,995,333,387
49	2018-19 TCTF Allocation by Program	
50	0140010 - Judicial Council	3,633,676
51	0150010 - Support for Operation of the Trial Courts	1,840,752,758
52	0150011 - Court-Appointed Dependency Counsel	136,700,000
53	0150037 - Court Interpreters (Benefits)	5,071,869
54	0150095 - Expenses on Behalf of the Trial Courts	9,175,085
55	Total 2018-19 Allocation by Program	1,995,333,387

Trial Court Trust Fund - Fund Condition Statement

	Description	YEAR END FINANCIAL STATEMENTS			ESTIMATED				
		2014-15	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22
#	A	B	C	D	E	F	G	H	I
1	Beginning Fund Balance	21,218,232	6,614,017	34,829,875	66,569,098	73,424,003	74,037,569	68,088,245	59,599,555
2	Prior-Year Adjustments	5,624,798	7,208,461	5,759,000	2,479,627	-	-	-	-
3	TOTAL REVENUES AND TRANSFERS	2,333,631,984	2,431,232,814	2,486,227,327	2,471,289,300	2,642,519,300	2,629,704,300	2,629,704,300	2,629,704,300
4	<i>Total Revenues</i>	<i>1,341,324,951</i>	<i>1,294,611,392</i>	<i>1,270,421,327</i>	<i>1,273,466,000</i>	<i>1,263,322,000</i>	<i>1,250,998,000</i>	<i>1,250,998,000</i>	<i>1,250,998,000</i>
5	Transfers/Charges/Reimbursements								
6	General Fund Transfer	922,648,255	943,724,000	1,021,832,000	986,281,000	1,158,535,000	1,158,535,000	1,158,535,000	1,158,535,000
7	General Fund Transfer - Court-Appointed Dependency Counsel		114,700,000	114,700,000	136,700,000	136,700,000	136,700,000	136,700,000	136,700,000
8	General Fund Transfer - Revenue Backfill	30,900,000	58,900,000	61,300,000	55,000,000	64,300,000	64,300,000	64,300,000	64,300,000
10	<i>Proposed backfill for Civil Assessments</i>				-	-	-	-	-
11	General Fund Loan - Statewide E-Filing				671,000	491,000			
12	Reduction Offset Transfers	26,080,000	6,080,000	6,080,000	6,080,000	6,080,000	6,080,000	6,080,000	6,080,000
14	Net Other Transfers/Charges/Reimbursements	12,678,778	13,217,422	11,894,000	13,091,300	13,091,300	13,091,300	13,091,300	13,091,300
15	Total Resources	2,360,475,014	2,445,055,292	2,526,816,202	2,540,338,025	2,715,943,303	2,703,741,869	2,697,792,545	2,689,303,855
16	EXPENDITURES/ENCUMBRANCES/ALLOCATIONS								
17	Program 30/30.05 (0140010) - Judicial Council (AOC Staff)	4,095,938	3,620,851	2,306,934	3,836,676	3,633,676	2,878,676	2,878,676	2,890,676
18	Program 30.15 (Formerly Program 45.10) (0140019) - Trial Court Operati	15,622,980	12,369,281	-	-	-	-	-	-
19	Program 45.10 (0150010) - Support for Operation of the Trial Courts	1,883,174,214	1,816,242,767	1,860,003,547	1,831,911,214	1,987,411,785	1,986,066,899	1,989,061,432	1,989,061,432
20	Program 0150011 - Court-Appointed Dependency Counsel	-	114,387,117	114,699,919	136,700,000	136,700,000	136,700,000	136,700,000	136,700,000
21	Program 45.15 - Trial Court Security	-	-	-	-	-	-	-	-
22	Program 45.25 (0150019) - Compensation of Superior Court Judges	319,803,869	330,369,783	335,384,000	338,231,000	359,503,000	359,503,000	359,503,000	359,573,000
23	Program 45.35 (0150028) - Assigned Judges	24,792,538	25,199,733	25,923,351	27,005,000	28,117,000	28,117,000	28,117,000	28,117,000
24	Program 45.45 (0150037) - Court Interpreters	96,802,928	99,598,715	102,282,915	108,537,000	108,704,000	104,704,000	104,704,000	104,704,000
25	9892 Supplemental Pension Payments (State Ops)					98,000	105,000	169,000	177,000
26	Program 0150095 - Expenses on Behalf of the Trial Courts	-	-	11,391,069	11,227,777	9,175,085	9,300,277	8,544,088	8,961,088
27	Item 601 - Redevelopment Agency Writ Case Reimbursements	704,280	291,169	108,368	-	-	-	-	-
28	Total, Expenditures/Encumbrances/Allocations	2,353,860,997	2,410,225,417	2,460,247,104	2,466,914,023	2,641,905,733	2,635,653,624	2,638,192,991	2,638,687,991
29	Ending Fund Balance	6,614,017	34,829,875	66,569,098	73,424,003	74,037,569	68,088,245	59,599,555	50,615,864
30	Total Restricted Funds	16,294,708	13,769,783	18,150,799	18,680,758	17,090,893	15,806,374	13,806,532	13,806,532
31	Ending Unrestricted Fund Balance	(9,680,691)	21,060,092	48,418,299	54,743,244	56,946,676	52,281,871	45,793,023	36,809,332
32	Appropriation Authority	2,374,768,317	2,422,079,000	2,492,488,255	2,572,041,000	2,676,665,000	2,732,602,000	2,732,602,000	2,732,602,000
33	Appropriation Authority Surplus/(Deficit)	20,907,320	11,853,583	32,241,151	105,126,977	34,759,267	96,948,376	94,409,009	93,914,009

NOTE: General Fund Transfer - Revenue Backfill Shortfall in the amount of \$7,000,000 is pending for 2017-18. To be determined when all revenues are collected.