



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: June 23–24, 2016

Title	Agenda Item Type
Trial Court Allocations: Fiscal Year 2016–2017 Allocations from Trial Court Trust Fund and State Trial Court Improvement and Modernization Fund	Action Required
	Effective Date
	June 24, 2016
Rules, Forms, Standards, or Statutes Affected	Date of Report
None	June 13, 2016
Recommended by	Contact
Trial Court Budget Advisory Committee Hon. Jonathan B. Conklin, Chair	Steven Chang, 415-865-7195 steven.chang@jud.ca.gov

Executive Summary

The Trial Court Budget Advisory Committee recommends that the Judicial Council approve \$157.887 million in allocations from the Trial Court Trust Fund and \$64.458 million from the State Trial Court Improvement and Modernization Fund for fiscal year 2016–2017.

Recommendation

The Trial Court Budget Advisory Committee (TCBAC) is unanimously recommending that the Judicial Council adopt the following recommendations:

1. Allocate the following in fiscal year (FY) 2016–2017 from the Trial Court Trust Fund (TCTF):
 - a. \$13.819 million from the Judicial Council and Trial Court Operations appropriations (see Attachment A),
 - b. \$144.068 million from the Support for Operation of the Trial Courts appropriation (see column E of Attachment B), and

- c. For the jury reimbursement program, which is allocated from the Support for Operation of the Trial Courts appropriation, direct Judicial Council of California (JCC) Finance staff to make, if eligible jury costs exceed the total allocation, a year-end allocation adjustment so that each court receives the same share of the approved allocation based on their share of the statewide allowable jury expenditures.
- 2. Allocate \$64.459 million (\$6.953 million state operations and \$57.506 million local assistance) in FY 2016–2017 from the State Trial Court Improvement and Modernization Fund (IMF) (see column G of Attachment D).
- 3. Given current revenue estimates, set aside a \$2 million fund balance in the IMF by the end of FY 2016–2017 as a reserve against possible further declines in revenues.
- 4. Based on actual FY 2015–2016 IMF revenue receipts by September 1, 2016, allocate all unrestricted 2015–2016 revenues that exceed the current estimates to the Telecommunications Program.
- 5. Consistent with actions taken by the Judicial Council Technology Committee (JCTC) on April 14, 2016:
 - a. Endorse the position that all Sustain-hosted courts move away from the current IMF subsidized funding structure to an IT administrative program that is funded in a manner consistent with other trial courts throughout the state.
 - b. Endorse “Scenario 3: Elimination of the ICMS [Interim Case Management System] and Managed Court Program use of CCTC [California Court Technology Center], if any use remains at the start of FY 19/20, any such costs are paid by the participating courts.”
 - c. Via the Judicial Council Technology Committee and the Trial Court Budget Advisory Committee, find one-time funding for the support of this effort, as early as the current year.
 - d. Continue to support the Sustain-hosted courts in their efforts to acquire a replacement of the outdated Interim Case Management System as a longer term goal, which would further reduce the IMF expenditures.

Previous Council Action

Since the inception of state trial court funding in 1996, every year the Judicial Council or the Executive and Planning Committee has allocated trial court-related funding from the State Trial Court Improvement and Modernization Fund—or its predecessor funds, the Judicial Administration Efficiency and Modernization Fund and the Trial Court Improvement Fund—and the Trial Court Trust Fund.

Rationale for Recommendations

Recommendation 1, regarding TCTF allocations in FY 2016–2017, is essentially to maintain the FY 2015–2016 allocation levels for discretionary programs and use the May Revision revenue estimates provided by JCC staff for items funded by restricted revenue.

Recommendation 2, regarding IMF allocations in FY 2016–2017 (see column G of Attachment D), is mostly to maintain the FY 2015–2016 allocation levels, and assumes \$8.7 million in General Fund monies will be provided in the Budget Act of 2016 such that \$8.7 million in Phoenix Program staff costs can be shifted over to the JCC General Fund appropriation.¹ The main exceptions are for projected cost-of-living adjustments for JCC staff, revised cost projections for a few information technology-related programs, and the elimination of IMF funding (\$20,000) for the Juvenile Law Practice Resources program as courts should pay for these costs on their own if they see value in it. The council approved only a one-time allocation in FY 2015–2016 for the JusticeCorps program with the intention of no longer providing funding from the IMF, but instead having participating courts contribute toward their participation starting FY 2016–2017. As approved by the council in April 2016 via circulating order No. CO-16-04, the Enterprise Policy and Planning program’s \$1.7 million increase is mainly due to one-time costs for procuring new products that will allow the branch to reduce its licenses with Oracle from 25,000 to 17,000 authorized users and result in reducing annual maintenance costs by over \$1 million starting in FY 2016–2017.

In addition, the TCBAC is recommending a one-time \$736,500 allocation for a Placer Court Hosting Center. The request was made by a consortium of seven courts. The collaborative plan developed by the ICMS courts and Managed Court programs estimates that the proposal endorsed by JCTC will reduce the annual subsidy from the IMF for Sustain and the Managed Court hosting costs (i.e., CCTC costs) from about \$796,959 annually to zero in FY 2019–2020. A subsidy for ICMS software support, of approximately \$650,000, is proposed to remain until the ICMS program is fully replaced (via a separate project already underway). The TCBAC also considered funding the proposal from the TCTF but decided it was most appropriate to fund from the IMF. The TCBAC recommends that \$360,000 be shifted from the allocation for the Regional Office Assistance Group to the Trial Courts Transactional Assistance Program (\$200,000) and the Litigation Management Program (\$160,000), all managed by the JCC Legal Services office.

The recommended allocation of \$17.559 million for the Telecommunications Program will not allow for the replacement of all LAN/WAN equipment that will reach the end of its service life during FY 2016–2017. In order to avoid the risk of equipment failure due to not being replaced by the scheduled date, the TCBAC is recommending that all equipment be replaced prior to reaching the end of its service life either through leasing equipment or financing the purchase of equipment, and that JCC staff make the determination as to whether equipment be either leased or financed based on legal, technical, financial, and other considerations.

Recommendation 3 is to set aside a \$2 million fund balance in the IMF by the end of FY 2016–2017 as a reserve against possible further declines in revenue (see Attachment E). Because of data limitations, current projections for the 50/50 excess revenue may not fully reflect the impact

¹ The reduction to the Phoenix Program is \$8.4 million from the FY 2015–2016 allocation level instead of \$8.7 million because the 2015–2016 allocation reflects a one-time reduction of about \$375,000.

of the amnesty program since those projections must rely on information other than actual revenue, which is only reported once a year after the end of the fiscal year. Taking into consideration the highest percentage declines in the prior years between the 50/50 excess revenue and 2% automation fund revenue, an additional 10%, or \$2 million, decline in the 50/50 excess revenue is possible, although not necessarily probable.

Recommendation 4 is to increase the recommended FY 2016–2017 IMF allocation of \$17.559 million for the Telecommunications Program, and therefore lessen interest charges from financing the purchase or leasing of network equipment, if actual unrestricted and 2% automation fund revenues in FY 2015–2016 exceed the current May Revision estimate (see column D of Attachment E). Actual revenue receipts from all counties will likely not be remitted, and therefore known, until September 1, 2016. Based on the recommended allocation level and assuming a 3% interest rate, about \$10 million would be financed and would result in estimated interest charges of between \$900,000 to \$1 million, which would be paid over a three-year period. JCC IT staff are working out the details with the Department of General Services.

Recommendation 5 is to endorse the actions taken by the JCTC regarding the ICMS at its April 14, 2016, meeting. See the JCTC’s meeting agenda, Item 2, *Seven Court Consortium Request for Funding for Information Technology Infrastructure & Scenarios for Eventual Elimination of Subsidies from Trial Court Trust Fund and Improvement and Modernization Fund for the Interim Case Management System (ICMS or Sustain Justice Edition) and Managed Court Program (Hosting) (Action Required)*, which provides background for the actions taken and can be accessed at www.courts.ca.gov/documents/jctc-20160414-materials.pdf.

Comments From Interested Parties

No public comments were received when the recommendations were considered by the TCBAC at its May 19, 2016, meeting.

Alternatives Considered and Policy Implications

In order to replace the trial courts’ LAN/WAN equipment by the end of its service life, the Telecommunications Program could be funded at a level that would allow it to purchase all the equipment, instead of leasing or financing the purchase of at least some equipment. To do so, however, would require significant reductions to other programs in FY 2016–2017.

Implementation Requirements, Costs, and Operational Impacts

JCC staff is working with the Department of General Services to set up terms for leasing or financing the purchase of LAN/WAN equipment under the Telecommunications Program.

Attachments and Links

1. Attachment A: *Recommended 2016–2017 Allocations from the TCTF Judicial Council and Trial Court Operations Appropriations Allocations*

2. Attachment B: *Recommended 2016–2017 Allocations from the TCTF Support for Operation of the Trial Courts Appropriation*
3. Attachment C: *Trial Court Trust Fund—Fund Condition Statement*
4. Attachment D: *Recommended 2016–2017 Allocations from the IMF State Operations and Local Assistance Appropriations*
5. Attachment E: *State Trial Court Improvement and Modernization Fund—Fund Condition Statement*
6. Judicial Council Technology Committee, Meeting Agenda from April 14, 2016: Item 2, Seven-Court Consortium Request for Funding for IT Infrastructure and Scenarios for Eventual Elimination of Subsidies from TCTF and IMF for ICMS and Hosting, www.courts.ca.gov/documents/jctc-20160414-materials.pdf.

Recommended 2016-2017 Allocations from the TCTF Judicial Council and Trial Court Operations Appropriations Allocations

#	Project and Program Title	2015-16 JC- Approved Allocation	2015-16 Funded from Courts' Program 45.10 TCTF Allocations	2015-16 Approved Total Allocation	TCBAC 2016-17 Recommendation		
		Col. A	Col. B	Col. C (Col A + B)	Judicial Council (Staff) ¹	Trial Court Operations ¹	Total
					Col. D	Col. E	Col F (Col. D + E)
1	Children in Dependency Case Training	113,000		113,000	-	113,000	113,000
2	Sargent Shriver Civil Counsel Pilot Program	8,293,000		8,293,000	500,000	7,793,000	8,293,000
3	Equal Access Fund	163,000		163,000	194,000	-	194,000
4	Court-Appointed Dependency Counsel Collections	260,000		260,000	260,000	-	260,000
5	Revenue and Collections Program	625,000		625,000	625,000	-	625,000
6	Programs Funded from Courts' TCTF Allocations						
7	Civil, Small Claims, Probate and Mental Health (V3) CMS		644,320	644,320	-	564,000	564,000
8	California Courts Technology Center		1,472,029	1,472,029	-	1,472,000	1,472,000
9	Interim Case Management System		842,232	842,232	-	842,000	842,000
10	Phoenix Financial Services		106,434	106,434	107,000	-	107,000
11	Phoenix HR Services		1,349,000	1,349,000	1,349,000	-	1,349,000
12	Other Post Employment Benefits Valuations		524,750	524,750	-	-	-
13	Total, Program/Project Allocations	9,454,000	4,938,765	14,392,765	3,035,000	10,784,000	13,819,000
14	Department of Motor Vehicles Amnesty Program service charges	250,000		250,000	-	-	-
15	Estimated State Controller's Office services charges	219,399		219,399	83,000	-	83,000
17	Estimated Budget Act Appropriation and Changes Using Provisional Language Authority¹	N/A	N/A	N/A	3,490,100	13,025,000	16,515,100
18	Appropriation Balance	N/A	N/A	N/A	372,100	2,241,000	2,613,100

1. Provisional language in the State Budget Act for 2015 allows the Judicial Council appropriation authority to be increased for increased revenues that support the Sargent Shriver Civil Counsel Pilot, Equal Access Fund, and Court-Appointed Dependency Counsel Collections. Provisional language also allows up to \$11.274 million to be transferred to the Judicial Council and Trial Court Operations appropriation authority for the recovery of costs for administrative services provided to the trial courts. It is assumed that this provisional authority will continue and be included in the 2016 Budget Act.

Recommended 2016–2017 Allocations from the TCTF Support for Operation of the Trial Courts Appropriation

#	Description	Type	2015-16			2016-17	TCBAC Recommendation for 2016-17
			Governor's Budget Estimate	Change in Estimate	May Revise Estimate	May Revise Estimate	
			Col. A	Col. B	Col. C	Col. D	
1	I. Prior-Year Ending Baseline Allocation	Base	1,614,580,055	-	1,614,580,055	1,717,790,706	
3	II. Adjustments						
4	Reduction for Appointed Converted SJO Positions	Base	-817,737	-	-817,737	-400,067	
6	III. FY 2015-2016 Allocations						
7	\$25.4 Million in FY 2014-15 Benefits Cost Changes Funding	Base	24,229,808	-	24,229,808		
8	\$13.4 Million in FY 2013-14 Restored Benefits Funding	Base	13,274,798	-	13,274,798		
9	\$90.6 Million in New Funding Offset by \$22.7 Million Revenue Shortfall	Base	67,900,000	-	67,900,000		
10	\$26.9 Million Proposition 47 Workload Funding	Non-Base	26,900,000	-	26,900,000		
12	IV. FY 2016-2017 Allocations (Governor's Budget)						
13	\$8.6 Million in Benefits Cost Changes Funding	Base				8,452,000	
14	\$7.5 Million in FY 2013-14 Restored Benefits Funding	Base				7,069,000	
15	\$20.0 Million in New Funding	Base				20,000,000	
16	\$30.0 Million in Court Innovations Grants (Total Funding for Both Trial and Appellate Courts)	Base				28,500,000	
17	Non-Sheriff's Security Funding	Base				343,000	
18	\$21.4 Million in Proposition 47 Workload Funding	Non-Base				21,400,000	
20	V. Statutory Allocation Adjustments						
21	2.0% Holdback	Non-Base	-37,677,580	-	-37,677,580	to be removed	
22	1.5% & 0.5% Emergency Funding & Unspent Funding Allocated Back to	Non-Base	37,677,580	-	37,677,580	to be removed	
23	1% Fund Balance Cap Reduction	Non-Base	-392,853	-	-392,853	pending	
24	Adjustment for Funding to be Distributed from ICNA	Non-Base	-50,000,000	-	-50,000,000	-50,000,000	
25	Criminal Justice Realignment Funding	Non-Base	9,223,000	-	9,223,000	9,223,000	9,223,000
26	Reduction for Appointed Converted SJO Positions	Base	-1,283,668	(92,549)	-1,376,217	pending	
28	VI. Allocation for Reimbursements						
29	Court-Appointed Dependency Counsel	Non-Base	114,700,000	-	114,700,000	114,700,000	114,700,000
30	Jury	Non-Base	14,500,000	-	14,500,000	14,500,000	14,500,000
31	Replacement Screening Stations	Non-Base	2,286,000	-	2,286,000	2,286,000	2,286,000
32	Self-Help Center	Non-Base	2,500,000	-	2,500,000	2,500,000	2,500,000
33	Elder Abuse	Non-Base	332,000	-	332,000	332,000	332,000
34	CSA Audits ¹	Non-Base	325,000	-	325,000	0	0
35	CAC Dependency Collections Reimbursement Rollover	Non-Base	782,231	-	782,231	pending	0
36	CAC Dependency Collections Reimbursement	Non-Base	872,692	-	872,692	751,217	527,000

Recommended 2016–2017 Allocations from the TCTF Support for Operation of the Trial Courts Appropriation

#	Description	Type	2015-16			2016-17	TCBAC Recom- mendation for 2016-17
			Governor's Budget Estimate	Change in Estimate	May Revise Estimate	May Revise Estimate	
			Col. A	Col. B	Col. C	Col. D	
38	VI. Estimated Revenue Distributions						
39	Civil Assessment	Non-Base	89,478,121	(11,798,204)	77,679,917	81,957,442	
40	Fees Returned to Courts	Non-Base	22,500,790	(309,037)	22,191,753	22,151,825	
41	Replacement of 2% automation allocation from TCIF	Non-Base	10,907,494	-	10,907,494	10,907,494	
42	Children's Waiting Room	Non-Base	3,008,409	350,025	3,358,433	3,348,619	
43	Automated Recordkeeping and Micrographics	Non-Base	2,337,793	226,633	2,564,426	2,556,538	
44	Telephonic Appearances Revenue Sharing	Non-Base	943,840	-	943,840	943,840	
45	Prior Year Revenues	Non-Base		15,983	15,983	0	
47	VII. Miscellaneous Charges						
48	Repayment of Prior Year Cash Advance	Non-Base	-24,670,650	-	-24,670,650		
49	State Admin Infrastructure Charges Prior Year Adjustment	Non-Base	482,279	-	482,279		
50	Statewide Administrative Infrastructure Charges	Non-Base	-4,938,765	29,033	-4,909,732	-4,306,000	
51	Prior Year Facility Payments Charge Adjustments	Non-Base	0	166,364	166,364		
52	Total		1,939,960,636	-11,411,752	1,928,548,884	2,015,006,614	144,068,000
54	Support for Operation of the Trial Courts Appropriation Budget Act ²		1,998,579,000	N/A	1,998,579,000	2,051,855,000	
55	Transfer to Compensation of Superior Court Judges appropriation due to conversion of subordinate judicial officer positions to judgeships		-4,856,000	N/A	-4,949,000		
56	Transfer to Court Interpreters appropriation due to court interpreter portion of \$42.8 million for new benefits funding		-1,766,000	N/A	-1,766,000		
57	Adjusted Appropriation		1,991,957,000	N/A	1,991,864,000	2,051,855,000	
59	Estimated Remaining Appropriation		51,996,364	N/A	63,315,116	36,848,386	

1 Provision 12 of the 2015 Budget Act requires that \$325,000 be allocated by the Judicial Council in order to reimburse the California State Auditor for the costs of trial court audits.

2 Includes the Budget Act Appropriation of \$114,700,000 for Item 0250-102-0932 - Court-Appointed Dependency Counsel.

Trial Court Trust Fund -- Fund Condition Statement

#	Description	FY 2014-15 (Year-End Financial Statement) Col. A	FY 2015-16			FY 2016-17			FY 2017-18 (Estimated) ² Col. H
			Adjusted Governor's Proposed Budget Estimate Col. B	Change in Estimate Col. C	May Revision Revenue Estimate Col. D	Adjusted Governor's Proposed Budget Estimate Col. E	Change in Estimate Col. F	May Revision Revenue Estimate Col. G	
1	Beginning Balance	21,218,232	6,614,017	-	6,614,017	23,619,976	4,630,775	28,250,751	28,705,300
2	Prior-Year Adjustments	5,624,798	2,063,980	4,899,618	6,963,598	-	-	-	-
3	Adjusted Beginning Fund Balance	26,843,030	8,677,997	4,899,618	13,577,615	23,619,976	4,630,775	28,250,751	28,705,300
4	Revenue	1,341,324,951	1,299,804,476	(13,017,104)	1,286,787,373	1,277,791,539	1,448,149	1,279,239,688	1,330,399,506
5	<i>Maintenance of Effort Obligation Revenue</i>	<i>659,050,502</i>	<i>659,050,502</i>	-	<i>659,050,502</i>	<i>659,050,502</i>	-	<i>659,050,502</i>	<i>659,050,502</i>
6	<i>Civil Fee Revenue</i>	<i>355,952,541</i>	<i>344,479,962</i>	<i>15,675,315</i>	<i>360,155,277</i>	<i>333,225,454</i>	<i>22,678,070</i>	<i>355,903,523</i>	<i>347,426,760</i>
7	<i>Court Operations Assessment Revenue</i>	<i>139,931,778</i>	<i>130,957,437</i>	<i>(16,209,482)</i>	<i>114,747,955</i>	<i>123,406,517</i>	<i>(16,054,147)</i>	<i>107,352,371</i>	<i>131,519,771</i>
8	<i>Civil Assessment Revenue</i>	<i>159,372,012</i>	<i>137,780,851</i>	<i>(11,798,204)</i>	<i>125,982,647</i>	<i>134,692,460</i>	<i>(4,432,289)</i>	<i>130,260,171</i>	<i>165,473,655</i>
9	<i>Parking Penalty Assessment Revenue</i>	<i>24,994,594</i>	<i>25,742,088</i>	<i>(1,056,333)</i>	<i>24,685,754</i>	<i>25,811,573</i>	<i>(1,232,871)</i>	<i>24,578,702</i>	<i>24,472,115</i>
10	<i>Interest from SMIF</i>	<i>151,376</i>	<i>286,592</i>	<i>(13,845)</i>	<i>272,747</i>	<i>286,592</i>	<i>(13,845)</i>	<i>272,747</i>	<i>272,747</i>
11	<i>Sanctions and Contempt Fines</i>	<i>1,586,715</i>	<i>1,044,700</i>	<i>357,726</i>	<i>1,402,426</i>	<i>965,888</i>	<i>436,537</i>	<i>1,402,426</i>	<i>1,402,426</i>
12	<i>Miscellaneous Revenue</i>	<i>285,431</i>	<i>462,345</i>	<i>27,720</i>	<i>490,065</i>	<i>352,553</i>	<i>66,692</i>	<i>419,246</i>	<i>781,530</i>
13	General Fund Transfer	922,648,255	943,724,000	(645)	943,723,355	1,021,160,000	-	1,021,160,000	959,760,000
14	General Fund Transfer - Court-Appointed Dependency Counsel	-	114,700,000	-	114,700,000	114,700,000	-	114,700,000	114,700,000
15	General Fund Transfer - Revenue Backfill	30,900,000	56,200,000	2,700,000	58,900,000	74,800,000	(4,300,000)	70,500,000	47,000,000
16	Reduction Offset Transfers	26,080,000	6,080,000	-	6,080,000	6,080,000	-	6,080,000	6,080,000
17	Net Other Transfers/Charges/Reimbursements	12,678,778	13,209,845	7,576	13,217,422	11,709,845	7,576	11,717,422	13,217,422
18	Total Revenue and Transfers/Charges/Reimbursements	2,333,631,984	2,433,718,322	(10,310,173)	2,423,408,149	2,506,241,384	(2,844,275)	2,503,397,109	2,471,156,927
19	Total Resources	2,360,475,014	2,442,396,319	(5,410,554)	2,436,985,765	2,529,861,360	1,786,500	2,531,647,860	2,499,862,227
20	Expenditures/Encumbrances/Allocations								
21	Program 30 (0140) - Expenditures/Allocations	19,718,918	14,862,164	1,178,608	16,040,772	3,117,000	28,000	3,145,000	2,785,399
22	Program 30.05 (0140010) - Judicial Council (Staff)	4,095,938	3,472,833	104,310	3,577,143	3,117,000	28,000	3,145,000	2,785,399
23	Program 30.15 (0140019) - Trial Court Operations	15,622,980	11,389,331	1,074,298	12,463,629	-	-	-	-
24									
25	Program 45 (0150) - Expenditures/Allocations	2,333,437,799	2,403,832,903	(11,429,830)	2,392,403,073	2,501,412,794	(1,615,234)	2,499,797,560	2,476,680,321
26	Program 45.10 (0150010) - Support for Trial Court Operations	1,883,174,214	1,825,260,636	(11,411,752)	1,813,848,884	1,904,250,446	(3,943,833)	1,900,306,614	1,886,091,012
27	Program 0150011 - Court-Appointed Dependency Counsel	-	114,700,000	-	114,700,000	114,700,000	-	114,700,000	114,700,000
28	Program 45.25 (0150019) - Comp. of Superior Court Judges	319,803,869	333,156,000	487	333,156,487	333,449,000	-	333,449,000	333,449,000
29	Program 45.35 (0150028) - Assigned Judges	24,792,538	26,646,000	(1,132)	26,644,868	26,646,000	-	26,646,000	26,646,000
30	Program 45.45 (0150037) - Court Interpreters	96,802,928	95,855,000	(337,980)	95,517,020	103,559,000	-	103,559,000	103,559,000
31	Program 45.55 (0150046) - Grants	8,864,250	8,215,267	320,547	8,535,814	8,024,348	535,145	8,559,493	8,622,308
32	Program 0150095 - Expenses on Behalf of the Trial Courts	-	-	-	-	10,784,000	1,793,453	12,577,453	3,613,000
33	Item 601 - Redevelopment Agency Writ Case Reimbursements	704,280	81,276	209,893	291,169	-	-	-	-
34	Total, Expenditures/Encumbrances/Allocations	2,353,860,997	2,418,776,343	(10,041,329)	2,408,735,014	2,504,529,794	(1,587,234)	2,502,942,560	2,479,465,720
35	Ending Fund Balance	6,614,017	23,619,976	4,630,775	28,250,751	25,331,566	3,373,734	28,705,300	20,396,507
36									
37	Fund Balance Detail								
38	Restricted Fund Balance	16,294,708	14,389,879	1,798,602	16,188,481	23,797,652	895,463	24,693,115	24,952,773
39	<i>Urgent Needs Reserve</i>	-	-	-	-	<i>10,000,000</i>	-	<i>10,000,000</i>	<i>10,000,000</i>
40	<i>Court Interpreter Program</i>	<i>10,917,600</i>	<i>10,917,600</i>	<i>2,207,609</i>	<i>13,125,209</i>	<i>10,917,600</i>	<i>2,207,609</i>	<i>13,125,209</i>	<i>13,125,209</i>
41	<i>Court-Appointed Dependency Counsel Collections</i>	<i>1,574,692</i>	<i>526,866</i>	<i>224,352</i>	<i>751,218</i>	<i>586,200</i>	<i>345,039</i>	<i>931,238</i>	<i>1,190,897</i>
42	<i>Redevelopment Agency Writ Case Reimbursements</i>	<i>927,837</i>	<i>846,561</i>	<i>(209,893)</i>	<i>636,668</i>	<i>846,561</i>	<i>(209,893)</i>	<i>636,668</i>	<i>636,668</i>
43	<i>Refund to courts of overcharges for JCC services</i>	<i>380,151</i>	-	-	-	-	-	-	-
44	<i>Sargent Shriver Civil Counsel</i>	<i>2,494,429</i>	<i>2,098,852</i>	<i>(423,466)</i>	<i>1,675,387</i>	<i>1,447,292</i>	<i>(1,447,292)</i>	-	-
45	Unrestricted Fund Balance	(9,680,691)	9,230,097	2,832,173	12,062,270	1,533,914	2,478,271	4,012,185	(4,556,266)
46									
47	Revenue and Transfers Annual Surplus/(Deficit)	(20,229,013)	14,941,979	(268,844)	14,673,135	1,711,590	(1,257,041)	454,549	(8,308,793)

1. Expenditure authority reflects the 2015 Budget Act appropriation authority adjusted for planned transfers between Program 45.10 (0150010) and Program 45.25 (0150019) appropriation due to conversion of subordinate judicial officer positions to judgeships, between Program 45.10 (0150010) and Program 45.45 (0150037) appropriation due to the court interpreter portion of \$42.8 million for new benefits funding, and an increase to Program 45.25 and 45.35 (0150028) to reflect a 2.48% judges' salary increase.

Attachment D

Recommended 2016-2017 Allocations from the IMF State Operations and Local Assistance Appropriations

#	Program Name	Office	Recommended FY 2016-17 Allocation			Change from 2015-16	% Change from 2015-16	
			FY 2015-16 JC Allocation	State Operations	Local Assistance			Total
A	B	C	D	E	F	G	H	I
1	Superior Court Audit Program	AS	\$ 660,000	\$ 660,000	\$ -	\$ 660,000	-	0%
2	Trial Court Procurement	BAP	\$ 122,000	\$ 122,000	\$ -	\$ 122,000	-	0%
3	Domestic Violence Forms Translation	CFCC	\$ 17,000	\$ -	\$ 17,000	\$ 17,000	-	0%
4	Juvenile Law Practice Resources	CFCC	\$ 20,000	\$ -	\$ -	\$ -	(20,000)	-100%
5	Self-Help Center	CFCC	\$ 5,000,000	\$ -	\$ 5,000,000	\$ 5,000,000	-	0%
6	Self-Help Document Assembly Programs	CFCC	\$ 60,000	\$ -	\$ 60,000	\$ 60,000	-	0%
7	Statewide Multidisciplinary Education	CFCC	\$ 67,000	\$ -	\$ 67,000	\$ 67,000	-	0%
8	Statewide Support for Self-Help Programs	CFCC	\$ 100,000	\$ -	\$ 100,000	\$ 100,000	-	0%
9	CJER Faculty ¹	CJER	\$ 250,000	\$ -	\$ 299,000	\$ 299,000	49,000	20%
10	Distance Education ¹	CJER	\$ 138,000	\$ -	\$ 118,000	\$ 118,000	(20,000)	-14%
11	Essential Court Management Education ¹	CJER	\$ 20,000	\$ -	\$ 18,000	\$ 18,000	(2,000)	-10%
12	Essential Court Personnel Education ¹	CJER	\$ 140,000	\$ -	\$ 74,000	\$ 74,000	(66,000)	-47%
13	Judicial Education ¹	CJER	\$ 654,000	\$ -	\$ 693,000	\$ 693,000	39,000	6%
14	Court Interpreter Testing etc.	COSSO	\$ 143,000	\$ -	\$ 143,000	\$ 143,000	-	0%
15	Justice Corps	COSSO	\$ 347,600	\$ -	\$ -	\$ -	(347,600)	-100%
16	Trial Court Workload Study Support	COSSO	\$ 13,000	\$ -	\$ 13,000	\$ 13,000	-	0%
17	Budget Focused Training and Meetings	Finance	\$ 50,000	\$ -	\$ 50,000	\$ 50,000	-	0%
18	Treasury Services - Cash Management	Finance	\$ 238,000	\$ 242,100	\$ -	\$ 242,100	4,100	2%
19	Trial Court Labor Relations Academies and Forums	HR	\$ 25,700	\$ -	\$ 25,700	\$ 25,700	-	0%
20	Adobe Live Cycle Reader Service Extension ²	IT	\$ 141,000	\$ -	\$ -	\$ -	(141,000)	-100%
21	California Courts Protective Order Registry (CCPOR)	IT	\$ 861,200	\$ 337,100	\$ 403,200	\$ 740,300	(120,900)	-14%
22	California Courts Technology Center (CCTC)	IT	\$ 8,534,969	\$ 2,050,100	\$ 7,618,200	\$ 9,668,300	1,133,331	13%
23	Case Management Systems, Civil, Small Claims, Probate and Mental Health (V3)	IT	\$ 5,658,100	\$ 1,531,500	\$ 3,642,500	\$ 5,174,000	(484,100)	-9%
24	Data Integration	IT	\$ 3,849,600	\$ 538,100	\$ 2,884,500	\$ 3,422,600	(427,000)	-11%
25	Enterprise Policy/Planning (Statewide Development) ²	IT	\$ 5,064,775	\$ -	\$ 6,763,000	\$ 6,763,000	1,698,225	34%
26	Interim Case Management Systems	IT	\$ 1,246,800	\$ -	\$ 1,039,700	\$ 1,039,700	(207,100)	-17%
27	Jury Management System	IT	\$ 465,000	\$ -	\$ 465,000	\$ 465,000	-	0%
28	Placer Court Hosting Center Project	IT	\$ -	\$ -	\$ 736,500	\$ 736,500	736,500	#DIV/0!
29	Telecommunications Program	IT	\$ 16,159,000	\$ -	\$ 17,558,800	\$ 17,558,800	1,399,800	9%
30	Testing Tools - Enterprise Test Management Suite	IT	\$ -	\$ -	\$ 159,100	\$ 159,100	159,100	#DIV/0!
31	Uniform Civil Filing Services	IT	\$ 366,000	\$ 372,200	\$ -	\$ 372,200	6,200	2%
32	Judicial Performance Defense Insurance	LSO	\$ 966,600	\$ -	\$ 966,600	\$ 966,600	-	0%
33	Jury System Improvement Projects	LSO	\$ 19,000	\$ -	\$ 19,000	\$ 19,000	-	0%
34	Litigation Management Program	LSO	\$ 4,000,000	\$ -	\$ 4,160,000	\$ 4,160,000	160,000	4%
35	Regional Office Assistance Group	LSO	\$ 1,460,000	\$ 1,100,000	\$ -	\$ 1,100,000	(360,000)	-25%
36	Trial Courts Transactional Assistance Program	LSO	\$ 451,000	\$ -	\$ 651,000	\$ 651,000	200,000	44%
37	Court-Ordered Debt Task Force	TCAS	\$ 19,000	\$ -	\$ 9,500	\$ 9,500	(9,500)	-50%
38	Phoenix Program	TCAS	\$ 12,121,114	\$ -	\$ 3,751,200	\$ 3,751,200	(8,369,914)	-69%
	Total		\$ 69,448,458	\$ 6,953,100	\$ 57,505,500	\$ 64,458,600	\$ (4,989,858)	

1. The TCBAC is recommending a total allocation of \$1.202 million for CJER education programs within which, as in previous years, the CJER Governing Committee sets priorities among the five program areas. The allocation amounts reflect priorities discussed and decisions made by the Governing Committee in May 2016.

2. The Adobe LifeCycle allocation was moved into the EPP Program allocation.

Attachment E

State Trial Court Improvement and Modernization Fund -- Fund Condition Statement

#	Description	2012-2013	2013-2014	2014-2015	Estimated 2015-2016	Estimated			
		(Year-end Financial Statement)	(Year-end Financial Statement)	(Year-end Financial Statement)		2016-17*	2017-18	2018-19	2019-20
		A	B	C		D	F	G	H
1	Beginning Balance	48,128,575	44,827,741	26,207,006	8,956,870	6,517,814	2,000,000	1,488,849	2,333,736
2	Prior-Year Adjustments	11,547,967	4,410,172	2,880,385	2,924,857	-	-	-	-
3	Adjusted Beginning Balance	59,676,542	49,237,913	29,087,391	11,881,727	6,517,814	2,000,000	1,488,849	2,333,736
4	<i>Revenues</i>								
5	50/50 Excess Fines Split Revenue	31,920,133	26,873,351	23,702,658	20,055,519	18,469,929	17,791,841	17,791,841	17,791,841
6	2% Automation Fund Revenue	15,753,200	15,242,700	14,730,023	11,915,523	10,915,373	12,658,685	12,658,685	12,658,685
7	Jury Instructions Royalties	518,617	445,365	532,783	551,815	542,299	531,529	531,529	531,529
8	Interest from SMIF	201,201	124,878	100,734	155,694	141,398	127,843	127,843	127,843
9	Other Revenues/SCO Adjustments	2,875	24,476	30,233	-	-	-	-	-
10	<i>Transfers</i>								
11	From State General Fund	38,709,000	38,709,000	38,709,000	44,218,000	44,218,000	44,218,000	44,218,000	44,218,000
12	To Trial Court Trust Fund (Budget Act)	(23,594,000)	(20,594,000)	(20,594,000)	(594,000)	(594,000)	(594,000)	(594,000)	(594,000)
13	To TCTF (GC 77209(k))	(13,397,000)	(13,397,000)	(13,397,000)	(13,397,000)	(13,397,000)	(13,397,000)	(13,397,000)	(13,397,000)
14	Net Revenues and Transfers	50,114,026	47,428,770	43,814,431	62,905,551	60,295,999	61,336,898	61,336,898	61,336,898
15	Total Resources	109,790,568	96,666,683	72,901,822	74,787,278	66,813,813	63,336,898	62,825,747	63,670,633
16	<i>Expenditures</i>								
17	Allocation Less Telecommunications Program (LAN/WAN)	71,923,000	73,961,680	71,466,600	53,289,458	46,899,800	44,273,612	44,667,542	38,943,482
18	Telecommunications Program				16,159,000		16,762,144	15,160,055	15,835,226
19	Telecommunications Program (financing)					17,558,800	457,081	309,201	156,885
20	Less: Unused Allocation	(7,123,067)	(4,082,985)	(7,823,266)	(1,946,085)				
21	Pro Rata and Other Adjustments	162,894	580,982	301,618	767,091	355,213	355,213	355,213	355,213
22	Total Expenditures	64,962,827	70,459,677	63,944,952	68,269,464	64,813,813	61,848,048	60,492,011	55,290,806
23	Fund Balance	44,827,741	26,207,006	8,956,870	6,517,814	2,000,000	1,488,849	2,333,736	8,379,827

*Assumes the Budget Act of 2016 provides \$8.7 million in General Fund monies in the Judicial Council appropriation for Phoenix Program staff costs.