



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

For business meeting on: April 14–15, 2016

Title	Agenda Item Type
Trial Court Allocations: Adjustments to the Workload-Based Allocation and Funding Methodology	Action Required
	Effective Date
	April 15, 2016
Rules, Forms, Standards, or Statutes Affected	Date of Report
None	March 24, 2016
Recommended by	Contact
Trial Court Budget Advisory Committee Hon. Jonathan B. Conklin, Chair	Colin Simpson, 415-865-4566 colin.simpson@jud.ca.gov

Executive Summary

The Trial Court Budget Advisory Committee recommends a number of adjustments to the Workload-Based Allocation and Funding Methodology, including clarification of which expenses and funds should be included or excluded from the methodology.

Recommendation

The Trial Court Budget Advisory Committee (TCBAC) is unanimously recommending that the Judicial Council adopt the following regarding updating and clarifying the Workload-Based Allocation and Funding Methodology (WAFM):

1. Approve the current annual update cycles in place for five WAFM components—average court executive officer (CEO) salary, federal Bureau of Labor Statistics (BLS) factor, salary-driven and nonsalary-driven benefits, AB 1058 adjustment, and average Resource Assessment Study (RAS)-related salary—and update annually the average operating expenses and equipment (OE&E) per full-time equivalent (FTE) by cluster component using a three-year average from 4th quarter Quarterly Financial Statement data through two fiscal years prior (see option 1B of Attachment C).

2. Clarify the designation for three expense codes both included and excluded in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per full-time equivalent by excluding the “Air Conditioning/Heating Equipment” and “Facility Planning” Phoenix general ledger expenses, and including the “Grand Jury Costs” Phoenix general ledger expense.
3. Designate expense codes with no expenditures in 2011–2012 or created after 2011–2012 and, therefore, not previously considered as part of the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per full-time equivalent (see column F of Attachment F).
4. Exclude expenditures from funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per full-time equivalent that have been determined not to be part of the equivalent, available WAFM funding (see column F of Attachment J).

Previous Council Action

At its business meeting on April 26, 2013, the Judicial Council approved the WAFM methodology which included in its original report on pages 40 through 44 the explicit inclusion and exclusion of specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see Attachment E). In addition, included in the original April 26, 2013 Judicial Council report were the inclusion and exclusion of specific fund sources in identifying equivalent, available WAFM funding (see Attachment G). In calculating the WAFM OE&E per FTE amount, expenditure code WAFM designations of included or excluded were utilized to determine which amounts of the courts’ expenditure information should be used, but fund WAFM designations were not considered and OE&E expenditures from all funds were retrieved in calculating the WAFM OE&E per FTE amounts.

Recommendation 1: WAFM Update Cycles

1. Approve the current annual update cycles in place for five Workload-Based Allocation and Funding Methodology (WAFM) components—average CEO salary, federal Bureau of Labor Statistics (BLS) factor, salary-driven and nonsalary-driven benefits, AB 1058 adjustment, and average Resource Assessment Study (RAS)-related salary—and update annually the average operating expenses and equipment (OE&E) per full-time equivalent (FTE) by cluster component using a three-year average from 4th quarter Quarterly Financial Statement data through two fiscal years prior (see option 1B of Attachment C).

Rationale for recommendation 1

By formally approving the current effective update cycles for these WAFM components (see Attachment B), these schedules will be documented and a formal standard established for the expected refresh of this information.

The one WAFM component that has not been updated relies on trial court schedule information. The WAFM operating expenses and equipment (OE&E) per FTE amount is calculated using Schedule 7A information for the number of WAFM FTEs—excluding those positions related to noncourt-operations PECTs, subordinate judicial officers, CEOs, marshals, court attendants, interpreters and interpreter coordinators, and vacant positions—and 4th quarter Quarterly Financial Statement expenditure information for general ledger items designated as OE&E expenses.

For the OE&E per FTE, four options are provided with the differences between options relating to either updating the component on an annual basis or a less frequent basis and the update using the most recent fiscal year of available information or an average of the three most recent years of available information (see Attachment C). Benefits and drawbacks are provided for each option. The TCBAC recommends Option 1B as this is consistent with the methodology applied in updating the RAS FTE need amounts as well as the BLS-adjustment ratios in calculating the WAFM funding need. Attachment D compares the current OE&E per FTE amounts to the updated amounts under options A and B and the impact those options would have had on the 2015–2016 estimated WAFM funding need, an increase of between \$40.6 million and \$65.8 million. Note that the variance between the one-year average and three-year average is driven by the OE&E per FTE amounts in 2012–2013, which were significantly lower than 2013–2014 and 2014–2015.

Recommendation 2: Clarify WAFM Designation for Three Expenses

2. Clarify the designation for three expense codes both included and excluded in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per full-time equivalent by excluding the “Air Conditioning/Heating Equipment” and “Facility Planning” Phoenix general ledger expenses and including the “Grand Jury Costs” Phoenix general ledger expense.

Rationale for recommendation 2

The April 2013 Judicial Council report contained a list, on pages 40 through 44, of expressly included and excluded specific Phoenix Financial System expenditure codes as well as the acknowledgement that any other items not listed were by default included in the WAFM OE&E expenditure calculation (see Attachment E). On this list, three items were both explicitly included and explicitly excluded from the WAFM OE&E per FTE calculation (see yellow highlighted rows, Attachment E). Since these items were explicitly addressed and approved in a Judicial Council report, the council should explicitly select inclusion or exclusion to resolve this issue. Currently, since the default position for items is that they be included, these items are included in the WAFM OE&E per FTE calculation. However, this is a temporary solution only until the council addresses the items’ designation. The TCBAC based its recommendations on expenses that would be consistent with other categories of expenses that were included and excluded, and consistent with what is considered Resource Allocation Study (RAS)-related workload.

Recommendation 3: Expense Codes Not Previously Considered by the Judicial Council

3. Designate expense codes with no expenditures in 2011–2012 or created after 2011–2012 and, therefore, not previously considered as part of the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per full-time equivalent (see column F of Attachment F).

Rationale for recommendation 3

Since these items were not addressed in the April 26, 2013 Judicial Council report, if the council takes no action, by default all of these items would be included in the WAFM OE&E per FTE calculation. However, there are some items that are similar enough to items that have been explicitly excluded by the Judicial Council and merit the council's consideration regarding whether or not to include them.

Attachment F provides additional information regarding these items including the amount expended, if any, for 2014–2015. The TCBAC based its recommendations on expenses that would be consistent with other categories of expenses that were included and excluded, and consistent with what is considered RAS-related workload.

Recommendation 4: Exclude Non-WAFM Fund Expenses from OE&E per FTE

4. Exclude expenditures from funds included, but not previously considered or reviewed, in the Judicial Council-approved methodology for calculating WAFM operating expenses and equipment per FTE that have been determined not to be part of the equivalent, available WAFM funding (see column F of Attachment J).

Rationale for recommendation 4

The TCBAC has previously identified for the Judicial Council funds that are part of the equivalent, available funding and those not included in the WAFM allocation as reflected in the “Estimated 2015–16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements” (see Attachments G and H). Attachments I and J provide a reconciliation at the summary level and the detail level between the funds and subfunds identified in the Phoenix Financial System and the fund sources identified and designated in Attachment H—“Estimated 2015–16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements”—and provides the WAFM designation of those fund sources that support the funds and subfunds.

To the extent OE&E expenditures are fully supported by funds considered non-WAFM, the subcommittee recommends those specific OE&E expenditures to be excluded from the amount used to calculate the WAFM OE&E per FTE amount. The committee also considered excluding funds that fell under the “Items That Require Further Analysis” category, but the TCBAC's Funding Methodology Subcommittee is already planning to address the designation of these

funds as part of its fiscal year (FY) 2016–2017 work plan. Attachment K reflects the TCBAC’s recommendation and the impact on the WAFM OE&E per FTE amount.

Comments From Interested Parties

No public comments were received when the recommendations were considered by the TCBAC at its March 10, 2016 meeting.

Alternatives Considered and Policy Implications

Alternatives considered are discussed in the rationales for recommendations 1 and 4.

Implementation Requirements, Costs, and Operational Impacts

The adjustments will be included in the FY 2016–2017 WAFM funding need calculation.

Relevant Strategic Plan Goals and Operational Plan Objectives

The Workload-based Allocation and Funding Methodology is consistent with Goal II, Independence and Accountability, of the strategic plan, in that the methodology model aims to “[a]llocate resources in a transparent and fair manner that promotes efficiency and effectiveness in the administration of justice, supports the strategic goals of the judicial branch, promotes innovation, and provides for effective and consistent court operations” (Goal II.B.3).

It also meets with related Operational Plan Objective III, Modernization of Management and Administration, in that a workload-based approach creates “[s]tandards for determining adequate resources for all case types—particularly for complex litigation, civil and small claims, and court venues such as family and juvenile, probate guardianship, probate conservatorship, and traffic; accountability mechanisms for ensuring that resources are properly allocated according to those standards” (Objective III.A.2.c).

Attachments

1. Attachment A: Computation of Funding Need Using the 2015–2016 Workload-Based Allocation and Funding Methodology
2. Attachment B: Current WAFM Component Update Schedules
3. Attachment C: WAFM Components Not Updated Since FY 2013–14
4. Attachment D: WAFM Operating Expenses and Equipment Update Options Comparison
5. Attachment E: Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures, Judicial Council Report - April 26, 2013
6. Attachment F: Phoenix Expense General Ledger Codes Not Previously Considered by the Judicial Council
7. Attachment G: 2013–14 Fund Sources Included and Excluded from WAFM, Judicial Council Report - April 26, 2013
8. Attachment H: Estimated 2015–16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations, Revenues, and Reimbursements

9. Attachment I: FY 2014–15 Phoenix Expenses for WAFM OE&E Designations by Fund Summary
10. Attachment J: FY 2014–15 Phoenix Expenses for WAFM OE&E Designations by Fund
11. Attachment K: WAFM Operating Expenses and Equipment Excluded Funds Options

Computation of Funding Need Using the 2015-2016 Workload-Based Allocation and Funding Methodology

Attachment A

		RAS II Model FTE Need ⁽¹⁾			FTE Need Multiplied by FTE Allotment Factor, Prior to BLS Adjustment			Adjust Base Dollars for Local Cost of Labor; Apply FTE Dollar Factor	
		RAS Program 10 FTE Need	RAS Program 90 FTE Need	RAS Total FTE Need	RAS FTE Need multiplied by allotment factor ⁽²⁾	CEO Cluster Average Salary (as of 7/1/2014)	RAS FTE Need plus CEO, multiplied by Allotment Factor	BLS Factor ⁽³⁾	Pre-Benefits Adjusted Base
Cluster	Court	A	B	C = (A + B)	D = (C-1)* Dollar Factor	E	F = D+E	G	H = (C-1)*BLS-Adjusted Dollar Factor + (E*G)
4	Alameda	517	84	601	\$34,122,403	222,872	34,345,275	1.42	48,824,340
1	Alpine	2	1	3	\$113,741	114,213	227,954	0.83	188,922
1	Amador	21	5	26	\$1,421,767	114,213	1,535,980	1.00	1,534,684
2	Butte	113	21	134	\$7,563,799	159,760	7,723,560	0.91	7,018,308
1	Calaveras	22	5	27	\$1,478,637	114,213	1,592,851	0.89	1,412,142
1	Colusa	15	3	18	\$966,801	114,213	1,081,014	0.71	830,674
3	Contra Costa	329	52	381	\$21,610,855	185,787	21,796,642	1.25	27,307,057
1	Del Norte	24	5	29	\$1,592,379	114,213	1,706,592	0.77	1,323,022
2	El Dorado	74	13	87	\$4,890,878	159,760	5,050,638	1.00	5,029,894
3	Fresno	461	72	533	\$30,255,197	185,787	30,440,984	0.99	30,097,800
1	Glenn	18	4	22	\$1,194,284	114,213	1,308,497	0.69	1,004,478
2	Humboldt	78	13	91	\$5,118,360	159,760	5,278,121	0.77	4,072,841
2	Imperial	117	21	138	\$7,791,282	159,760	7,951,042	0.78	6,223,496
1	Inyo	16	4	20	\$1,080,543	114,213	1,194,756	0.83	994,552
3	Kern	459	76	535	\$30,368,938	185,787	30,554,725	1.05	32,229,103
2	Kings	85	14	99	\$5,573,326	159,760	5,733,086	0.88	5,047,027
2	Lake	39	7	46	\$2,559,180	159,760	2,718,941	0.75	2,104,700
1	Lassen	23	5	28	\$1,535,508	114,213	1,649,721	0.80	1,325,655
4	Los Angeles	4,512	690	5,202	\$295,784,361	222,872	296,007,234	1.34	396,807,827
2	Madera	82	14	96	\$5,402,714	159,760	5,562,474	0.93	5,196,728
2	Marin	90	16	106	\$5,971,420	159,760	6,131,181	1.28	7,839,688
1	Mariposa	10	3	13	\$682,448	114,213	796,661	0.78	620,314
2	Mendocino	56	10	66	\$3,696,594	159,760	3,856,354	0.83	3,215,623
2	Merced	128	22	150	\$8,473,730	159,760	8,633,490	0.90	7,746,157
1	Modoc	8	2	10	\$511,836	114,213	626,049	0.60	465,486
1	Mono	10	3	13	\$682,448	114,213	796,661	1.15	915,428
3	Monterey	166	27	193	\$10,919,169	185,787	11,104,956	1.19	13,262,845
2	Napa	61	11	72	\$4,037,818	159,760	4,197,578	1.22	5,124,059
2	Nevada	45	9	54	\$3,014,146	159,760	3,173,906	0.97	3,075,266

Computation of Funding Need Using the 2015-2016 Workload-Based Allocation and Funding Methodology

Attachment A

		RAS II Model FTE Need ⁽¹⁾			FTE Need Multiplied by FTE Allotment Factor, Prior to BLS Adjustment			Adjust Base Dollars for Local Cost of Labor; Apply FTE Dollar Factor	
		RAS Program 10 FTE Need	RAS Program 90 FTE Need	RAS Total FTE Need	RAS FTE Need multiplied by allotment factor ⁽²⁾	CEO Cluster Average Salary (as of 7/1/2014)	RAS FTE Need plus CEO, multiplied by Allotment Factor	BLS Factor ⁽³⁾	Pre-Benefits Adjusted Base
Cluster	Court	A	B	C = (A + B)	D = (C-1) * Dollar Factor	E	F = D+E	G	H = (C-1) * BLS-Adjusted Dollar Factor + (E * G)
4	Orange	1,130	181	1,311	\$74,500,579	222,872	74,723,452	1.30	97,204,875
2	Placer	144	24	168	\$9,497,402	159,760	9,657,162	1.17	11,315,447
1	Plumas	11	3	14	\$739,319	114,213	853,532	0.70	653,271
4	Riverside	952	148	1,100	\$62,500,868	222,872	62,723,740	1.08	67,708,747
4	Sacramento	633	96	729	\$41,401,849	222,872	41,624,721	1.28	53,355,341
1	San Benito	22	5	27	\$1,478,637	114,213	1,592,851	0.98	1,566,846
4	San Bernardino	1,046	155	1,201	\$68,244,805	222,872	68,467,678	1.06	72,389,061
4	San Diego	1,108	169	1,277	\$72,566,976	222,872	72,789,849	1.17	85,488,910
4	San Francisco	339	51	390	\$22,122,691	222,872	22,345,564	1.68	37,551,796
3	San Joaquin	320	49	369	\$20,928,407	185,787	21,114,194	1.10	23,284,438
2	San Luis Obispo	132	22	154	\$8,701,213	159,760	8,860,973	1.07	9,498,700
3	San Mateo	241	39	280	\$15,866,917	185,787	16,052,704	1.44	23,191,014
3	Santa Barbara	183	32	215	\$12,170,324	185,787	12,356,111	1.17	14,406,369
4	Santa Clara	505	77	582	\$33,041,860	222,872	33,264,732	1.44	47,916,662
2	Santa Cruz	111	21	132	\$7,450,058	159,760	7,609,818	1.15	8,775,813
2	Shasta	120	28	148	\$8,359,989	159,760	8,519,749	0.85	7,278,801
1	Sierra	2	1	3	\$113,741	114,213	227,954	0.73	171,720
2	Siskiyou	29	6	35	\$1,933,603	159,760	2,093,363	0.69	1,610,377
3	Solano	192	30	222	\$12,568,418	185,787	12,754,205	1.20	15,342,291
3	Sonoma	198	33	231	\$13,080,254	185,787	13,266,041	1.17	15,469,541
3	Stanislaus	249	38	287	\$16,265,012	185,787	16,450,799	1.02	16,720,694
2	Sutter	52	10	62	\$3,469,111	159,760	3,628,871	0.95	3,462,702
2	Tehama	46	8	54	\$3,014,146	159,760	3,173,906	0.80	2,533,155
1	Trinity	10	3	13	\$682,448	114,213	796,661	0.65	603,900
3	Tulare	209	35	244	\$13,819,573	185,787	14,005,360	0.83	11,554,627
2	Tuolumne	32	6	38	\$2,104,215	159,760	2,263,975	0.83	1,870,908
3	Ventura	310	57	367	\$20,814,666	185,787	21,000,453	1.21	25,514,417
2	Yolo	87	16	103	\$5,800,808	159,760	5,960,569	1.03	6,113,301
2	Yuba	46	8	54	\$3,014,146	159,760	3,173,906	0.93	2,947,405

Statewide	16,040	2,563	18,603	1,064,129,817	1,286,339,245
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NOTES:

(1) Estimated need based on 3-year average filings data from **FY 2011-2012 through FY 2013-2014**.

\$56,871

(2) Unadjusted base funding per RAS FTE, based on **FY 2014-2015 Schedule 7A** ; does not include collections staff, SJOs, CEO, security, n

(3)) Bureau of Labor Statistics Cost of Labor adjustment based on Quarterly Census of Wages & Employment, three year average from . comparison based on Public Administration (North American Industrial Classification System, 92) unless proportion of state government year average of local and state salaries for Public Administration is used for comparison.

Computation of Funding Need Using the 2015-2016 Workload-Based Allocation and Funding Methodology

Attachment A

		Average Salary-Driven Benefits as % of Salary and Average Non-Salary-Driven Benefits Per FTE (From FY 2014-15 Schedule 7A)				Projected Benefits Expenses (Salary-driven benefits based on Adjusted Base)			OE&E (Based on Cluster Average OE&E / FTE) (Cluster 1: \$27,928; Clusters 2-4 \$20,287)	Remove AB 1058 staff/FLF costs (Using FY 2013-14 data)	Total WAFM Funding Need	Proportion of Total WAFM Estimated Funding Need
		Average % of Salary-Driven Benefits (Program 10)	Average Actual Non-Salary- Driven Benefits per FTE (Program 10)	Average % of Salary-Driven Benefits (Program 90)	Average Actual Non-Salary- Driven Benefits per FTE (Program 90)	Benefits Needed for RAS Program 10 FTE Need	Benefits Needed for RAS Program 90 FTE Need	Total Benefit Need Based on RAS FTE Need	Estimated OE&E Needed (Excludes funding for operations contracts)			
						$\begin{matrix} L \\ =((((B-1)*FTE \\ K \\ Dollar \\ = (A*FTE\ Dollar\ Factor)+E*G)*J1) \\ + (B*J2) \\ M \\ = (K + L)) \end{matrix}$			$N \\ = C * OE\&E$	O	$P \\ = (H+ M + N) - O$	$Q \\ = P / \text{Statewide}$
Cluster	Court	I1	I2	J1	J2							
4	Alameda	36.7%	\$14,096	35.6%	\$14,147	22,618,895	3,687,315	26,306,210	12,192,647	1,598,988	85,724,209	3.60%
1	Alpine	18.5%	\$23,750	18.5%	\$23,750	64,927	41,250	106,177	83,784	-	378,883	0.02%
1	Amador	25.7%	\$8,841	25.0%	\$10,239	492,527	136,657	629,184	726,129	116,005	2,773,992	0.12%
2	Butte	26.1%	\$12,252	26.1%	\$11,728	2,907,304	553,716	3,461,020	2,718,494	370,762	12,827,059	0.54%
1	Calaveras	21.6%	\$14,270	21.6%	\$17,439	553,445	152,606	706,051	754,057	155,288	2,716,963	0.11%
1	Colusa	39.8%	\$15,596	40.7%	\$16,353	497,302	117,839	615,141	502,705	67,730	1,880,790	0.08%
3	Contra Costa	54.2%	\$15,741	54.2%	\$18,402	17,879,053	3,050,808	20,929,861	7,729,449	1,120,477	54,845,890	2.30%
1	Del Norte	20.2%	\$24,226	20.2%	\$25,578	794,686	181,208	975,894	809,913	96,508	3,012,322	0.13%
2	El Dorado	21.5%	\$17,051	21.5%	\$16,480	2,164,106	394,821	2,558,926	1,764,992	333,647	9,020,166	0.38%
3	Fresno	68.6%	\$9,720	69.0%	\$9,193	22,275,773	3,544,650	25,820,424	10,813,113	1,654,214	65,077,123	2.73%
1	Glenn	30.6%	\$13,960	34.5%	\$16,761	494,443	139,802	634,245	614,417	204,360	2,048,781	0.09%
2	Humboldt	30.4%	\$9,188	30.4%	\$10,056	1,757,103	328,276	2,085,379	1,846,141	140,560	7,863,801	0.33%
2	Imperial	32.8%	\$4,926	34.2%	\$5,799	2,284,919	469,469	2,754,387	2,799,643	224,769	11,552,757	0.49%
1	Inyo	27.2%	\$13,930	22.8%	\$12,607	428,717	104,514	533,231	558,561	122,545	1,963,799	0.08%
3	Kern	55.9%	\$16,476	55.9%	\$16,476	22,967,999	3,879,002	26,847,001	10,853,688	1,214,661	68,715,131	2.89%
2	Kings	21.0%	\$8,921	24.6%	\$9,831	1,653,960	332,154	1,986,114	2,008,439	278,099	8,763,482	0.37%
2	Lake	20.7%	\$7,723	20.7%	\$7,804	657,959	134,437	792,396	933,214	153,026	3,677,284	0.15%
1	Lassen	20.0%	\$10,523	20.3%	\$11,354	452,452	112,587	565,039	781,985	77,644	2,595,035	0.11%
4	Los Angeles	25.7%	\$22,765	34.7%	\$19,875	190,947,036	32,033,477	222,980,513	105,534,363	7,200,581	718,122,121	30.17%
2	Madera	31.2%	\$12,584	31.2%	\$12,582	2,389,506	437,892	2,827,397	1,947,578	290,662	9,681,041	0.41%
2	Marin	28.2%	\$12,709	26.7%	\$12,709	2,987,654	549,712	3,537,366	2,150,450	221,581	13,305,924	0.56%
1	Mariposa	36.3%	\$10,026	37.1%	\$15,237	261,139	111,612	372,751	363,065	73,997	1,282,132	0.05%
2	Mendocino	44.9%	\$9,420	47.2%	\$9,480	1,719,317	359,388	2,078,705	1,338,960	183,022	6,450,265	0.27%
2	Merced	59.0%	\$14,835	60.0%	\$14,848	5,754,582	1,055,569	6,810,151	3,043,090	714,509	16,884,889	0.71%
1	Modoc	25.5%	\$12,586	25.5%	\$12,586	190,650	53,904	244,554	279,280	72,130	917,190	0.04%
1	Mono	34.5%	\$19,657	36.4%	\$21,622	421,743	160,231	581,974	363,065	64,871	1,795,596	0.08%
3	Monterey	19.3%	\$14,545	19.4%	\$16,507	4,593,398	830,642	5,424,040	3,915,443	425,711	22,176,616	0.93%
2	Napa	17.8%	\$19,706	18.4%	\$21,372	1,957,502	398,887	2,356,390	1,460,683	223,590	8,717,542	0.37%
2	Nevada	36.2%	\$12,328	37.5%	\$12,649	1,452,465	337,417	1,789,882	1,095,512	448,240	5,512,421	0.23%

Computation of Funding Need Using the 2015-2016 Workload-Based Allocation and Funding Methodology

Attachment A

		Average Salary-Driven Benefits as % of Salary and Average Non-Salary-Driven Benefits Per FTE (From FY 2014-15 Schedule 7A)				Projected Benefits Expenses (Salary-driven benefits based on Adjusted Base)			OE&E (Based on Cluster Average OE&E / FTE) (Cluster 1: \$27,928; Clusters 2-4 \$20,287)	Remove AB 1058 staff/FLF costs (Using FY 2013-14 data)	Total WAFM Funding Need	Proportion of Total WAFM Estimated Funding Need
Cluster	Court	Average % of Salary-Driven Benefits (Program 10)	Average Actual Non-Salary- Driven Benefits per FTE (Program 10)	Average % of Salary-Driven Benefits (Program 90)	Average Actual Non-Salary- Driven Benefits per FTE (Program 90)	Benefits Needed for RAS Program 10 FTE Need	Benefits Needed for RAS Program 90 FTE Need	Total Benefit Need Based on RAS FTE Need	Estimated OE&E Needed (Excludes funding for operations contracts)			
		<i>I1</i>	<i>I2</i>	<i>J1</i>	<i>J2</i>	$L = (((((B-1) * FTE \text{ Dollar Factor}) + E * G) * J1) + (B * J2))$			<i>N</i> $= C * OE\&E$	<i>O</i>	<i>P</i> $= (H + M + N) - O$	<i>Q</i> $= P / \text{Statewide}$
4	Orange	38.1%	\$11,036	38.4%	\$12,150	44,334,919	7,424,752	51,759,671	26,596,607	2,195,060	173,366,093	7.28%
2	Placer	29.1%	\$19,829	29.1%	\$19,829	5,648,763	976,641	6,625,403	3,408,261	424,810	20,924,301	0.88%
1	Plumas	28.6%	\$13,693	28.2%	\$17,914	289,415	101,154	390,568	390,993	135,453	1,299,380	0.05%
4	Riverside	32.5%	\$9,553	32.3%	\$10,577	28,115,310	4,561,278	32,676,587	22,315,994	1,672,322	121,029,006	5.08%
4	Sacramento	40.3%	\$19,032	41.2%	\$18,924	30,634,318	4,787,382	35,421,700	14,789,418	1,426,146	102,140,312	4.29%
1	San Benito	23.3%	\$12,269	23.3%	\$16,695	556,700	161,792	718,492	754,057	164,879	2,874,516	0.12%
4	San Bernardino	37.9%	\$8,332	40.7%	\$9,879	32,572,369	5,392,046	37,964,414	24,365,008	2,574,029	132,144,453	5.55%
4	San Diego	56.8%	\$9,016	56.9%	\$9,929	52,017,923	8,206,947	60,224,870	25,906,840	2,478,229	169,142,391	7.11%
4	San Francisco	32.3%	\$27,582	31.9%	\$27,568	19,829,556	3,047,603	22,877,159	7,912,034	1,271,943	67,069,047	2.82%
3	San Joaquin	42.6%	\$13,107	44.4%	\$8,836	12,739,857	1,860,996	14,600,853	7,486,001	635,857	44,735,436	1.88%
2	San Luis Obispo	41.5%	\$10,221	50.9%	\$10,374	4,691,723	967,572	5,659,295	3,124,239	387,296	17,894,938	0.75%
3	San Mateo	42.7%	\$17,464	42.8%	\$14,572	12,670,394	2,018,300	14,688,694	5,680,435	590,688	42,969,454	1.81%
3	Santa Barbara	39.5%	\$6,744	42.2%	\$7,575	6,024,689	1,201,465	7,226,154	4,361,762	479,947	25,514,338	1.07%
4	Santa Clara	30.9%	\$23,911	30.8%	\$25,168	24,870,865	3,953,181	28,824,046	11,807,189	1,918,716	86,629,182	3.64%
2	Santa Cruz	22.7%	\$16,282	22.7%	\$17,588	3,460,083	709,096	4,169,179	2,677,919	205,113	15,417,797	0.65%
2	Shasta	22.2%	\$9,970	23.9%	\$12,482	2,490,804	695,083	3,185,887	3,002,516	513,547	12,953,657	0.54%
1	Sierra	37.5%	\$17,520	37.5%	\$17,520	68,120	48,844	116,964	83,784	4,188	368,280	0.02%
2	Siskiyou	28.2%	\$19,216	28.2%	\$17,008	917,988	195,536	1,113,524	710,054	330,897	3,103,058	0.13%
3	Solano	32.3%	\$12,824	34.4%	\$14,711	6,703,206	1,200,956	7,904,161	4,503,773	591,286	27,158,939	1.14%
3	Sonoma	43.9%	\$19,989	43.8%	\$19,951	9,722,513	1,683,193	11,405,706	4,686,359	686,985	30,874,621	1.30%
3	Stanislaus	28.9%	\$17,882	29.4%	\$18,898	8,607,333	1,401,877	10,009,211	5,822,446	1,015,921	31,536,429	1.32%
2	Sutter	31.4%	\$14,487	32.0%	\$18,269	1,639,745	387,918	2,027,663	1,257,811	239,056	6,509,119	0.27%
2	Tehama	22.9%	\$17,076	22.9%	\$16,571	1,263,943	234,593	1,498,536	1,095,512	100,653	5,026,551	0.21%
1	Trinity	31.8%	\$13,849	36.1%	\$13,908	278,738	100,459	379,198	363,065	55,255	1,290,907	0.05%
3	Tulare	22.0%	\$18,427	22.7%	\$19,889	6,003,887	1,092,161	7,096,048	4,950,093	638,573	22,962,196	0.96%
2	Tuolumne	27.2%	\$13,781	28.2%	\$13,806	850,098	186,273	1,036,371	770,916	235,699	3,442,496	0.14%
3	Ventura	37.5%	\$9,200	40.4%	\$11,251	10,884,113	2,293,990	13,178,103	7,445,427	869,709	45,268,238	1.90%
2	Yolo	32.4%	\$12,077	39.9%	\$19,656	2,692,841	729,366	3,422,208	2,089,588	230,666	11,394,431	0.48%
2	Yuba	17.4%	\$11,152	17.4%	\$12,656	935,853	191,416	1,127,270	1,095,512	208,198	4,961,988	0.21%

Statewide

645,136,627

109,501,708

754,638,335

379,436,474

40,129,299

2,380,284,755

100%

NOTES:

\$56,871

for vacant positions; in January 2014 the TCBAC approved a dollar factor adjustment for courts with fewer 2011 through 2013. Salaries of Local Government used for workers in total employment exceeds 50% in which case three-

Weighted
Mean

OE&E \$ / FTE

\$27,928

Cluster 1

\$20,287

Clusters 2-4

**Current WAFM Component Update Schedules
as of April 15, 2016**

Attachment B

#	WAFM Component Description	Update Frequency	Source of Data	WAFM Funding Need Worksheet (Attachment A) Location
1	Average court executive officer salary	Updated annually	Prior year Schedule 7A	Column E
2	Federal Bureau of Labor Statistics (BLS) factor	Updated annually	Three year-average BLS data up to two years prior	Column G and "BLS Factor" worksheet
3	Salary-driven and non-salary-driven benefits	Updated annually	Prior year Schedule 7A	Columns I1, I2, J1, and J2
4	AB 1058 adjustment	Updated annually	Two years prior reimbursement data from JCC grants accounting unit	Column O
5	Average RAS-related salary	Updated annually	Prior year Schedule 7A	Bottom left corner under "NOTES"
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	4th quarter Quarterly Financial Statement expenditures (QFS)	Column N
7	Funding Floor	N/A	Prior year BLS-adjusted Schedule 7A average RAS salary and benefits information from five Cluster 1 courts and any updated Cluster 1 OE&E per FTE amounts	"Funding Floor" worksheet
ITEMS BELOW INFORMATIONAL ONLY - RAS Components (Updated by Workload Assessment Advisory Committee (WAAC))				
N/A	RAS FTE need	Updated annually	Three year-average court filings data up to two years prior	Columns A, B, and C and "RAS FTE Need" worksheet
N/A	RAS caseweight	Updated every five years	Court time study	N/A

WAFM Components Not Updated Since FY 2013-14
as of April 15, 2016

Attachment C

#	WAFM Component Description		Update Frequency	Source of Data	WAFM Funding Need Worksheet (Attachment A) Location
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	N/A	N/A	4th quarter Quarterly Financial Statement expenditures (QFS)	Column N

WAFM Component Update Options

#	WAFM Component Description	Option	Option Description	Pros	Cons
6	Average Operating Expenses & Equipment (OE&E) per FTE by cluster	1A	Updated annually using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation Information remains as current as possible 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude likely than a staggered update
		1B (Recommended)	Updated annually using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis Information remains relatively current as possible since updated annually but less current than one year basis 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a lesser magnitude
		2A	Updated every two to three years using two years prior 4th quarter Quarterly Financial Statement (QFS) data (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Using single year data consistent with original OE&E calculation No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> No smoothing of data due to single year more prone to fluctuations Information not as current
		2B	Updated every two to three years using a three-year average from 4th quarter QFS data up through two years prior (e.g. FY 2014-15 for FY 2016-17)	<ul style="list-style-type: none"> Smoothing of data to avoid fluctuations that could result from one year basis No year-to-year fluctuations in WAFM need due to annual update, though fluctuations of a greater magnitude possible when update does occur 	<ul style="list-style-type: none"> Inconsistent with original OE&E calculation Information not as current

WAFM Operating Expenses and Equipment Update Options Comparison

#	Description	FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	OPTIONS	
			1A and 2A	1B and 2B
			One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
		A	B	C
1	OE&E per FTE			
2	<i>Cluster 1</i>	27,928.05	33,601.88	30,944.62
3	<i>Clusters 2 - 4</i>	20,287.27	23,790.67	22,458.12
4	FY 2015-16 RAS FTE Need			
5	<i>Cluster 1</i>	266	266	266
6	<i>Clusters 2 to 4</i>	18,337	18,337	18,337
7	FY 2015-16 OE&E Need			
8	<i>Cluster 1</i>	7,428,861	8,938,099	8,231,269
9	<i>Clusters 2 to 4</i>	372,007,614	436,249,562	411,814,546
10	Change from FY 2015-16 OE&E		65,751,188	40,609,341
11	<i>Cluster 1</i>	-	1,509,239	802,408
12	<i>Clusters 2 to 4</i>	-	64,241,949	39,806,933

¹ Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures Attachment E

Judicial Council Report - April 26, 2013

TABLE 2
DETAILED DECISIONS ON INCLUSION AND EXCLUSION OF OE&E EXPENDITURES

The following tables document decisions made by the subcommittee of the Trial Court Budget Working Group (the Funding Allocation Subcommittee) on items to include and exclude from the calculation of OE&E. These decisions were made at meetings of the Funding Allocation Subcommittee beginning on February 11, 2013 in Sacramento, and finalized during a number of follow-up meetings and communications between members of the Funding Allocation Subcommittee.

The calculation of OE&E is used to estimate how much funding is needed for non-personnel costs required to operate the trial courts.

The categories listed below in the first column come from the Phoenix Financial System.

Table 2. A: OE&E to INCLUDED in Calculation	Decision
NOTE THAT INCLUSION IS THE DEFAULT DECISION. BELOW IS ONLY THE LIST OF ITEMS THAT WERE DISCUSSED AND THAT THE GROUP DETERMINED SHOULD BE INCLUDED. ALL OTHER ITEMS <i>NOT LISTED</i> ANYWHERE ON THIS PAGE UNDER EITHER INCLUSION OR EXCLUSION, IS BY DEFAULT <i>INCLUDED</i> .	
Administrative	Include
Archiving/Imaging Management Services	Include
Attorney Civil Other	Include
Citation Services	Include
Contracted Services	Include
Court Reporter Transcripts-Felony Appeals	Include
Court Reporter Transcripts-Non Felony Appeals	Include
Court Reporter-Lodging	Include
Court Reporter-Meals	Include
Court Reporter-Mileage	Include
Court Transcripts	Include
Electronic Recording	Include
Electronic Recording Services	Include
General Consultant and Professional Services	Include
Human Resource Services	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures Attachment E

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Table 2. A: OE&E to INCLUDED in Calculation	Decision
Information Technology Services	Include
Investigative Services	Include
Attorney Arbitration-ADR Mediators Fee	Include
Court Ordered Investigative Services	Include
Stenography Services	Include
Court Interpreter Document Translation	Include
Key Card, Repair Counter, Replace Shelving	Include
Maintenance and Supplies	Include
Other Facility Costs - Goods	Include
Other Facility Costs - Services	Include
Paint, Protective Coating, and Sealer Supplies	Include
Plumbing	Include
Signs and Related Supplies	Include
Storage	Include
Waste Removal	Include
Window Coverings	Include
Wood or Tile Floor	Include
Carpet	Include
Carpet Cleaning and Floor Waxing	Include
Control Devices	Include
Court Appointed Counsel Charges-Family Code Section 3150	Include
Electrical Supplies and Accessories	Include
Electricity	Include
Extermination	Include
Facilities Operations	Include
Facility Planning	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures Attachment E

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Table 2. A: OE&E to INCLUDED in Calculation	Decision
Fire Fighting Supplies	Include
Flags, Flag Poles and Banners	Include
Fuel for Equipment	Include
Garden and Nursery	Include
Grand Jury Costs	Include
Grounds	Include
Grounds Maintenance	Include
Hardware and Related Items	Include
Alteration	Include
Alterations and Improvements	Include
Appeal Process	Include
Electricity	Include
Interest	Include
Air Conditioning/Heating Equipment	Include
Janitorial Services	Include
Utility Categories - 5 categories	Include
Janitorial	Include
Janitorial Cleaning Supplies	Include

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures Attachment E Judicial Council Report - April 26, 2013

Table 2. B: OE&E to be EXCLUDED from Calculation	Decision
Perimeter Security-Contract (Other than Sheriff)	Exclude
Other Post Employment Benefits (OPEB)	Exclude
Sheriff	Exclude
Facility Planning	Exclude
Pro Tem Hearing Officers	Exclude
Marshal Uniforms	Exclude
Rent -- three categories (see discussion under 2. A. i) (3) above)	Include/Exclude
All Salary & Benefits – GLs 900000 and 910000	Exclude
Court Construction	Exclude
Collection Services	Exclude
Commission Costs	Exclude
Consulting Services-Temp Help	Exclude
Consulting Services-Temp Help Clerical Services	Exclude
Courtroom Security-Sheriff Provided	Exclude
Dependency Counsel Charges For Children	Exclude
Dependency Counsel Charges For Parents	Exclude
Court Interpreter Services	Exclude
Court Interpreter Travel	Exclude
Court Interpreter-American Sign Language	Exclude
Court Interpreter-Certified	Exclude
Court Interpreter-Language Line-In Court	Exclude
Court Interpreter-Language Line-Non Court	Exclude
Court Interpreter-Lodging	Exclude
Court Interpreter-Meals	Exclude
Court Interpreter-Mileage	Exclude
Court Interpreter-Non Certified	Exclude

Detailed Decisions on Inclusion and Exclusion of OE&E Expenditures Attachment E

Judicial Council Report - April 26, 2013

Table 2. B: OE&E to be EXCLUDED from Calculation	Decision
Court Interpreter-Non Registered	Exclude
Court Interpreter-Registered	Exclude
Juror Costs	Exclude
Juror Public Transportation	Exclude
Jury Fees	Exclude
Jury Mileage	Exclude
Meals & Lodging (Sequestered Jurors)	Exclude
Meals (Non Sequestered Jurors)	Exclude
Perimeter Security-Entrance Screening Personnel - Sheriff Provided	Exclude
Perimeter Security-Sheriff Provided	Exclude
Security	Exclude
Civil Assessment Commission Costs	Exclude
Court Assistant/Attendant Uniforms	Exclude
Court Reporter Services	EXCLUDE
Weapon Screening X-Ray Machine	EXCLUDE
Traffic School Monitoring	EXCLUDE
Probate Evaluations and Reports	Exclude
Probation Department Services	Exclude
Small Claims Advisory Service	Exclude
Court Appointed Counsel Charges	EXCLUDE
Mediators/Arbitrators	Exclude
Sheriff Reimbursement-AB2030/AB2695	Exclude
Air Conditioning/Heating Equipment	Exclude
Architectural Services	Exclude
Grand Jury Costs	Exclude

Phoenix Expense General Ledger Codes Not Previously Considered by the Judicial Council

Attachment F

Court-Specific Code Col. A	Phoenix Financial Statement Category Col. B	Level 2 - Expense Detail Account Name Col. C	Court-Specific Code Description Col. D	FY 2014-15 Expenditures Col. E	Recommended WAFM OE&E Designation Col. F
920402	General Expense	Employee Relocation	Temporary housing expense related to relocating an employee.		Included
920499	General Expense	Employee Relocation	May be used in lieu of the individual court specific codes within such corresponding expense detail code.		Included
920604	General Expense	Office Expense	Maps.		Included
920633	General Expense	Office Expense	Ergonomic supplies.	26,523	Included
922704	General Expense	Equipment Rental/Lease	Rental or lease of sheriff security equipment.	430	Included
923903	General Expense	General Expense - Service	Specific to form delivery.		Included
923916	General Expense	General Expense - Service	Testing services.	70,997	Included
925108	Telecommunications	Telecommunications	Instruments-County provided.		Included
931109	Out-of-State Travel	Travel Out of State	Out of state travel expense for all other mileage claim payments.	78	Included
934506	Security Services	Security	Perimeter security provided by contract at the entrance of the courthouse.	2,112,000	Excluded
935502	Facility Operations	Grounds	Parking maintenance.	2,234	Included
938407	Contracted Services	General Consultant and Professional Services	Costs of contracting for public works planning and engineering services.		Included
938804	Contracted Services	Court Appointed Counsel Charges	Dependency Counsel and Other Eligible Expenses to be Reimbursed by JDCCP Allocation	284,523	Excluded
938902	Contracted Services	Investigative Services	Surveillance services.	192	Included
938903	Contracted Services	Investigative Services	Police reports. (e.g., PC 987.9 cases)		Included
939405	Contracted Services	Legal	Contract review.		Included
939407	Contracted Services	Legal	Attorney civil, no public defender.		Excluded
939408	Contracted Services	Legal	Attorney civil, conflict of interest.		Excluded
939409	Contracted Services	Legal	Attorney criminal, no public defender.		Excluded
939410	Contracted Services	Legal	Attorney criminal, conflict of interest.		Excluded
939415	Contracted Services	Legal	Attorney mental health, no public defender.		Excluded
939416	Contracted Services	Legal	Attorney mental health, conflict of interest.		Excluded
939417	Contracted Services	Legal	Attorney mental health, other.		Excluded
939418	Contracted Services	Legal	Contract law firm fees.	58	Included
939419	Contracted Services	Legal	Contract law firm costs.		Excluded
941103	Consulting and Professional Services	Sheriff	Incidence/disturbance calls.		Excluded
942702	Consulting and Professional Services	County-Provided Services	Custodial services.	8,931,549	Included
943507	Information Technology	IT Repairs/Supplies/License	Mainframe operating software.	111	Included
943508	Information Technology	IT Repairs/Supplies/License	Mainframe application software.	13	Included
952099	Other Items of Expense	Uniform Allowance	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	1,234	Included
971003	Other	Other Special items of Expense	Record realized loss incurred on sale of investments.		Included

Phoenix Expense General Ledger Codes Not Previously Considered by the Judicial Council

Attachment F

Court-Specific Code Col. A	Phoenix Financial Statement Category Col. B	Level 2 - Expense Detail Account Name Col. C	Court-Specific Code Description Col. D	FY 2014-15 Expenditures Col. E	Recommended WAFM OE&E Designation Col. F
971004	Other	Other Special items of Expense	Record unrealized loss on investment due to decrease in market value.		Included
971102	Other	Other Post Employment Benefits	Record expense for administration of other post employment benefits (OPEB) investments by trustee.	3,600	Included
971103	Other	Other Post Employment Benefits	Record other post employment benefits (OPEB) paid by trustee.		Included
972399	Other	Non-Expert Witness	May be used in lieu of the individual court specific codes within such corresponding expense detail code.		Included
973101	Debt Service	Debt Service	Expense for notes principal repayments.		Included
973199	Debt Service	Debt Service	May be used in lieu of the individual court specific codes within such corresponding expense detail code.	324,871	Excluded
Total Expenditures				11,758,413	

2013-14 Fund Sources Included and Excluded from WAFM

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Table 1 displays funding associated or not associated with the workload measured by the Resource Assessment Model, as provided in page 7 of the April 26, 2013 report to the council from the Trial Court Budget Advisory Committee.

Table 1

Fund Category	Fund Source	Statewide Funding Amount for FY 13–14 Allocation
Current TCTF Program 45.10 Base Allocation	TCTF 45.10	1,694,659,219
<i>Items subtracted from base allocation:</i>		
Security Base (FY 10–11) Adjustment		(40,983,089)
SJO Adjustment (does not include compensation for AB 1058 commissioners)		(64,674,907)
Projected \$261M Reduction based on Governor Budget Proposal		(261,000,000)
Self-help	TCTF 45.10	2,500,000
Replacement of 2% Automation	TCTF 45.10	10,907,494
Automated Recordkeeping and Micrographics Distribution (FY 11–12)	TCTF 45.10	3,160,318
Benefits Base Allocation (FY 10–11 and FY 11–12)	General Fund	68,818,575
Benefits Base Allocation (Confirmed as of 1/31/2013)	General Fund	23,199,967
Benefits Base Allocation (Unconfirmed as 1/31/2013)	General Fund	483,174
Total		1,437,070,751

Table 2 displays funding not subject to allocation by WAFM, as provided in Appendix G of the April 26, 2013 report to the council from the Trial Court Budget Advisory Committee.

Table 2

Funds Not Considered Part of Allocation Formula with Statewide Dollar Amounts for FY 13-14
Allocation (dollar amounts subject to change each fiscal year)

Fund Description	Fund Source	Statewide Amount (For FY 13-14 Allocation Process)
Security Base Adjustment	TCTF (45.10)	40,983,089
SJO Adjustment (AB 1058 Commissioner Compensation Removed)	TCTF (45.10)	64,674,907
Court-Appointed Counsel (including DRAFT)	TCTF (45.10)	105,283,990
Jury	TCTF (45.10)	14,931,289
Criminal Justice Realignment (one-time 12-13)	TCTF (45.10)	9,073,000
\$30 court reporter fee	TCTF (45.10)	-
Fees Retained by Courts	TCTF (45.10)	17,966,453
Civil Assessments	TCTF (45.10)	95,220,404
Children's waiting room	TCTF (45.10)	4,027,799
Telephonic Appearance	TCTF (45.10)	943,840
Court Interpreters	TCTF (45.45)	89,286,025
Civil Case Coordination	TCTF (45.55)	647,697
Family Law Information Centers	TCTF (45.55)	320,000
Model Self-Help	TCTF (45.55)	891,000
Complex Civil Litigation	IMF	4,001,010
Self-Help	IMF	5,005,141
AB 1058 Child Support Commissioner, Family Law Facilitator, and Staff	GF	48,474,319
Prisoners' Hearings	GF	1,408,137
Service of Process	GF	1,638,813
Interest Income	Local	3,568,960
Investment Income	Local	6,126
Local Fees	Local	60,024,529
Non-Fee Revenues	Local	17,670,937
Enhanced Collections	Local	49,202,024
County Program - Restricted	Local	24,847,948
Reimbursement Other	Local	27,415,279
Other Miscellaneous	Local	14,423,980
Total (not compared to funding need)		701,936,697

**Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations,
Revenues, and Reimbursements**
(subject to change each fiscal year, updated 11/20/2015)

Attach. H

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
1	<i>1. Allocation for Costs Captured by Workload Analysis (including associated (OE&E)</i>			
2	Current TCTF Program 45.10 Base Allocation	TCTF (45.10)	1,614,580,054	
3	Benefits Base Allocation from General Fund	GF (45.10)	68,818,601	
4	SJO Adjustment (excludes compensation for AB 1058 commissioners) (updated by Office of Court Research, 5/5/15)	TCTF (45.10)	(58,793,118)	
5	Security Base Adjustment (12-13 allocation)	TCTF (45.10)	(40,983,089)	
6	\$90.6 Million in New Funding Offset by \$22.7 Million Revenue Shortfall	TCTF (45.10)	67,900,000	
7	\$38.8 Million in Benefits Cost Changes Funding	TCTF (45.10)	37,526,000	
8	Replacement of 2% Automation	TCTF (45.10)	10,907,494	
9	Self-Help	IMF (45.10)	5,000,000	
10	Prisoners' Hearings (15-16 appropriation)	GF (45.10)	2,728,000	
11	Self-Help	TCTF (45.10)	2,500,000	
12	Automated Recordkeeping and Micrographics Distribution (14-15 actual)	TCTF (45.10)	2,428,420	
13	Civil Case Coordination (15-16 appropriation)	TCTF (45.55.100)	832,000	
14	Cost of Homicide Trials (15-16 appropriation)	GF (45.10)	272,000	
15	TCTF Program 30.15 Allocations for Phoenix, CCTC, ICMS, and V3 maintenance and operations (less charges to courts)	TCTF (30.15)	-	
16	Annualization of 2014-15 Reduction for SJO Conversions	TCTF (45.10)	(817,737)	
17	Reduction for SJO Conversions	TCTF (45.10)	(1,283,668)	
18	<i>Subtotal, Allocation for Costs Captured by Workload Analysis</i>		<i>1,711,614,958</i>	
19				

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

**Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations,
Revenues, and Reimbursements**
(subject to change each fiscal year, updated 11/20/2015)

Attach. H

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
20	2. Allocation or Reimbursement for Costs Not Captured by Workload Analysis			
21	Court-Appointed Counsel (including DRAFT)	TCTF (45.10)	114,700,000	
22	Court Interpreters	TCTF (45.45)	95,855,000	
23	SJO Adjustment (excludes compensation for AB 1058 commissioners) (updated by Office of Court Research, 5/5/15)	TCTF (45.10)	58,793,118	
24	Estimated 2015-16 IMF revenue and tranfers less self-help (\$5M) allocation (15-16 IMF 1st Turn 10R less \$5 million)	IMF	61,424,000	
25	AB 1058 Child Support Commissioner and Family Law Facilitator Program (14-15 actual)	GF (45.55.010)	51,908,478	
26	Security Base Adjustment (12-13 allocation)	TCTF (45.10)	40,983,089	
27	Jury	TCTF (45.10)	14,500,000	
28	Criminal Justice Realignment	TCTF (45.10)	9,223,000	
29	Children's Waiting Room (14-15 actual)	TCTF (45.10)	3,093,995	
30	Service of Process (14-15 actual)	GF (45.10)	1,865,131	
31	Enhanced Collections (14-15 Phoenix)	Local	50,544,725	
32	County Program - Restricted (14-15 Phoenix)	Local	19,060,891	
33	Reimbursement Other (14-15 Phoenix)	Local	18,904,863	
34	Other Miscellaneous (14-15 Phoenix)	Local	13,499,994	
35	Subtotal, Allocation for Costs Not Captured by Workload Analysis		554,356,283	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

**Estimated 2015-16 WAFM, Non-WAFM, and Undesignated Trial Court Allocations,
Revenues, and Reimbursements**
(subject to change each fiscal year, updated 11/20/2015)

Attach. H

#	Resource	Fund Source	Statewide Amount (For 2015-16 Allocation Process)*	Notes
37	3. Items that Require Further Analysis			
38	Civil Assessments (14-15 actual)	TCTF (45.10)	111,945,969	
39	Fees Retained by Courts (14-15 actual)	TCTF (45.10)	23,004,303	
40	Replacement Screening Stations	TCTF (45.10)	2,286,000	
41	Parolee Reentry Court Program CDCR (14-15 actual)	GF (45.55.020)	1,972,809	
42	Collaborative Courts-Substance Abuse Programs (14-15 actual)	GF (45.55.020)	1,128,748	
43	Recidivism Reduction Program	RRF (45.10)	1,300,000	
44	Model Self-Help	TCTF (45.55.065)	957,000	
45	Telephonic Appearance	TCTF (45.10)	943,840	
46	Juvenile Dependency Counsel Collections (including DRAFT)	TCTF (45.10)	872,692	
47	California Justice Corps (14-15 actual)	GF (45.55.070)	858,520	
48	Federal Child Access and Visitation Grant Program (14-15 actual)	FTF (45.55.030)	770,000	
49	California Adult Reentry Drug Court Project (14-15 actual)	FTF (45.55.080)	479,299	
50	Family Law Information Centers	TCTF (45.55.095)	345,000	
51	Elder Abuse Filings	TCTF (45.10)	332,340	
52	Local Fees (14-15 Phoenix)	Local	58,315,578	
53	Non-Fee Revenues (14-15 Phoenix)	Local	16,394,838	
54	Non-Judicial Council Grants (14-15 Phoenix)	Local	7,171,367	
55	Interest Income (14-15 Phoenix)	Local	2,077,860	
56	Escheatment (14-15 Phoenix)	Local	1,473,701	
57	Donations (14-15 Phoenix)	Local	390,375	
58	Prior Year Revenue (14-15 Phoenix)	Local	(1,813,247)	
59	Sale of Fixed Assets (14-15 Phoenix)	Local	209,299	
60	Investment Income (14-15 Phoenix)	Local	-	
61	Subtotal, Items that Require Further Analysis		231,416,291	
62				
63	Grand Total		2,497,387,532	

*Other than IMF revenues and Program 30.15 administrative infrastructure costs, excludes state trial court funding not distributed to courts (e.g., TCTF Program 45.25 (judges' compensation)) and one-time appropriations.

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund Summary

		Information Located in Attachment H		
Fund Description	Amount	Revenue Source	Line #	Revenue Source - Designation
Capital Projects	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM
Enterprise	0.00			
General	310,454,602.57			
	295,257,045.31	Multiple Section 1 Items	2-7	WAFM
	15,197,557.26	Mostly in Local Fees	52	Items that Requires Further Analysis
Grant	15,757,136.41	Judcial Council Grants, Non-Judicial Council Grants, and Reimbursement Other	25, 33, 41-42, 44, 47-50, 54	Items that Requires Further Analysis
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM
Proprietary	7,352,826.83	Trial court benefits	N/A	WAFM, but not OE&E
Special Revenue	23,093,914.73			
	18,236,361.97	Enhanced Collections, County Program - Restricted, Children's Waiting Room, and Reimbursement Other	29, 31-33	Non-WAFM
	3,129,807.78	Replacement of 2% Automation and Automated Recordkeeping and Micrographics	8, 12	WAFM
	1,727,744.98	Mostly in Local Fees, Donations, Non-Fee Revenues	52-53, 56	Items that Requires Further Analysis

357,060,278.38298,386,853.09 **WAFM**25,990,986.64 **Non-WAFM**32,682,438.65 **Items that Require Further Analysis****357,060,278.38 Total**

FY 2014-15 Phoenix Expenses for WAFM OE&E Designations by Fund

		Information Located in Attachment H			
Fund and Subfund Description	Amount	Revenue Source	Line #	Revenue Source - Designation	Recommendation
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F
Capital Projects	399,870.80				
Capital Fund	399,870.80	Debt service on Capital Outlay	N/A	Non-WAFM	Exclude
Enterprise	0.00				
EZ Legal	0.00	Interest Income	55	Item that Requires Further Analysis	Include
General	310,454,602.57				
General Fund - NTCTF	15,197,557.26	Mostly in Local Fees	52	Item that Requires Further Analysis	Include
General Fund - TCTF	295,257,045.31	Multiple Section 1 Items	2-7	WAFM	Include
Grant	15,757,136.41				
DOJ - Juvenile Drug Court Implementation	(0.00)	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
Drug Court Discr Grant	45,876.88	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
Grant Arrest Policy	(32,621.86)	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
SAMHSA	135,193.29	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
US DOJ - Local Law Enforcement Block Grant	1,506.15	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
Judicial Council Grant	12,479,655.77	\$9.1 million AB 1058, \$3.4 million other Judicial Council grants	25, 41-42, 44, 47-50	Non-WAFM & Items that Require Further Analysis, AB 1058 adjustment separate	Include
Federal Grant	2,201,575.99	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
State Grant	154,041.48	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
Local Government Grant	548,055.22	Non-Judicial Council Grants and Reimbursement Other	54 & 33	Item that Requires Further Analysis	Include
Private Grant	223,853.49	Non-Judicial Council Grants	54	Item that Requires Further Analysis	Include
Internal Service	1,927.04				
Internal Service	1,927.04	County Program - Restricted	32	Non-WAFM	Exclude
Proprietary	7,352,826.83				
Retiree Self Health Insurance	265,367.86	Trial court benefits	N/A	WAFM, but not OE&E	Exclude
Self Health Insurance	7,087,458.97	Trial court benefits	N/A	WAFM, but not OE&E	Exclude
Special Revenue	23,093,914.73				
2% Automation	2,318,921.44	Replacement of 2% Automation	8	WAFM	Include
Alternative Defense Program	1,604,793.59	County Program - Restricted	32	Non-WAFM	Exclude
Childrens Court Parking Fund	0.00	Other Miscellaneous	34	Non-WAFM	Exclude
Children's Waiting Room	3,810,596.39	Children's Waiting Room	29	Non-WAFM	Exclude
Court Reporter Salary Revolving Fund	0.00	Local Fees	52	Item that Requires Further Analysis	Include
Court Warrant System	58,250.17	Local Fees	52	Item that Requires Further Analysis	Include
Dispute Resolution (DRPA)	668,321.00	County Program - Restricted	32	Non-WAFM	Exclude
Donations - NTCTF	72,497.32	Mostly in Donations	56	Item that Requires Further Analysis	Include
Enhanced Collections	7,475,355.66	Enhanced Collections	31	Non-WAFM	Exclude
Grand Jury	293,601.27	County Program - Restricted	32	Non-WAFM	Further Review
Other County Service	854,787.36	County Program - Restricted & Reimbursement Other	32-33	Non-WAFM	Further Review
Pre-Trial Services	47,946.63	County Program - Restricted	32	Non-WAFM	Exclude
Service of Process	13,985.28	Mostly in Reimbursement Other	33	Non-WAFM	Exclude
Small Claims Advisory	41,732.28	County Program - Restricted	32	Non-WAFM	Exclude
Teen Court	12,857.48	Mostly Non-Fee Revenues	53	Item that Requires Further Analysis	Include
Traffic Violator Fee	243,743.47	Local Fees and other items	52	Item that Requires Further Analysis	Include
Court Facilities Maintenance Fund	3,425,242.51	Reimbursement Other	33	Non-WAFM	Further Review
Special Revenue Fund-Other	1,340,396.54	Mostly in Local Fees and other items	52	Item that Requires Further Analysis	Include
Automated Record/Micrographics	810,886.34	Automated Recordkeeping and Micrographics	12	WAFM	Include
357,060,278.38					
337,227,795.78		WAFM			
7,352,826.83		Non-WAFM			
12,479,655.77		Items that Require Further Analysis			
357,060,278.38		Total			

WAFM Operating Expenses and Equipment Excluded Funds Options

Attachment K

			NON-WAFM FUNDS EXCLUDED	
#		FY 2015-16 WAFM OE&E (2011-12 Basis) ¹	One-Year Average (2014-15 Basis) ¹	Three-Year Average (2012-13 to 2014-15 Basis) ¹
		Col. A	Col. D	Col. E
1	OE&E per FTE			
2	Cluster 1	27,928.05	31,839.85	29,625.12
3	Clusters 2 - 4	20,287.27	21,884.09	20,691.80
4	FY 2015-16 RAS FTE Need			
5	Cluster 1	266	266	266
6	Clusters 2 to 4	18,337	18,337	18,337
7	FY 2015-16 OE&E Need			
8	Cluster 1	7,428,861	8,469,401	7,880,282
9	Clusters 2 to 4	372,007,614	401,288,582	379,425,537
10	Change from FY 2015-16 OE&E		30,321,508	7,869,344
11	Cluster 1	-	1,040,540	451,421
12	Clusters 2 to 4	-	29,280,968	7,417,923

1 Basis refers to the fiscal year or years courts' 4th quarter Quarterly Financial Statement and Schedule 7A data was used.