



JUDICIAL COUNCIL OF CALIFORNIA

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REPORT TO THE JUDICIAL COUNCIL

Item No.: 22-104

For business meeting on July 15, 2022

Title

Collaborative Justice: Recommended
Allocations of Fiscal Year 2022–23
Substance Abuse Focus Grants

Agenda Item Type

Action Required

Effective Date

July 15, 2022

Rules, Forms, Standards, or Statutes Affected

None

Date of Report

June 24, 2022

Recommended by

Collaborative Justice Courts Advisory
Committee
Hon. Richard Vlavianos, Chair

Contact

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Executive Summary

As part of the Budget Act of 2022, the Legislature has allocated \$1.16 million to the superior courts to maintain, expand, or enhance collaborative courts. In November 2005, the Judicial Council established a caseload-based methodology for allocation of these funds (the Substance Abuse Focus Grants) to the courts. The Collaborative Justice Courts Advisory Committee recommends that the Judicial Council allocate the fiscal year (FY) 2022–23 funds to courts using the council’s methodology. Additionally, \$75,000 in federal Court Improvement Program funds have been made available for FY 2022–23 to fund the noncompetitive Dependency Drug Court Augmentation to the focus grant program. The committee recommends funding programs in 44 courts for FY 2022–23 with these annual grants and providing augmentation grants to dependency drug courts in 18 counties.

Recommendation

The Collaborative Justice Courts Advisory Committee recommends that the Judicial Council, effective July 15, 2022, approve the distribution of grants from the Collaborative Justice Courts

Substance Abuse Focus Grant Program and the Dependency Drug Court Augmentation for FY 2022–23.

The proposed distribution is listed in the last column of Attachment A, *Substance Abuse Focus Grant Allocation Summary: Fiscal Years 2021–22 and 2022–23*.

Previous Council Action

The Judicial Council has approved the annual funding allocation for the Substance Abuse Focus Grant Program since FY 1998–99. In November 2005, at the recommendation of the Collaborative Justice Courts Advisory Committee, the council approved the Caseload-Based Funding-Level Formula for distributing the funds, as shown on the grant calculation worksheet in Attachment B. Beginning in July 2014, grant funds from the Court Improvement Program of the U.S. Department of Health and Human Services, Administration for Children and Families, were distributed as an augmentation to the grants of the Substance Abuse Focus Grant Program using the same formula.

Analysis/Rationale

Substance Abuse Focus Grant

This year’s funding authorization for the annual grant comes from a legislative mandate under California Collaborative and Drug Court Projects in the Budget Act of 2021 (Stats. 2021, ch. 69), as referenced in item 0250-101-0001.

This recommendation distributes the funding for FY 2022–23 in allocation amounts calculated using the formula approved in 2005 by the Judicial Council (see Attachment B). The 2022–23 State Budget allocates \$1.16 million for these projects.

As in previous years, grants are awarded to all proposed projects that meet the following criteria:

- Consistency with both the California Standards of Judicial Administration and the *Guiding Principles of Collaborative Justice Courts* (see Attachment C);
- Involvement of a local steering committee; and
- Fulfillment of statistical and financial reporting requirements for previous grant funding periods (if applicable).

As in previous years, courts were permitted to apply for grants for more than one project and at more than one site. The funding formula worksheet—which weighs total adjusted funding allocation, type of program, and number of individuals served by each program—is provided in Attachment B of this report.

The formula starts with the presumption that all projects that meet the grant criteria start with a base funding amount of \$12,000 per county. This base figure may be adjusted upward or downward to reflect the actual amount of total funding approved by the Legislature for the year and the number of court projects eligible for grants from those funds. Each project’s adjusted

base figure may then be augmented depending on the program's focus and the number of participants who may potentially benefit from the program. Programs that focus on treatment receive higher allocations than those that do not, in recognition of the intensive case management required in treatment court programs. Courts can also request grants for program planning, which may include an augmentation for the estimated number of participants if the project will become operational before the end of the fiscal year. These adjustments combine to arrive at the algorithm applied against the year's total allocation to determine each program's grant award.

For the 2021–22 fiscal year, the \$1.16 million allocation supported 263 court projects in 45 superior courts. The types of projects funded were adult domestic violence courts (5), adult drug courts (56), adult mental health/dual-diagnosis courts (33), community courts (3), dependency drug courts (25), DUI courts (14), elder courts (1), girls' courts (2), homeless courts (7), juvenile delinquency drug courts (10), juvenile mental health/dual-diagnosis courts (7), peer/youth courts (31), reentry courts (11), truancy courts (3), veterans courts (24), and other collaborative justice court programs (31).

Dependency Drug Court Augmentation Grant

Federal Court Improvement Program funds of \$75,000 are available to support dependency drug courts funded by the Collaborative Justice Courts Substance Abuse Focus Grant Program. In past years, the Judicial Council has made these grants available through a formulaic distribution available to all eligible dependency drug courts requesting funding through the Substance Abuse Focus Grant Program for the purpose of implementing, maintaining, enhancing, or expanding their dependency drug courts.

Because these augmentation funds are federal funds, this grant augmentation must be administered in compliance with conditions stated in part B of title IV of the Social Security Act (specifically, section 438(b) of the act: the approved state application and plan, including all assurances, approved amendments, and revisions) and with applicable federal regulations, program policies, and instructions. These funds augment the Substance Abuse Focus Grant awards following the Judicial Council–approved Caseload-Based Funding-Level Formula for distributing the funds, as shown on the funding calculation table in Attachment B.

Application process

The presiding judges and court executive officers of the superior courts were informed of this year's grant opportunity on May 2, 2022. Courts submitted project action plans that staff of the Judicial Council's Center for Families, Children & the Courts reviewed for conformance with the requirements of addressing substance abuse issues and adhering to collaborative justice court principles (see Attachment C, *Guiding Principles of Collaborative Justice Courts*).

Policy implications

The recommended action is consistent with the current Judicial Council policy regarding allocating these funds to local courts.

Comments

The recommended action was approved by the Collaborative Justice Courts Advisory Committee during an action by email between meetings. No external comments were received.

Alternatives considered

All program proposals that meet grant guidelines, including those for planning grants, are considered eligible for funding. The committee did not consider modifying the allocation methodology approved by the Judicial Council in 2005.

Fiscal and Operational Impacts

In FY 2010–11, grants from the Substance Abuse Focus Grant Program changed from reimbursable to deliverable. Under the reimbursement model, courts were required to submit monthly invoices to receive reimbursement for their program costs. Under the deliverable model, courts now submit program information that documents the program model, use and participation levels, and outcomes via two progress reports accompanied by two invoices. This change has streamlined the process for distributing funding to the courts, resulting in significant time savings for the courts and for the Judicial Council’s grant-processing staff.

In 2017, the grant application cover sheet was enhanced to automatically calculate the maximum eligible grant amount. This made the application easier for local courts to complete and improved their grant application accuracy. In turn, this reduced the amount of Judicial Council staff time needed to review grant request calculations. This year, continuous process improvements include simplifying and transitioning the grant application to a paperless online process. The online application automatically calculates the maximum eligible grant amount and provides the applicant with a PDF copy of the completed application.

Attachments and Links

1. Attachment A: *Substance Abuse Focus Grant Allocation Summary: Fiscal Year (FY) 2021–22 and FY 2022–23*
2. Attachment B: *Caseload-Based Funding-Level Formula: 2022–23 Judicial Council Substance Abuse Focus Grant Program*
3. Attachment C: *Guiding Principles of Collaborative Justice Courts*

**Substance Abuse Focus Grant Allocation Summary:
Fiscal Year (FY) 2021–22 and FY 2022–23**

**Collaborative Justice Courts Project—Substance Abuse Focus Grant (SAFG) and
Dependency Drug Court (DDC) Augmentation Awards (by Court)**

	County	FY 21–22 Allocation Based on Formula	FY 21–22 Final SAFG Funding Allocation ¹	FY 21–22 DDC Augmen- tation Allocation ²	FY 21–22 Total Allocation (SAFG + DDC)	FY 22–23 Allocation Based on Formula	FY 22–23 Final SAFG Funding Allocation	FY 22–23 DDC Augmentation Allocation	FY 22–23 Total Allocation (SAFG + DDC)
1	Alameda	\$42,000	\$37,410	\$6,949	\$44,359	\$42,000	\$39,241	\$7,123	\$46,364
2	Amador	\$22,000	\$20,470		\$20,470	\$24,000	\$22,897		\$22,897
3	Butte	\$24,000	\$22,164		\$22,164	\$20,000	\$19,264		\$19,264
4	Contra Costa	-	-		-	-	-		-
5	Del Norte	\$18,000	\$17,082		\$17,082	\$18,000	\$17,448		\$17,448
6	El Dorado	\$24,000	\$22,164		\$22,164	\$24,000	\$22,897		\$22,897
7	Fresno	\$45,000	\$39,951	\$993	\$40,944	\$45,000	\$41,966	\$254	\$42,220
8	Glenn	\$32,000	\$28,940		\$28,940	\$32,000	\$30,161		\$30,161
9	Humboldt	\$22,000	\$20,470	\$993	\$21,463	\$26,000	\$24,713	\$1,272	\$25,985
10	Inyo	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
11	Kern	-	-	-	-	-	-	-	-
12	Kings	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
13	Lake	-	-	-	-	-	-	-	-
14	Lassen	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
15	Los Angeles	\$29,000	\$26,399	\$1,985	\$28,384	-	-	-	-
16	Madera	\$18,000	\$17,082		\$17,082	\$18,000	\$17,448		\$17,448
17	Marin	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
18	Mendocino	\$20,000	\$18,776	\$1,241	\$20,017	\$20,000	\$19,264	\$1,526	\$20,790
19	Merced	\$12,000	\$12,000		\$12,000	\$12,000	\$12,000		\$12,000
20	Modoc	\$20,000	\$18,776	\$100	\$18,876	\$20,000	\$19,264	\$103	\$19,367
21	Monterey	\$34,000	\$30,634		\$30,634	\$32,000	\$30,161		\$30,161
22	Nevada	\$24,000	\$22,164		\$22,164	\$24,000	\$22,897		\$22,897
23	Orange	\$42,000	\$37,410		\$37,410	\$42,000	\$39,241		\$39,241
24	Plumas	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
25	Riverside	\$12,000	\$12,000		\$12,000	\$12,000	\$12,000		\$12,000
26	Sacramento	\$42,000	\$37,410	\$12,408	\$49,818	\$42,000	\$39,241	\$12,720	\$51,961
27	San Bernardino	\$45,000	\$39,950		\$39,950	\$45,000	\$41,966		\$41,966
28	San Diego	\$42,000	\$37,410	\$4,964	\$42,374	\$42,000	\$39,241	\$5,088	\$44,329
29	San Francisco	\$45,000	\$39,950	\$6,453	\$46,403	\$45,000	\$41,966	\$6,615	\$48,581

30	San Joaquin	\$45,000	\$39,951	\$17,370	\$57,321	\$45,000	\$41,966	\$17,809	\$59,775
31	San Luis Obispo	\$35,000	\$31,481	\$2,581	\$34,062	\$35,000	\$32,885	\$2,646	\$35,531
32	San Mateo	\$32,000	\$28,940		\$28,940	\$32,000	\$30,161		\$30,161
33	Santa Barbara	\$33,000	\$29,787		\$29,787	\$30,000	\$28,345		\$28,345
34	Santa Clara	\$45,000	\$39,950	\$7,942	\$47,892	\$45,000	\$41,966	\$6,615	\$48,581
35	Santa Cruz	\$42,000	\$37,410	\$1,241	\$38,651	\$42,000	\$39,241	\$1,526	\$40,767
36	Shasta	\$32,000	\$28,940		\$28,940	\$30,000	\$28,345		\$28,345
37	Sierra	\$16,000	\$15,388		\$15,388	\$16,000	\$15,632		\$15,632
38	Siskiyou	\$16,000	\$15,388	\$397	\$15,785	\$20,000	\$19,264	\$763	\$20,027
39	Solano	\$45,000	\$39,950	\$2,482	\$42,432	\$35,000	\$32,885	\$4,071	\$36,956
40	Sonoma	\$36,000	\$32,328	\$993	\$33,321	\$36,000	\$33,793	\$1,526	\$35,319
41	Stanislaus	\$21,000	\$19,623	\$745	\$20,368	\$15,000	\$14,725		\$14,725
42	Sutter	\$22,000	\$20,470		\$20,470	\$18,000	\$17,448		\$17,448
43	Tehama	\$20,000	\$18,776	\$993	\$19,769	\$20,000	\$19,264	\$1,018	\$20,282
44	Trinity	\$22,000	\$20,470		\$20,470	\$24,000	\$22,897		\$22,897
45	Tulare	\$30,000	\$27,246		\$27,246	\$30,000	\$28,345		\$28,345
46	Tuolumne	\$20,000	\$18,776	\$1,241	\$20,017	\$20,000	\$19,264	\$1,272	\$20,536
47	Ventura	\$42,000	\$37,410	\$2,929	\$40,339	\$42,000	\$39,241	\$3,053	\$42,294
48	Yolo	-	-	-	-	-	-	-	-
49	Yuba	\$24,000	\$22,164		\$22,164	\$24,000	\$22,897		\$22,897
	Total	\$1,272,000	\$1,160,000	\$75,000	\$1,235,000	\$1,224,000	\$1,160,000	\$75,000	\$1,235,000

¹ In FY 22-23 there is \$1,160,000 available for allocation among the 44 courts who applied to the Collaborative Justice Courts Substance Abuse Focus Grant Program (SAFG). According to the funding formula, the maximum amount of funding for which courts are eligible is \$1,224,000. This amount exceeds the available funding by \$64,000. As a result, the total awards reflect a reduction in funding of approximately 5 percent. Each court was awarded a base allocation of \$12,000 and the remaining funds were distributed proportionally among those courts who were eligible for additional funds above the base amount.

² Dependency Drug Court Augmentation funds were allocated based on the number of participants.

**Caseload-Based Funding-Level Formula: 2022–23 Judicial Council
Substance Abuse Focus Grant Program**

FUNDING CALCULATION TABLE

Program Focus Category	Base Amount	Number of Total Program Participants						Enhancement	
		5–19	20–49	50–99	100–199	200–499	500+	10–24	25+
Treatment Court	\$12,000	\$0	\$4,000	\$8,000	\$12,000	\$20,000	\$30,000	\$2,000	\$3,000
Education / Nontreatment Program	\$12,000	\$0	\$2,000	\$4,000	\$6,000	\$10,000	\$15,000	\$1,000	\$2,000

INSTRUCTIONS

1. **Program Focus Category:** Identify whether the primary focus of the program is on treatment or education.

2. **Base Amount:** Minimum base program funding level. Only one base amount can be included in funding calculation.

3. **Number of Total Program Participants:** Number of total participants who will be directly served by the grant program for FY 2022–23.

- a. Find the number range of participants for your program.
- b. Match it with the appropriate Program Focus Category. **Note:** For treatment-focused programs, include all participants enrolled in the program, not just the participants receiving a particular level or kind of treatment.
- c. Add the matching funding amount to the base amount—**this is your maximum funding level.**

* **Example:** \$12,000 (Base) + \$12,000 (Treatment Court Focus with 125 program participants) = \$24,000 eligible maximum funding level.

4. **Enhancement:** For court programs that increase their program capacity (i.e., the maximum number of participants they can serve) beyond their FY 2021–22 program capacity.

A minimum of 10 additional participants is required for enhancement funding.

* **Example:** \$12,000 (Base) + \$12,000 (Treatment Court Focus w/ 125 program participants) + \$2,000 (increase in program capacity from previous year by 15 additional participants) = \$26,000 eligible maximum funding level.

CALCULATION TOOL

5. Court Calculation Enter numbers here:	Base	Treatment	Nontreat	Enhance	Maximum Funding Level
	\$12,000	\$0	\$0	\$0	\$12,000
					Total

Note: This tool is provided to assist courts in understanding how the maximum eligible grant allocation is calculated. Please note that actual award amounts will be based on the number of courts applying and the total allocation available in the 2022–23 California State Budget.

Guiding Principles of Collaborative Justice Courts

Using the National Drug Court Institute's 10 key components of drug courts as a model, the Collaborative Justice Courts Advisory Committee identified 11 essential components as the guiding principles of collaborative justice courts:

1. Integrate services with justice system processing;
2. Achieve the desired goals without the use of the traditional adversarial process;
3. Intervene early and promptly to place participants in the collaborative justice court program;
4. Provide access to a continuum of services, including treatment and rehabilitation services;
5. Use a coordinated strategy that governs the court's response to participant compliance, using a system of sanctions and incentives to foster compliance;
6. Use ongoing judicial interaction with each collaborative justice court participant;
7. Use monitoring and evaluation to measure the achievement of program goals and gauge effectiveness;
8. Ensure continuing interdisciplinary education;
9. Forge partnerships among collaborative justice courts, public agencies, and community-based organizations to increase the availability of services;
10. Enhance the program's effectiveness and generate local support; and
11. Emphasize team and individual commitments to cultural competency.