

JUDICIAL COUNCIL OF CALIFORNIA

455 Golden Gate Avenue • San Francisco, California 94102-3688 www.courts.ca.gov

REPORT TO THE JUDICIAL COUNCIL

Item No.: 20-117

For business meeting on: September 25, 2020

Title

Criminal Procedure: Felony Waiver and Plea

Form

Rules, Forms, Standards, or Statutes Affected

Revise form CR-101

Recommended by

Criminal Law Advisory Committee Hon. J. Richard Couzens, Chair **Agenda Item Type**

Action Required

Effective Date

January 1, 2021

Date of Report

September 25, 2020

Contact

Sarah Fleischer-Ihn, 415-865-7702 Sarah.Fleischer-Ihn@jud.ca.gov

Executive Summary

The Criminal Law Advisory Committee recommends revising the felony plea form to conform to multiple statutory changes that have added or changed relevant sentencing requirements and advisements, and to avoid the use of gendered pronouns.

Recommendation

The Criminal Law Advisory Committee recommends that the Judicial Council, effective January 1, 2021, revise *Plea Form, With Explanations and Waiver of Rights—Felony* (form CR-101) to:

- 1. Add references to restitution fines for the revocation of postrelease community supervision and mandatory supervision, to reflect statutory changes to Penal Code section 1202.45;
- 2. Delete the advisement on narcotics addiction confinement to reflect the repeal of Welfare and Institutions Code sections 3041 and 3201:
- 3. Revise the provision on imposition of a one-year enhancement of a prison term so that the additional one-year term is imposed solely for each prior separate prison term served for a conviction of a sexually violent offense, to reflect statutory changes to Penal Code section 667.5(b);

- 4. Delete the requirement for certain defendants to register as narcotics offenders with a local law enforcement agency to reflect the repeal of Health and Safety Code section 11590; and
- 5. Avoid the use of gendered pronouns.

The revised form is attached at pages 5–11.

Relevant Previous Council Action

The Judicial Council approved for optional use *Plea Form, With Explanations and Waiver of Rights—Felony* (form CR-101), effective January 1, 2007, to promote increased uniformity in felony plea waiver forms used throughout the state. The form was substantially revised in 2012 in response to criminal justice realignment legislation, and was most recently revised effective January 1, 2020.

Analysis/Rationale

Form CR-101 is designed to include all necessary waivers, a notice of the direct consequences of a plea, and common advisements. However, several bills added or changed relevant sentencing requirements and information, requiring the following proposed form revisions:

- Senate Bill 1210 (Stats. 2012, ch. 762) (see Link A) amended Penal Code section 1202.45 (see Link B) to include restitution fines for the revocation of postrelease community supervision and mandatory supervision. The prior version of the statute addressed parole and probation revocation restitution fines only. The committee recommends revising the provision on parole and probation revocation restitution fines to include references to these additional fines (item 2f of proposed form CR-101).
- Former sections 3051 and 3201 of the Welfare and Institutions Code permitted the sentencing court to order the confinement of a defendant to a narcotic detention, treatment, and rehabilitation facility. Senate Bill 1021 (Stats. 2012, ch. 41) (see Link C) prohibited new confinements as of July 1, 2012, made these two statutes inoperative as of April 1, 2014, and repealed them as of January 1, 2015. The committee recommends deleting the provision on narcotics addiction confinement to reflect the repeal of these code sections.
- Previously, the sentencing court was required to impose a one-year enhancement of a prison term for each prior separate prison term or county jail felony term, except under specified circumstances. Senate Bill 136 (Stats. 2019, ch. 590) (see Link D) amended Penal Code section 667.5(b) (see Link E) so that the additional one-year term is imposed solely for each prior separate prison term served for a conviction of a sexually violent offense. The committee recommends revising the provision on imposition of a one-year enhancement of a prison term to reflect this statutory change (item 3g of proposed form CR-101).

- Former Health and Safety Code section 11590 required certain defendants to register as narcotics offenders with a local law enforcement agency. Assembly Bill 1261 (Stats. 2019, ch. 580) (see Link F) repealed this statute as of January 1, 2020. The committee recommends deleting the requirement for certain defendants to register as narcotics offenders with a local law enforcement agency to reflect the repeal of the code section.
- The committee recommends revising two court findings to avoid the use of gendered pronouns (items 1 and 3 of the court's findings and orders section of proposed form CR-101).

The revisions are recommended to delete outdated provisions, conform to statutory changes in sentencing, and avoid the use of gendered pronouns.

Policy implications

The revisions are recommended so that the felony plea form reflects existing statutory provisions and is accurate and useful. No further policy implications were discussed by the committee.

Comments

This proposal circulated for comment from April 10 through June 9, 2020, and received comments from two superior courts and one local bar association. All three commenters agreed with the proposal.

Alternatives considered

Given the recent revision of the form, the committee discussed postponing further revisions, but decided to move forward in order to delete outdated provisions and conform the form to statutory changes. The committee also discussed whether to amend the form based on the upcoming change, effective January 1, 2021, to sex offender registration from lifetime to tiered—with mandatory minimum registration periods based largely on the offense—under Senate Bill 384 (Stats. 2017, ch. 541) (see Link G). After discussion, the committee concluded that the existing advisement of lifetime registration would remain appropriate, because the lifetime registration requirement will not automatically terminate when the provisions of SB 384 take effect. Instead, the defendant-petitioner will be required to initiate, and the court to grant, a request for termination.

Fiscal and Operational Impacts

As an optional form, expected costs are limited to training, possible case management system updates, and the production of new forms. No other implementation requirements or operational impacts are expected.

Attachments and Links

- 1. Form CR-101, at pages 5–11
- 2. Chart of comments, at pages 12–13

- 3. Link A: Senate Bill 1210 (Stats. 2012, ch. 762), http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201120120SB1210
- 4. Link B: Penal Code section 1202.45,

 http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=1202.45
 &lawCode=PEN
- 5. Link C: Senate Bill 1021 (Stats. 2012, ch. 41), http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201120120SB1021
- 6. Link D: Senate Bill 136 (Stats. 2019, ch. 590), http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201920200SB136
- 7. Link E: Penal Code section 667.5, http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=667.5&lawCode=PEN
- 8. Link F: Assembly Bill 1261 (Stats. 2019, ch. 580), http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201920200AB1261
- 9. Link G: Senate Bill 384 (Stats. 2017, ch. 541), http://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill_id=201720180SB384

SUPERIOR COURT OF CALIFORNIA, COUNTY OF STREET ADDRESS: MAILING ADDRESS:					FOR COURT USE ONLY					
	Y AND ZIP CO									
BRANCH NAME:						DRAFT				
PEOPLE OF THE STATE OF CALIFORNIA						Not approved by the Judicial Council				
De	efendant:									
F	PLEA FO	RM, V	VITH EXPLANATIONS A	ND WAIVI	ER OF RIG	GHTS—FELONY	CASE NUMBER:			
IN:	STRUCTI	ONS:	 (1) Fill out this form only if y (2) Read this form carefully initials in the box to the understand, leave the b (3) On page 6, sign and da (4) Keep in mind that the content of the content of the point o	right of the pox blank. te the form ourt cannot	item, if you item. For a under "DEI	understand and agro any item that does no FENDANT'S STATE	ot apply to you	u or that yo	u do not	
1.	allegatio	ns liste	form, ask your attorney D MAXIMUM TERM. I want in d below. I understand that the test are listed below.	to plead gu						INITIALS
	COUNT		CHARGES	YEARS /	MONTHS	PRIOR CONVICTIONS, EN		YEARS /	MONTHS	TOTAL
	000111		(SECTION & DESCRIPTION)	MINIMUM	MAXIMUM	(SECTION & DESC		MINIMUM	MAXIMUM	TIME
				•		AG	GREGATE MAXIM	UM TIME OF IM	PRISONMENT	
2.	sentence	e I will r ained t	MENT. I understand that I m receive or the sentence record or me that if I plead guilty or r	mmendatio	ns that will	be made to the court	t. My attorney	, the court,	or the pros	secutor
	a. Chec		State Prison (or the D	Division of J	luvenile Jus	stice) Cou	nty Jail for			INITIALS
	(1)		years and	months or						
	(2)		Not less than years and	dn	nonths and	or not more than	years ar	nd m	nonths.	
	(3) [b. Pro b	ation t	Other <i>(specify):</i> for years under condit	ions to he s	et by the c	ourt including:				
		up to	days in the county jail or days in the county j		oct by the o	ourt, moluding.				
I understand that a violation of any of the conditions of probation, including failure to complete a drug education or treatment program, if ordered by the court, may cause the court to send me to county jail or state prison for up to the "Aggregate Maximum Time of Imprisonment" specified in item 1, which may include a period of mandatory supervision under Penal Code section 1170(h)(5)(B) if the court sends me to county jail.)				

Page 1 of 7

		CR-101						
	CASE NUMBER:							
Det	efendant(s):							
2	c. Split Sentence (1170(h)(5)(B)): years and days in the county jail and years and	INITIALS days on						
۷.	mandatory supervision under conditions set by the court. I understand that if I violate any of the terms or co of mandatory supervision, I may be remanded into custody for the entire unserved portion of the sentence.	·						
	 d. Open Plea 1. I understand the maximum and minimum sentences for the charges and allegations stated on particles. No one has made any other promises to me about what sentence the court may order. 	age 1.						
	2. I understand that I am not eligible for probation.							
	3. I understand that I will not be granted probation unless the court finds at the time of sentencing to is an unusual case where the interests of justice would be best served by granting probation.	nat this						
	e. Restitution, Statutory Fees, and Assessments							
	I understand that the court will order me to pay the following amounts (if an amount is not yet known, "TBD" for "to be determined" is entered next to the \$); I must prepare financial disclosure statements to assist the court in determining my ability to pay; and refusal or failure to prepare the required financial disclosure statements may be							
	used against me at sentencing: 1.							
	2. * restitution to actual victims							
	3.							
	4. \$ court operations assessment 5. \$ court facilities assessment							
	6. sbase fine plus any applicable penalties, assessments, and surcharges							
	7. \$ other (specify): 8. \$ other (specify):							
	9. An (additional) amount to be determined by the court at sentencing or such other hearing as the c	ourt may set.						
	f. Fines for Revocation of Parole, Postrelease Community Supervision, Mandatory Supervision, or Pro I understand that if I am sentenced to state prison, the court will impose a parole revocation fine or a postre community supervision revocation fine, which will be collected only if my parole or postrelease community si is later revoked. I also understand that if I am granted probation or mandatory supervision, the court will improbation revocation fine or mandatory supervision revocation fine, which will be collected only if my probation mandatory supervision is later revoked.	elease upervision pose a						
	g. Dismissal of Other Counts I understand that as part of the plea agreement bargain, the following counts will be dismissed after senter	ncing:						
	I understand and agree that the sentencing judge may consider facts underlying dismissed counts to determ restitution and to sentence me on the counts to which I am entering a plea.	nine						
	h. Other Terms (specify):							
	CONSEQUENCES OF MY PLEA	INITIALS						
	 a. No Contest ("Nolo Contendere") Plea I understand that a no contest plea is the same as pleading guilty and that if I plead no contest, I will be cormy no contest plea could be used against me in a civil case. 	ivicted and						

			CR-101				
	LE OF THE STATE OF CALIFORNIA v.	CASE NUMBER:					
Defer	dant(s):						
			INITIALS				
h	Parole and Postrelease Community Supervision						
D.	I understand that if I am sentenced to state prison						
	(1) I will be placed on parole or postrelease community supervision for up to	years after my release.					
	(2) If I abscond or the court tolls my supervision, the total time of parole or postrel be extended.	ease community supervision can					
	(3) If I violate any of the terms or conditions of my parole, I can be sentenced to conditions of my parole, I can be s						
	each violation, or returned to state prison for up to one year, up to a maximum						
	terms or conditions of postrelease community supervision, I can be sentenced each violation, for up to a maximum of 3 years.	to county jail for up to 180 days for					
C.							
	I understand that a conviction in this case may constitute a violation of any other cu						
	supervision, postrelease community supervision, or probation in any other case and that I may receive additional						
	punishment as a result of that violation.						
d.							
	I understand that I will be required to register with the local police agency or sheriff which I reside as	's department in the city or county in	1				
	(1) an arson offender (3) a sex offender (this registration	n is a lifelong requirement)					
	(2) a gang member (4) other (specify):						
e.	and that if I fail to register or to keep my registration current for any reason, new fel filed against me. Prints and DNA Samples I understand that I must provide biological samples and prints for identification purp swab samples, right thumb prints, palm prints of each hand, and blood specimens	poses—including buccal (mouth)					
_	required by law—and that failure to do so constitutes a new criminal offense.						
f.	Serious or Violent Felony	Calamy (Naturity II) the manualty for					
	(1) I understand that by pleading guilty or no contest to a serious or violent f any future felony conviction will be increased as a result of my conviction number of strikes I have, up to a mandatory prison sentence of double th term of at least 25 years to life.	in this case, depending on the					
	(2) I understand that if I am convicted of a violent felony, jail or prison condu will not exceed 15%.	uct/work-time credit I may accrue					
	(3) I understand that if I am admitting a prior strike conviction, prison work-ti- not exceed 20% of the total term of imprisonment.	me credit that I may accrue will					
	(4) I understand that if I am convicted of murder or a third felony conviction ineligible to receive work-time credits. Count is such an off						
g.	Prior Prison Term for Sexually Violent Offense						
	I understand that if I am sentenced to serve a state prison term for this sexually vio and Institutions Code section 6600(b), the penalty for any future felony conviction r incarceration in this case.						
h.	Driver's License and Vehicle Forfeiture						
	I understand that my privilege to drive a motor vehicle may be revoked or suspended Department of Motor Vehicles, and my vehicle may be ordered forfeited if it was invented to the control of the contro						

(6) Anything else I think is important to my case.

PE	OPLE OF THE STATE OF CALIFORNIA v.	CASE NUMBER:			
De	efendant(s):				
	INTERPRETER'S				
	aving been duly sworn or having a written oath on file, certify that I t guage noted below.	ruly translated this form to the defendant in the			
La	nguage: Spanish Other (specify):				
	(INTERPRETER'S SIGNATURE)	DATE			
	(TYPE OR PRINT INTERPRETER'S NAME)	(CERTIFICATION NUMBER)			
	DISTRICT ATTORNEY	"S STATEMENT			
l ha	ave read this form and understand the terms of the plea agreement. agree do not agree with the terms of the plea agreer	ment and the indicated sentence.			
	(ATTORNEY'S SIGNATURE)	DATE			
	COURT'S FINDING	S AND ORDER			
Th	e court, having reviewed this form (and any addenda), and having o	rally examined the defendant, finds as follows:			
1.	The initialed items in this form have been read by or read to the defendant, and the defendant understands each of them.				
2.	The defendant understands the nature of the crimes and allegations listed in item 1 (on page 1) and the consequences of the plea and any admissions.				
3.	The defendant expressly, knowingly, understandingly, and intelligenthis plea.	ntly waives the constitutional and statutory rights associated with			
4.	The defendant's plea, admissions, and waiver of rights are made fr	eely and voluntarily.			
5.	A factual basis exists for the plea and admissions, or the defendant <i>West</i> .	t is pleading pursuant to a plea bargain under <i>People v.</i>			
	e court accepts the defendant's plea, admissions, and waiver of righereon.	ts, and the defendant is hereby convicted based			
	s ordered that this document be filed with the court's records of this of divining the waiver of rights be accepted and entered in the minutes of this cou				
	(SIGNATURE OF JUDICIAL OFFICER)	DATE			

CR-101 [Rev. January 1, 2021]

PLEA FORM, WITH EXPLANATIONS AND WAIVER OF RIGHTS—FELONY (Criminal)

Page 7 of 7

SPR20-11

Criminal Procedure: Felony Waiver and Plea Form (Revise form CR-101)

All comments are verbatim unless indicated by an asterisk (*).

	Commenter	Position	Comment	Committee Response
1.	Orange County Bar Association by Scott B. Garner, President	A	No specific comment	No response required.
2.	Superior Court of Orange County	A	Does the proposal appropriately address the stated purpose? Yes	No response required.
			Would the proposal provide cost savings? If so, please quantify.	
			What would the implementation requirements be for courts—for example, training staff (please identify position and expected hours of training), revising processes and procedures (please describe), changing docket codes in case management systems, or modifying case management systems?	No response required.
			Would not require any refresher training; however, courts may need to update and/or remove related items/verbiage indicated in procedures, job aids, case management systems, or other reference material. Would three months from Judicial Council approval of this proposal until its effective date provide sufficient time for implementation?	No response required.
			Yes	No response required.

SPR20-11

Criminal Procedure: Felony Waiver and Plea Form (Revise form CR-101)

All comments are verbatim unless indicated by an asterisk (*).

	Commenter	Position	Comment	Committee Response
			How well would this proposal work in courts of different sizes? I don't think the size of the court is relevant, more so, whether or not the court uses the judicial council form CR-101, in which resources, procedures, and training may be needed. If courts used alternate forms, they would need to be in agreement with verbiage on the CR-101.	The committee appreciates this input.
3.	Superior Court of San Diego County by Mike Roddy, Executive Officer	A	No specific comment	No response required.