



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: May 24, 2018

Title	Agenda Item Type
Judicial Branch Budget: Quarterly Report on the Judicial Council's Court Innovations Grant Program, Fiscal Year 2017–18, Quarter 3	Information Only
	Date of Report
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Submitted by	Contact
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Executive Summary

This report summarizes fiscal year 2017/18 third quarter Judicial Council Court Innovations Grant program activity.

Relevant Previous Council Action

In March 2017, the council awarded \$23.5 million in total grants for projects designed to improve court efficiency and increase the public's access to justice. The council also allocated \$625,000—out of the statutorily authorized \$1.25 million—for administration costs, and to retain 3–4 percent of each category's funding for unforeseen expenses incurred during project rollouts.

In June 2017, the council voted to delegate to the Judicial Branch Budget Committee (JBBC) the authority to decide whether to approve funding adjustments. The delegation requires the JBBC to use the same criteria that were used in deciding the original awards and to report quarterly to the council about any authorized adjustments.

Analysis/Rationale

Judicial Branch Budget Committee funding adjustments

The JBBC authorized the following grant adjustment in the third quarter of the fiscal year:

- A budgetary increase of \$13,389 was approved for the Video Conferencing of Mental Health Hearing project of the Superior Court of Sacramento County to allow for the increased cost for video equipment resulting from the court's request-for-bid process from vendors of video equipment.

Contingency fund balance

As of the third quarter of fiscal year (FY) 2017–18, the balance of the contingency fund is \$2,043,257.99:

- \$1,557,534.48 for collaborative court projects;
- \$350,725.04 for self-help, family, and juvenile court projects; and
- \$134,998.47 for other efficiency projects.

The JBBC will continue to monitor the contingency fund closely and report on any changes.

Summary of findings

Fifty-one projects are moving forward. The total amount awarded to these projects totals \$22.3 million. However, the total award amount has not been distributed because the grant period covers three fiscal years and only portions of the grants are distributed in each of these years. To date, \$10.78 million has been distributed to 47 projects. Additional funds will be distributed in the beginning of fiscal years 2018–19 and 2019–20, or as approved by the Judicial Branch Budget Committee.

Of the \$10.78 million distributed for FY 2017–18, approximately \$2.4 million has been expended by the awardees, with \$1.1 million expended in Quarter 3. (See Attachment A for the Court Innovations Grant Projects Fiscal Year 2017–18, Quarter 3, Expenditure Summary.)

The second quarterly reports from the grantee courts were received at the end of January and the beginning of February 2018, and council staff began receiving the third quarterly reports on April 30, 2018. Overall, the grant projects are progressing as indicated in initial Project Implementation Plans with minor exceptions due to unforeseen vendor, personnel, or regulatory challenges. In these cases, awardees have coordinated with council staff to revise the Project Implementation Plans to adjust timing for a task extension while ensuring the estimated project completion date is not impacted. In some grant projects, awardees have submitted initial baseline and implementation data, and reported monetary savings.

Council staff issued a request to all grant awardees on March 13, 2018, asking for confirmation on whether the courts intended to expend unused grant funding in the remainder of FY 2017–18 or whether they intended to roll over funds into FY 2018–19. Council staff are currently reviewing these responses to identify whether projects will require a change to the FY 2018–19 grant disbursement schedule, and which courts will require changes to overall spending plans.

Concurrently, council staff are preparing contract amendments to clarify the terms for all grants and to make project-specific changes to scope, measurement outcomes, or disbursement schedules for a select group of projects.

Fiscal Impact and Policy Implications

Implementation Efforts

The following are several program and project highlights included in the most current Quarterly Progress Reports:

- The Reentry Court project of the Superior Court of Inyo County, implemented last year, is now offering two hearing dates per month. The Reentry Court recently reported serving an average of 19 participants a quarter, and has reported success with engaging this population with unique challenges. To ensure replicability of this project, the court has improved the use of the validated risk assessment tools and case plans both for prerelease court review hearings and for participation in the program. The court is in the initial stages of developing a Reentry Court Operations Manual.
- The Superior Court of San Bernardino County is offering and conducting video conferencing for mediation sessions utilizing video conferencing equipment and software purchased with grant funds. Mediation services are currently offered at three courthouses, including, 413 telephonic sessions a year; this project has allowed the additional remote service to help counselors facilitate a productive exchange of information and ideas in a safer environment.
- The Driving Under the Influence (DUI) and Restitution Court project of the Superior Court of San Mateo County was implemented last year, and has already reported cost avoidance in jail costs, and increased collection of total restitution as a result of this project. This cost avoidance is tied to jail time that nonprogram participants would receive in the course of their sentence compared to that of program participants. Additionally, based on initial calculations since the beginning of the program, additional restitution dollars have been collected for victims that would not have been collected if the program did not exist. Projections are anticipated to increase over time as more data becomes available.
- The Community Supervision Court project of the Superior Court of San Joaquin County was visited by Assembly Member Susan Eggman on Oct. 25, 2017. Assembly Member Eggman indicated the Collaborative Courts model is consistent with her position to expand access to treatment services and diversion alternatives. The court intends to keep Assembly Member Eggman and other lawmakers updated on the cost-benefit analysis and to maintain communication with them.

Next steps

Under the JBBC's oversight, council staff will continue to collect and review quarterly reports; track project budgets, expenditures, and implementation plans; and measure projects' outcome goals. Staff will also continue to work with the projects to help gauge the innovation, efficiency, accessibility, modernization, replicability, and sustainability of each project. Judicial Council Audit Services staff will assist with monitoring the grant program by conducting periodic reviews of specific grant programs.

Attachments and Links

1. Attachment A: *Court Innovations Grant Projects Fiscal Year 2017–18, Quarter 3, Expenditure Summary*

**Court Innovations Grant Projects
Fiscal Year 2017-18, Quarter 3
Expenditure Summary**

Court	Project Name	Total Award	Total Distribution as of FY 2017-18, Q3	Total Project Expenditures	FY 2017-18, Q3 Expenditures
5th DCA	Self-Help and Learning Center Website	\$ 317,916.00	\$ 45,000.00	\$ -	\$ -
5th DCA	Modernize the Transcript Assembly Program	\$ 793,000.00	\$ 793,000.00	\$ -	\$ -
Alameda	Collaborative Court Management Information System	\$ 114,223.00	\$ 100,384.00	\$ 13,711.78	\$ 5,259.01
Butte	Remote Video-Conferencing Technology	\$ 576,140.00	\$ 356,703.00	\$ 96,534.92	\$ 54,442.84
Contra Costa	California's Virtual Self Help Site	\$ 970,365.00	\$ 339,688.00	\$ 101,664.08	\$ 101,664.08
Contra Costa	Veteran's Treatment Court (VTC)	\$ 367,974.33	\$ 163,543.81	\$ 43,671.31	\$ 12,932.83
Contra Costa	Expanding LEP Court Access	\$ 239,000.00	\$ 232,000.00	\$ 103.92	\$ -
El Dorado	One Family/One Judge Pilot Project	\$ 66,599.00	\$ 52,450.00	\$ -	\$ -
Fresno	Human Trafficking Court Planning and Implementation	\$ 383,651.00	\$ 234,299.00	\$ 169,653.02	\$ 60,071.00
Humboldt	Family Dependency Drug Court	\$ 1,414,209.82	\$ 549,793.68	\$ 42,786.57	\$ 20,125.45
Humboldt	Interactive Video Conferencing System	\$ 170,919.87	\$ 170,919.87	\$ 751.78	\$ 751.78
Inyo	Reentry Court	\$ 273,712.00	\$ 89,478.00	\$ 22,375.72	\$ 12,990.78
Los Angeles	E-Filing	\$ 114,760.00	\$ 114,760.00	\$ -	\$ -
Los Angeles	Self-Help Traffic Avatar (Gina) Expansion	\$ 59,373.00	\$ 59,373.00	\$ -	\$ -
Los Angeles	Justice System Partner and Litigant Portal	\$ 637,500.00	\$ 425,000.00	\$ 223,500.00	\$ 89,375.00
Mendocino	Adult Drug Court	\$ 374,611.89	\$ 92,038.44	\$ 28,716.68	\$ 25,596.68
Merced	Video Conference Hearings	\$ 194,540.00	\$ 173,880.00	\$ -	\$ -
Monterey	Cloud Based Disaster Recovery Solution	\$ 209,360.74	\$ 209,360.74	\$ -	\$ -
Monterey	California Court Access App	\$ 789,940.00	\$ 254,620.00	\$ 42,117.47	\$ 26,142.15
Orange	Court User Portal	\$ 511,200.00	\$ 170,400.00	\$ 51,044.00	\$ 39,140.00
Orange	Improving Court Management Through the Use of Analytics	\$ 938,851.34	\$ 563,910.67	\$ -	\$ -
Orange	Automating the Courtroom Check-in	\$ 246,190.00	\$ 222,150.00	\$ 11,904.00	\$ -
Orange	Conservatorship Accountability Portal	\$ 212,972.00	\$ 78,720.00	\$ 11,904.00	\$ -
Orange	Enhance Self-Help Portal	\$ 326,800.00	\$ 106,000.00	\$ 11,904.00	\$ -
Placer	Video Appearances	\$ 560,000.00	\$ 560,000.00	\$ -	\$ -
Riverside	Intelligent Self-Help Kiosk	\$ 648,774.14	\$ 395,436.69	\$ 280,067.48	\$ 64,187.96
Riverside	Traffic Avatar	\$ 46,865.65	\$ 35,611.34	\$ 22,036.79	\$ 19,537.29
Riverside	Attorney and Litigant Electronic Courtroom Self Check-in	\$ 178,732.14	\$ 166,222.69	\$ 6,222.69	\$ 6,222.69
Sacramento	Videoconferencing of Mental Health Hearings	\$ 52,860.00	\$ 66,246.00	\$ 1,023.34	\$ 1,023.34
Sacramento	Monitor and Measure the Achievement of Program Goals	\$ 311,849.00	\$ 103,623.00	\$ 66,743.01	\$ 24,893.58
San Bernardino	Remote Video Proceedings	\$ 244,698.58	\$ 70,947.98	\$ 44,939.90	\$ 26,443.98
San Bernardino	Customer Relationship Management Portal	\$ 430,755.51	\$ 373,832.05	\$ 95.00	\$ 95.00
San Bernardino	Video-Conferencing Child Custody Recommending Counseling	\$ 35,537.40	\$ 20,687.40	\$ 17,338.85	\$ 3,165.25
San Diego	Access to Information Made Simple	\$ 276,320.00	\$ 129,409.00	\$ 152,636.71	\$ 36,509.76
San Diego	Evidence-Based Sentencing Triage Assessment Unit	\$ 1,484,758.85	\$ 488,253.32	\$ 119,913.16	\$ 41,763.65
San Francisco	Veterans Justice Court	\$ 318,592.00	\$ 79,400.00	\$ 59,498.99	\$ 29,542.04
San Joaquin	Community Supervision Court	\$ 1,982,207.93	\$ 643,842.39	\$ 295,462.72	\$ 113,321.76
San Mateo	DUI and Restitution Court	\$ 1,012,477.00	\$ 401,599.00	\$ 68,809.29	\$ 60,220.59
San Mateo	Develop and Provide Expanded Online Self-help	\$ 336,000.00	\$ 296,000.00	\$ 890.66	\$ 890.66
San Mateo	Automated Line Queuing System	\$ 125,000.00	\$ -	\$ -	\$ -
Santa Barbara	Instant Family Law Orders	\$ 312,926.00	\$ -	\$ 8,424.51	\$ 1,211.88
Santa Cruz	Collaborative Justice System	\$ 1,174,633.00	\$ 404,461.00	\$ 151,174.04	\$ 88,886.23
Santa Cruz	SMS Notifications	\$ 35,760.00	\$ -	\$ -	\$ -
Shasta	Cooperative Parenting Program	\$ 603,558.92	\$ 213,801.58	\$ 20,920.19	\$ 11,976.76
Sonoma	Veterans Court Enhancements	\$ 56,476.00	\$ 56,476.00	\$ 47,527.62	\$ 35,477.45
Sonoma	Queuing/Appointment/Calendar System	\$ 56,586.00	\$ 56,586.00	\$ -	\$ -
Stanislaus	Homeless Court Program	\$ 593,089.91	\$ 197,513.30	\$ 4,636.88	\$ 2,305.52
Tuolumne	Expand Small Claims-Civil Mediation Program	\$ 24,000.00	\$ 8,400.00	\$ 600.00	\$ 450.00
Ventura	Juvenile Delinquency Family Engagement Workshops	\$ 88,182.00	\$ 32,184.00	\$ 7,371.11	\$ 5,710.96
Ventura	Internet Based Self-help Workshops	\$ 932,404.00	\$ 318,236.00	\$ 183,344.53	\$ 85,942.27
Yolo	Online Interactive Multilingual Tool	\$ 91,500.00	\$ 76,500.00	\$ -	\$ -
Program Total		\$ 22,318,353.02	\$ 10,792,740.95	\$ 2,432,020.72	\$ 1,108,270.22